

## Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties

### FINANCIAL REPORT FOR 1994, 1995 AND 1996

1. This document has been prepared by the Secretariat.
2. The Standing Committee noted the expenditures for 1994 and 1995 and the anticipated expenditures for 1996 at its 35th, 36th and 37th meetings.
3. Annex 1 of this document reflects the actual expenditures incurred during the years 1994, 1995 and 1996. All the figures shown are in Swiss francs. In case a US dollar equivalent is required, the average exchange rate of CHF 1.37 to USD 1.00 in 1994, CHF 1.19 to USD 1.00 in 1995 and CHF 1.22 to USD 1.00 in 1996 could be applied as a guide. Two columns are shown under each year. The first column shows the amounts originally approved by the Conference of the Parties and the second one shows the actual expenditures.
4. In 1994 the expenditures were CHF 4.73 million whereas CHF 5.95 million was approved by the Conference of the Parties. The 20 per cent saving can be attributed to various factors. In that year, the Secretariat spent less than expected for the ninth meeting of the Conference of the Parties because the Government of the United States of America covered the costs of accommodation of the staff, reproduction of documents and other logistical expenses that were included in the approved budget for 1994. The demand on the Secretariat to translate documents for the ninth meeting of the Conference was also less than expected, resulting in some savings in expenditure on external translation. Substantial savings were also realized owing to the delay in recruitment of the two translators who joined the staff towards the end of the year.
5. The actual expenditures in 1995 amounted to CHF 4.56 million, which is 88.6 per cent of the CHF 5.14 million approved by the Conference of the Parties. Some savings are shown under the budget line for staff salaries. The main reason for this is the fact that the Enforcement Officer was not replaced until November 1996. The money approved for consultancies was not utilized in full as the Secretariat had the opportunity to use external funding for this purpose.
6. The 1996 expenditures amounted to CHF 4.88 million whereas CHF 5.47 million was approved by the Conference of the Parties. The main savings are shown under budget lines for subcontracts (line 2100), training seminars (line 3200) and reporting costs (line 5200). Although the planned seminars were conducted in 1996, most of the financial resources came from external funding.
7. In reading the expenditure reports for 1994, it should be noted that a substantial amount of the budget was directly related to the servicing of the ninth meeting of the Conference of the Parties. On the other hand, while there was no major meeting financed in 1995, that year's expenditures are substantially affected by the inclusion in the Trust Fund of the salaries of the two translators and the salary for eight months of an Associate Programme Officer whose secondment by the Government of Japan expired in April 1995. For all the three years, the salaries of the three administrative staff paid by UNEP and one Junior Professional Officer paid by the Government of France are not included in Annex 1.
8. In addition to the figures shown in Annex 1, the Secretariat spent an amount equivalent to CHF 42,950 in 1995 and CHF 143,384 in 1996 from the special Trust Fund that was created in 1995 for the secondment by the United Kingdom of the Deputy Enforcement Officer.
9. The actual contributions received in 1994, 1995 and 1996 are indicated in Annex 2. The figures reflect the cumulative total amounts received by the end of each month. The money received to cover the current year's contributions as opposed to those of prior years have also been indicated separately. By 31 December 1994, 85.3 per cent of the contributions due for that year had been paid. In 1995 the equivalent figure was 83.6 per cent.
10. In 1996 CHF 3.67 million had been paid by the end of the year, while the expected contributions for the year were CHF 6.01 million. Thus, the paid contributions amounted to only 61 per cent.
11. Annex 3 shows the status of the Trust Fund as at 31 December 1996. Although sufficient cash was available at the beginning of the year to start the 1997 activities, and the financial situation has improved in comparison with the previous years, the Secretariat would like to remind Parties of the need to pay their contributions at the end of the year preceding the year to which the contributions relate.

**Actual Expenditures in 1994, 1995 and 1996**  
(in Swiss Francs\*)

Budget line	Description	1994		1995		1996	
		Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure
	<b>Professionals</b>						
1100	11 professional staff posts; 1 D1, 2 P5, 5 P4, 1 P3, 2 P2	1,835,400	1,513,481	2,010,400	1,703,070	1,900,000	1,800,447
1199	Subtotal	1,835,400	1,513,481	2,010,400	1,703,070	1,900,000	1,800,447
	<b>Consultants</b>						
1201	Consultants – translation	77,000	13,103	28,000	1,439	20,000	15,001
1202	Consultants – general	70,000	1,041	70,000	252	35,000	78,318
1203	Consultants – research assistance	25,000	6,919	0	0	0	19,379
1204	Monitoring tags	0	0	0	0	20,000	0
1205	Significant trade	0	0	0	0	30,000	0
1299	Subtotal	172,000	21,062	98,000	1,691	105,000	112,698
	<b>Administrative support</b>						
1300	9 support staff	789,160	972,574	846,804	1,009,786	1,000,000	1,006,845
1320	Temporary assistance	112,000	30,172	0	28,115	50,000	60,909
1321	Salary/travel of Conf. staff	392,000	196,799	0	0	0	0
1399	Subtotal	1,293,160	1,199,545	846,804	1,037,901	1,050,000	1,067,754
	<b>Travel on official mission</b>						
1601	Travel – general	230,000	141,085	230,000	195,230	120,000	170,325
1602	Travel of staff to CoP	126,000	85,593	0	19,639	30,000	56,748
1603	Travel of staff to seminars	0	0	0	38,026	93,000	53,665
1699	Subtotal	356,000	226,679	230,000	252,895	243,000	280,739
1999	Total personnel component	3,656,560	2,960,767	3,185,204	2,995,557	3,298,000	3,261,638
<b>2100</b>	<b>Subcontracts</b>						
2101	Nomenclature studies – animals	51,800	68,427	53,900	56,957	10,000	31,436
	Nomenclature studies – plants	56,000	55,259	56,000	59,006	30,000	0
2102	Significant trade – animals	93,100	100,503	95,300	97,604	100,000	94,574
	Significant trade – plants	25,000	25,919	25,000	23,059	25,000	32,393
2103	Species in legislation	45,000	15,956	45,000	55,335	100,000	19,215
2104	Identification Manual – animals	115,000	75,745	120,000	102,621	80,000	46,708
	Identification Manual – plants	20,000	21,920	20,000	0	30,000	14,108

Budget line	Description	1994		1995		1996	
		Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure
2105	Newsletters	0	0	0	0	10,000	0
2106	Scientific support – WCMC	170,000	173,986	155,000	152,545	164,000	169,559
2198	Prior year's adjustment	0	(20,469)	0	(56,972)	0	(17,116)
2199	Subtotal	575,900	517,246	570,200	490,154	549,000	390,878
<b>3200</b>	<b>Group training</b>						
3201	Enforcement seminars	60,000	8,691	60,000	18,250	120,000	17,208
3299	Prior year's adjustment	0	0	0	0	0	(5,512)
3299	Subtotal	60,000	8,691	60,000	18,250	120,000	11,696
<b>3300</b>	<b>Meetings</b>						
3301	Standing Committee	37,000	16,939	40,000	58,270	80,000	85,005
3302	Plants Committee	37,000	31,399	40,000	33,282	50,000	41,152
3303	Animals Committee	37,000	27,792	40,000	37,366	50,000	46,845
3304	African Elephant Panel of Experts	100,000	11,257	0	0	30,000	36,345
3305	Criteria working group	10	0	10	0	0	0
3398	Prior year's adjustment	0	(26,582)	0	(5,024)	0	(4,894)
3399	Subtotal	211,010	60,805	120,010	123,893	210,000	204,453
<b>4100</b>	<b>Expendable equipment</b>	<b>70,000</b>	27,422	70,000	27,938	60,000	35,637
<b>4200</b>	<b>Non-expendable equipment</b>	<b>40,000</b>	70,547	50,000	87,103	50,000	(2,943)
<b>4300</b>	<b>Office premises</b>	<b>80,000</b>	64,221	80,000	69,331	70,000	71,016
4999	Component total	190,000	162,190	200,000	184,371	180,000	103,711
<b>5100</b>	<b>Operation and maintenance</b>						
5101	Maintenance of computers	16,800	1,201	16,800	3,374	20,000	3,083
5102	Maintenance of photocopier	29,400	36,968	21,000	43,713	25,000	39,667
5103	Insurance	5,600	0	5,600	76	5,000	0
5104	Office installation & improvements	0	0	0	2,705	0	0
5105	Cleaning services	30,000	18,946	35,000	35,906	30,000	45,355
5199	Subtotal	81,800	57,115	78,400	85,774	80,000	88,105
<b>5200</b>	<b>Reporting costs</b>						
5201	Printing of Identification Manual	0	7,661	0	11,090	0	0
5202	CoP-related documents	161,000	206,928	56,000	63	56,000	14,007
5203	Security stamps	(22,500)	0	(22,500)	17,676	45,000	15,684
5204	Not related to CoP	35,000	11,645	42,000	0	28,000	7,858
5205	Other publications	0	5,121	0	7,491	20,000	194

Budget line	Description	1994		1995		1996	
		Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure	Approved by CoP	Actual Expenditure
5298	Prior year's adjustment	0	(9,291)	0	(43,207)	0	(9,346)
5299	Subtotal	173,500	222,063	75,500	(6,887)	149,000	28,396
<b>5300</b>	<b>Sundry</b>						
5301	Communications (tlx, tel., etc.)	280,000	164,285	252,000	141,847	240,000	212,246
5302	Logistics for the CoP	42,000	24,335	5,600	(2,522)	0	6,261
5304	Others (bank charges, etc.)	0	8,116	0	228	10,000	8,553
5399	Subtotal	322,000	196,736	257,600	139,554	250,000	227,059
<b>5400</b>	<b>Hospitality</b>	0	278	0	2,217	10,000	2,420
5999	Component total	577,300	476,193	411,500	220,658	489,000	345,981
	TOTAL	5,270,770	4,185,893	4,546,914	4,032,884	4,846,000	4,318,357
<b>6000</b>	<b>UNEP overhead costs (13%)</b>	685,200	544,166	591,099	524,275	629,980	561,386
<b>9999</b>	<b>GRAND TOTAL</b>	<b>5,955,970</b>	<b>4,730,059</b>	<b>5,138,013</b>	<b>4,557,159</b>	<b>5,475,980</b>	<b>4,879,743</b>

\* Based on the average U.N. exchange rates for the year:

1994: USD 1.00 = CHF 1.37

1995: USD 1.00 = CHF 1.19

1996: USD 1.00 = CHF 1.22

**Contributions Received in 1994, 1995 and 1996 as at the End of Each Month (cumulative)**  
(in Swiss francs)

Month	1994			1995			1996		
	For prior years	1994	Total	For prior years	1995	Total	For prior years	1996	Total
	January	13,469	191,248	204,717	12,579	143,384	155,963	384,965	165,174
February	14,018	269,480	283,498	24,762	1,066,867	1,091,629	503,081	278,932	782,013
March	85,368	854,005	939,373	102,729	1,142,815	1,245,545	506,256	1,283,741	1,789,997
April	86,053	938,413	1,024,466	159,155	1,153,839	1,312,994	506,805	1,355,194	1,861,999
May	108,718	1,231,371	1,340,089	163,427	1,430,833	1,594,259	538,635	1,475,436	2,014,071
June	111,434	1,408,816	1,520,250	180,939	1,957,898	2,138,838	541,272	2,126,685	2,667,957
July	196,057	1,498,777	1,694,833	185,692	3,879,453	4,065,145	838,593	2,793,963	3,632,556
August	203,748	1,531,445	1,735,193	206,437	4,001,782	4,208,219	882,902	2,851,135	3,734,037
September	207,015	1,652,155	1,859,170	210,283	4,086,499	4,296,782	882,902	2,919,417	3,802,319
October	226,243	1,692,075	1,918,318	396,329	4,110,672	4,507,002	934,975	3,512,515	4,447,490
November	274,970	1,777,076	2,052,046	397,410	4,116,691	4,514,101	934,975	3,512,515	4,447,490
December	274,970	4,476,176	4,751,147	397,410	4,386,998	4,784,408	1,111,363	3,669,047	4,780,410

**Status of CITES Trust Fund as at 31 December 1996**  
(in Swiss Francs)

<b>Income</b>	
Balance available as at 1 January 1996	4,180,239
Contributions received in 1996	4,728,483
Interest accrued in 1996	25,998
<b>A. TOTAL INCOME OF THE TRUST FUND</b>	<b>8,934,720</b>
<b>Expenditures</b>	
1996 expenditures	4,318,356
13% programme support costs	561,386
<b>B. TOTAL EXPENDITURES/APPROVED COMMITMENTS</b>	<b>4,879,742</b>
<b>C. BALANCE AVAILABLE FOR 1997 ACTIVITIES (A – B)</b>	<b>4,054,977</b>
<b>Requirements</b>	
Requirements in 1997	5,784,500
13% programme support costs	751,985
<b>D. TOTAL ESTIMATED REQUIREMENTS FOR 1997</b>	<b>6,536,485</b>
<b>E. TOTAL EXPENDITURES/COMMITMENTS AND REQUIREMENTS</b>	<b>11,416,227</b>
<b>F. SURPLUS/DEFICIT (A-E)</b>	<b>(2,481,508)</b>

Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties

ESTIMATED EXPENDITURES FOR 1997

1. This document has been prepared by the Secretariat. The Standing Committee took note of a previous version of the document at its 37th meeting (Rome, December 1996).
2. The Conference of the Parties approved the budget for the biennium 1996-97 at its ninth meeting, in November 1994. In the last two-and-a-half years, the priorities and the implementation of some of the activities of the Secretariat have changed, leading to the need for an updated budget schedule that reflects the present requirements.
3. For example, based on previous years' expenditures, the Conference of the Parties approved only CHF 30,000 for external translation in 1997. However, the number of documents to be translated for the 10th meeting of the Conference of the Parties was much greater than expected, and some of the documents are very long. As a result, the required amount under that item of expenditure has increased to CHF 220,000.
4. The servicing of the 10th meeting of the Conference of the Parties will cost more than was anticipated two-and-a-half years ago for a number of reasons. One is that the fees paid to freelance interpreters have gone up significantly. Another reason is that the Secretariat is now paying for the services of some of the rapporteurs, whereas previously they were provided to the Secretariat free of charge by various organizations. The accommodation costs are also higher than expected, and this has contributed to the increase in the daily subsistence allowance of the regular and freelance staff.
5. The fact that the Conference of the Parties did not agree to the Secretariat's request for an increase in personnel has created tremendous pressure on the support staff, creating the need for temporary assistance. The estimated expenditure on temporary assistance (item 1320) therefore reached CHF 180,000 although only CHF 100,000 was budgeted.
6. The Secretariat hopes to cover the above-mentioned increases by reducing other budget lines, mainly those relating to travel of participants and staff to training seminars, non-expendable equipment and communications.
7. The budget of the Standing Committee has been reduced from the original amount of CHF 85,000 to CHF 10,000. The reason for this is because the Standing Committee met twice in 1996, using its 1997 budget in advance. Since the 1997 meeting of the Standing Committee is being held in Harare a day before the meeting of the Conference of the Parties, it will involve relatively little expenditure.
8. Budget line 2100 (subcontracts), which covers substantive activities relating to matters such as the review of national legislations, significant trade, nomenclature studies, identification manuals, etc. has not been affected.

Doc. 10.12 Annex

Estimated Expenditures for 1997

Budget line	Description	1997	
		Approved by CoP9	Estimated expenditure
<b>1100</b>	<b>Professionals</b> (does not include JPOs and seconded staff)		
1112	12 posts funded by the Trust Fund: 1 D1, 2 P5, 6 P4, 2 P3	2,000,000	1,950,000
<b>1200</b>	<b>Consultants</b>		
1201	Translation of documents	30,000	220,000
1202	Technical assistance	35,000	55,000
1203	Significant trade	20,000	0
1204	Monitoring of crocodilian tags	20,000	10,000
1299	Subtotal	105,000	285,000
<b>1300</b>	<b>Administrative support</b>		
1301-09	9 support staff	1,050,000	1,050,000
1320	Temporary assistance/overtime	100,000	180,000
1321	Salary/travel of Conf. staff	410,000	500,000
1399	Subtotal	1,560,000	1,730,000

Budget line	Description	1997	
		Approved by CoP9	Estimated expenditure
<b>1600</b>	<b>Travel</b>		
1601	Travel – General	110,000	80,000
1602	Travel of staff to seminars	65,000	35,000
1603	Travel of staff to CoP10	260,000	240,000
1603	Travel-regional co-ordination	0	60,000
1699	Subtotal	435,000	415,000
1999	Total personnel component	4,100,000	4,380,000
<b>2100</b>	<b>Subcontracts</b>		
2101	Nomenclature studies – animals	10,000	10,000
2102	Nomenclature studies – plants	25,000	25,000
2103	Significant trade – animals	100,000	100,000
2104	Significant trade – plants	25,000	25,000
2105	National legislation	110,000	110,000
2106	Identification Manual – animals	80,000	80,000
2107	Identification Manual – plants	30,000	30,000
	Technical publications	15,000	30,000
	Scientific and technical support – WCMC	194,000	194,000
	Microchip database	37,000	0
2199	Subtotal	626,000	604,000
<b>3200</b>	<b>Group training</b>		
3201	Travel of participants	120,000	40,000
3299	Subtotal	120,000	40,000
<b>3300</b>	<b>Meetings</b>		
3301	Standing Committee	85,000	10,000
3302	Plants Committee	50,000	50,000
3303	Animals Committee	50,000	50,000
3304	African Elephant Panel of Experts	0	0
3399	Subtotal	185,000	110,000
4100	Expendable equipment	60,000	60,000
4200	Non-expendable equipment	40,000	20,000
4300	Office premises	70,000	70,000
4999	Component total	170,000	150,000
<b>5100</b>	<b>Operation and maintenance</b>		
5101	Maintenance of computers	22,000	22,000
5102	Maintenance of photocopier	27,000	25,000
5103	Insurance	5,000	2,000
5104	Cleaning, electricity, etc	35,000	50,000
5199	Subtotal	89,000	99,000

Budget line	Description	1997	
		Approved by CoP9	Estimated expenditure
<b>5200</b>	<b>Reporting costs</b>		
5201	Printing of Identification Manual (to be taken from line 2104)	0	0
5202	CoP-related documents	140,000	140,000
5203	Documents not related to CoP	35,000	10,000
5204	Educational material	20,000	10,000
5205	Security stamps	(22,500)	(9,500)
5299	Subtotal	172,500	150,500
<b>5300</b>	<b>Sundry</b>		
5301	Communications (tlx, tel., fax, etc.)	250,000	180,000
5302	Logistics for the CoP	50,000	50,000
5303	Training material	0	10,000
5304	Other (bank charges, etc.)	12,000	6,000
5399	Subtotal	312,000	246,000
5400	Hospitality	10,000	5,000
5999	Component total	583,500	500,500
	TOTAL	5,784,500	5,784,500
6000	UNEP overhead costs (13%)	751,985	751,985
<b>9999</b>	<b>GRAND TOTAL</b>	<b>6,536,485</b>	<b>6,536,485</b>

## Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties

## BUDGET FOR 1998-2000 AND MEDIUM-TERM PLAN FOR 1998-2002

1. In keeping with the approach agreed at the ninth meeting of the Conference of the Parties, the Secretariat presents its medium-term budget plan (covering five years, split into two financial periods, namely the fixed budget period 1998-2000 and the projected budget period 2001-2002). This budget document, includes the following annexes:
  - Annex 1: Budget Estimates for the CITES Trust Fund 1998-2000
  - Annex 2: Budget Estimates for the CITES Medium-Term Plan 1998-2002
  - Annex 3: Scale of Contributions of Parties for the CITES Trust Fund
  - Annex 4: Proposed Staffing Table (CITES Secretariat – Professional Staff after 1.1.1998)
  - Annex 5: Proposed Staffing Table (CITES Secretariat – Support Staff after 1.1.1998)
  - Annex 6: Draft Resolution of the Conference of the Parties
2. In the presentation of these budget estimates, the Secretariat has followed the approach agreed by the Parties to prepare them in Swiss francs. However, for ease of reference, along with the estimates given in Swiss francs, the figures are provided also in US dollars. The latter are only indicative and have been calculated using the exchange rate of USD 1 = CHF 1.43, which is the UN exchange rate at the time of preparation of this document (February 1997).
 

The Budget Period 1998-2000

  3. The Secretariat presents to the Conference of the Parties a draft budget of CHF 7.5 million for 1998, CHF 8.8 million for 1999 (the year of the 11th meeting of the Conference of the Parties) and CHF 7.7 million for 2000. In preparing this document, the Secretariat has taken note of the discussions at the 37th meeting of the Standing Committee and has revised the proposals for staffing accordingly.
  4. The grand total of the budget for the 1998-2000 triennium is higher than the estimates for the 1998-2000 period in the medium-term plan agreed at the ninth meeting of the Conference of the Parties [Com. 9.5 (Rev.) Annex]. This increase is due mainly to a number of consequential factors, to new budget items and to modifications in existing items.
  5. The consequential factors include:
    6. – The ever-growing number of Parties to the Convention, which is a measure of the international success of CITES and of the importance the States attach to it. At the moment of preparation of this document the number of Parties has grown from 123 at the time of the ninth meeting of the Conference of the Parties to 135. This fact alone increases the amount required for almost every budget line, especially when it is realised that all of the new Parties are developing countries, which need greater assistance for the more effective implementation of CITES (capacity building, relevant national legislation, etc.), for more meaningful participation in the meetings and Committees of the Convention and for better communications with the Secretariat and with other Parties, especially within the Regions.
  7. – The necessity to implement the various decisions of the Conference of the Parties, which have very serious financial implications. These range from the implementation of the CITES legislation project to the project to print permits on security paper;
  8. – The increased costs of maintaining the Secretariat (both professional and support staff) are a result of the obligatory changes of UN salary scales. There is also a necessity to provide sums for temporary assistance and overtime (line 1320); and
  9. – The strong exchange rate of the US dollar prevailing at the time of preparation of this document. Since the Secretariat salaries are based on US dollars, the budgeted CHF amounts for staffing have increased as the ratio of the CHF to the USD has decreased.
  10. The new or modified budget items include:
 

African Elephant – Panel of Experts (line 3304)
  11. If Parties decide to maintain this budget line, funds will be necessary only for 1999. The CHF 30,000 currently allocated is not enough. Members of the Panel have already complained about the insufficient time available for accomplishing their task. Consequently, the Secretariat proposes an amount of CHF 60,000 for this budget line. It should be noted that in previous years, the Parties agreed to put CHF 100,000 for this budgetary item.
 

Annual reports (line 1204)
  12. The Secretariat does not have the capacity to deal adequately with the review of annual reports and with the provision of assistance to the Parties. The annual reports of the Parties are passed to WCMC for computerisation and WCMC writes to the Parties to clarify anything that is not clear in the data. WCMC also brings to the Secretariat's attention indications of potentially illegal trade. The Secretariat has also asked them to find a way to bring to its attention trade that exceeds an export quota and important upward trends in trade. Regional Co-ordinators review the reports and, as a result, questions are raised that need to be followed up. These follow-ups have not been possible within the Secretariat because staff resources are inadequate. The Secretariat has written letters to a large number of Parties to offer assistance in the production of annual reports but has had few responses. However, many Parties have indicated, in response to a questionnaire, that they would like to have standard computer software for the production of annual reports. The Secretariat has asked WCMC to take this up in the preparation of the Information Management Strategy. A consultancy contract to enable the Secretariat to review all the annual reports of the Parties, to follow up by contacting the Parties and to provide direct assistance where it is needed will require CHF 42,000 each year.
 

Capacity Building Initiatives
  13. *Training materials* (line 4102): Training materials are currently developed within the Secretariat. Nevertheless, in some cases, external work is required (e.g. duplication of slides, production of video tapes, prepa-

ration of computer programmes, etc.). When material is duplicated in order to be sent to Parties, the cost for external duplication services requires additional funds. To accommodate these additional costs a new budget line has been created at CHF 20,000 each year.

14. *Regional Training Seminars* (line 5303): The organization of regional seminars involves costs other than travel of participants and trainers, e.g. renting a room, transport of participants, renting of equipment, etc. For national seminars, these costs are paid by the Party concerned. To accommodate these additional costs, the regional seminars require a new budget line of CHF 25,000 (1998), CHF 15,000 (1999) and CHF 25,000 (2000).

CITES Checklist and Annotated Appendices and Reservations (line 2107)

15. These publications are produced by WCMC from their database systems. Currently the Secretariat contributes to these publications from the revolving fund and WCMC seeks funding from other sources to pay for the preparation and printing. Obviously this is not a satisfactory arrangement as there is no assurance at all that they will continue to be produced, although the Parties indicate that they find these publications very useful. We should aim to meet the needs of the Parties by including the costs in the Trust Fund budget to produce these two documents as CITES publications, after each meeting of the Conference of the Parties. The cost of producing the editions after the 11th meeting of the Conference of the Parties would be about CHF 48,000 for the Checklist and CHF 24,000 for the Annotated Appendices and Reservations, including the preparation of the text and the printing of 1,000 copies and distribution to the Parties. To accommodate these additional costs, a budget of CHF 72,000 (2000) is required.

CITES Listserver (line 2109)

16. The Secretariat is planning to establish a discussion facility (a "listserver") on the Internet that is open only to CITES Management and Scientific Authorities and other authorized users, which might include Customs officers and other enforcement officers, and members of CITES technical committees. This will provide a very quick way of sharing information and views among those concerned directly with CITES implementation. Any message that is sent by computer to the listserver will be immediately transmitted by computer to all the other authorized users. The cost will be higher in the first year, to set up the system. After that, little work is required. The estimated cost is: CHF 12,000 to establish the system and run it for the first year (1998), CHF 4,800 (1999) and CHF 4,800 (2000).

CITES Web Site (line 2108)

17. The Secretariat aims to make all the major CITES reference materials available from a CITES Web Site. These include the text of the Convention, the appendices, Resolutions and Decisions of the Conference of the Parties, current Notifications to the Parties, the Directory of Management and Scientific Authorities, the Evolution of CITES, the CITES Checklist, the Annotated Appendices and Reservations and, possibly, reports on significant trade, the CITES Identification Manual, etc. If WCMC does this for CITES, as planned, the establishment costs (during 1998) will be CHF 48,000 and the annual running costs will be about CHF 12,000. Each 3-4 years the system should be reviewed, so that, in the medium-term plan, we should need to include some CHF 25,000 for making major revisions if necessary in 2001. To accommodate these additional costs, the

following budget is required: CHF 60,000 (1998), CHF 19,200 (1999) and CHF 19,200 (2000).

Counterpart Contributions for Projects (line 2110)

18. The majority of external donors are not ready or not able to finance in full the total costs of projects. Also, there is a tendency for them to offer funds for 50 to 80 per cent of the total cost of a project. Therefore, there is a need for the Secretariat to secure counterpart contributions to initiate externally funded projects. To accommodate this initiative a new budget line has been created at CHF 60,000 each year.

Development of Standardized Indicators – Rhinoceros Conservation (line 2111)

19. In paragraph b), under 'Directs', of Resolution Conf. 9.14, the Conference of the Parties directs the Standing Committee to ensure that "*standardized indicators of success are developed to measure changes in levels of illegal hunting and of the status of rhinoceros populations in the range States*". It follows that the Standing Committee should not recommend any action before such indicators have been developed and agreed. The cost item proposed here is to enable the IUCN/SSC Rhino Specialist Groups (for Africa and for Asia) to finalize the development of standardized indicators, on which preliminary work was reported by the Secretariat at the 37th meeting of the Standing Committee (Doc. SC.37.17). This will involve the convening, in 1998, of two meetings of experts from within and outside the Rhino Specialist Groups. The first meeting is to develop further the preliminary work that has been undertaken and to identify gaps, and the second to finalize a draft document for consideration and approval of the Standing Committee. The cost, namely CHF 43,000 (1998), includes the participation of a professional member of staff of the Secretariat in one or both of the meetings. Further discussion of the issue is provided in document Doc. 10.48.

English Documentation (line 1112)

20. The Secretariat does not currently have the resources to translate documents into English, except informally when other priorities allow. However, CITES has three working languages and the Secretariat does receive and write documents in French and Spanish. Moreover, the editing of English documents is problematic. Currently a P4 officer takes responsibility for editing documents in English, including papers to the Conference of the Parties and the permanent Committees, Notifications to the Parties, training material, reports produced by consultants, letters, faxes, e-mails, etc. However, because this officer is fully assigned to other duties and the volume of documentation has increased considerably in the Secretariat, it will not be possible to do all of the editing that has to be done and much of it that is done will be delayed. It is worth stressing that documents often need to be edited in English before they are translated, in order to avoid ambiguities. Moreover it is important that Parties that normally use Spanish or French should be able to communicate in these languages to ensure that they are properly understood. The Secretariat therefore has a need for a professional to translate documents into English and to edit documents that it prepares in English or are sent to it in English. In order to do this, the Secretariat will need to employ a professional officer (at P3 level) at a cost of CHF 160,000 for 1998, CHF 163,000 for 1999 and CHF 166,000 for 2000.

Evaluation of Captive-breeding / Ranching Operations (line 1205)

21. In 1995 the Secretariat contracted the services of an expert to verify whether animals produced in reptile

farms in Benin, Ghana and Togo were 'bred in captivity' in accordance with the definition of this term in Resolution Conf. 2.12 (Rev.), and to provide advice on improvements that could be made. This proved very valuable to the countries concerned and to the Secretariat, as well as to importing countries. A number of countries in the African region have operations that claim to produce captive-bred or ranched Appendix-I and/or Appendix-II specimens. The number of such operations is growing rapidly and this will cause problems that will need to be solved quickly. The Secretariat does not have the necessary resources to evaluate the operations and it is proposed to include in the budget for 1998 and 1999 the sum of CHF 25,000.

Identification Manual – Plants (line 2104)

22. By the end of 1997 a series of Identification Manual sheets on various taxa, produced in French or in English, will be ready for printing, or will have been printed. The following activities will need to be undertaken and financed for 1998 and 1999 – translation, editing and printing of: seven sheets "Identification of wild and artificially propagated orchids", including three colour pages (CHF 6,800); 16 sheets on cycads (CHF 15,000); 15 sheets on *Tillandsia* (CHF 11,800); 14 sheets on carnivorous plants (CHF 12,500); three sheets on *Prunus africana*, including one colour page (CHF 2,500); six sheets on exempted artificially propagated plants (CHF 4,500); eight sheets on *Pachypodium* (CHF 6,400); 10 sheets on *Euphorbia* (CHF 8,000). The amount required is: CHF 50,000 (1998), CHF 50,000 (1999) and CHF 50,000 (2000). A detailed justification for this budget request is provided in document Doc. 10.17.

Nomenclature Studies – Plants (line 2101)

23. The following items of work are planned for the triennium:
24. – *Continuation of the preparation of the Checklist of Orchidaceae*: Following the publication of volume 2 of the CITES Orchidaceae Checklist, about 20 genera, some with a large number of species, still need to be dealt with. These are the last of the genera originally included in the list of genera for which the Conference of the Parties agreed that checklists should be prepared (cf. Doc. 8.31 and Doc. 9.34). The amount proposed here (namely CHF 29,000 each year) will allow the work to progress for those taxa that are most relevant for the orchid trade.
25. – *Remaining taxa for which checklists need to be developed*: Carnivorous plants: no existing information for easy reference for CITES use is currently available. *Aloe* and *Pachypodium*: the basic information will be available soon in the form of a specialised lexicon. However, this document will be too complex and expensive for CITES purposes. Extracts need to be made to adapt this information for easy CITES reference. A budget of CHF 14,500 each year is proposed.
26. – If the 10th meeting of the Conference of the Parties approves the proposed programme for Significant-Trade Studies on plants, the need may arise to develop a checklist for the plants under study. Therefore, it is proposed to allocate for the years 1999 and 2000 an annual sum of CHF 14,500 for nomenclature studies on these taxa.
27. – Having the information on Orchidaceae, Cactaceae and other taxa stored in databases, there is a need for maintaining and updating these. An annual amount of CHF 7,000 has been proposed for this purpose.

28. In total therefore, CHF 50,000 (1998), CHF 65,000 (1999) and CHF 65,000 (2000) is required. A detailed justification for this budget request is provided in document Doc. 10.18.

Non-expendable Equipment (line 4200)

29. The amount in the budget line for "non-expendable equipment" has been significantly increased in 1999 to provide for new computers. The existing computers were bought in 1994 and 1995. Not only does computer equipment get old or break down, but it becomes outdated with the increasing need to use new and updated software. It is therefore sensible and necessary to plan to update the equipment every four to five years.

Permit Confirmation (lines 1113 and 1310)

30. Until recently, permit confirmation in the Secretariat was handled by the Regional Co-ordinators and their support staff. This represented between 10 and 20 per cent of the time of five professionals (two at P5, three at P4) and five support staff (two at G5, three at G4) and, with this allocation, some 3,000 permits were confirmed each year. Because Regional Co-ordinators have other duties in the Secretariat (see below), significant delays were experienced by the Parties seeking confirmation of the permits they submitted. Recently, a Permit Confirmation Group, comprising a Permit Confirmation Officer (P2/3) and a Permit Confirmation Assistant (G4), was established under consultancy contracts within the Secretariat. This group has responsibility for: *examining permits sent by the Parties and evaluating the accuracy of the information they contain; recommending on the validity of the permits submitted and drafting answers to the Parties; developing and maintaining the database on permit confirmation; assisting in the development and review of the Secretariat's policy on permit confirmation; arranging the printing and management of security stamps; arranging the printing of CITES permits on security paper; preparing permit-related correspondence to the Parties; assessment of problems related to CITES permits and proposing appropriate solutions.* The establishment of the Permit Group has enabled the Secretariat's professional staff to devote a greater percentage of their time to Regional Co-ordination projects. For the permit confirmation work of the Secretariat to continue however, the Conference of Parties will need to approve the funds required to staff the Group – without this funding the Secretariat will be forced to abandon its Permit Confirmation programme. The funds required to maintain the Group are CHF 256,000 (1998), CHF 262,000 (1999) and CHF 267,000 (2000).

Printing of Permits on Security Paper (line 5203)

31. Printing of permits is normally paid for by the Party which requests it or by external funding. In some cases, some emergency requests are made to the Secretariat to print permits on security paper at a time when external funding is not available. In addition, the Secretariat intends to print permits on security paper without the name and address of the Management Authority, which will be used for Parties with a limited number of permits issued each year (particularly small islands). The funds required are CHF 20,000 (1998), CHF 20,000 (1999) and CHF 20,000 (2000).

Regional Co-ordination (line 1114)

32. Regional Co-ordinators are responsible for: identifying, assessing and advising on CITES problems relevant to the countries in the region; establishing contacts with Management Authorities in the region and acting as their focal point; providing direct assistance to the

Management Authorities, in particular regarding documentation or information relevant to CITES implementation; assisting in, and facilitating, the identification of needs of Parties in the region, such as training, enforcement and legislation, species projects and, within the Secretariat, communicating and co-operating with the relevant functional work units to provide the assistance that the Parties require; during visits to any Party, contacting the Management Authority and, with the consent of the Management Authority, contacting the Scientific Authority(ies) and any other organizations concerned with the implementation of the Convention; establishing, where necessary, close links with permanent missions in Geneva regarding activities carried out in the countries in the region; providing assistance to Parties in the identification of infractions and in following-up infractions in the region; attending and, if necessary, assisting in the organization of regional CITES meetings and participating in other relevant fora in the region to inform countries about CITES and its mission; visiting all new Parties and providing them with the information they require to implement the Convention; establishing contacts with non-Parties in the region and providing information and documentation and any assistance that may be required. Currently within the Secretariat, eight professional staff are involved in regional co-ordination. At present, the officers responsible for areas such as scientific co-ordination, plants, quota control, enforcement, capacity building, project management and convention monitoring and interpretation are also working as Regional Co-ordinators. This has created tremendous pressure on the staff, especially because of the substantial increase in the number of Parties that the Regional Co-ordinators have to work with. For example, there are now 46 Parties in Africa, 32 in Europe, 28 in Central and South America and the Caribbean and 22 in Asia. Acknowledging this work-load, the Parties, at the seventh meeting of the Conference of Parties, approved the creation of three new posts of Associate Regional Officers to be financed from external funding. Only the post for Asia was filled, by a generous secondment from the Government of Japan, but this contract expired in 1995. To provide greater support to the Parties, the Secretariat proposes the establishment of a post for a new associate programme officer (P2) to take up part of the work-load of regional co-ordination for Africa. To establish this new position the Secretariat requires CHF 136,000 (1998), CHF 140,000 (1999) and CHF 143,000 (2000).

#### Significant Trade (line 2102)

33. *Animals*: An amount of CHF 170,000 is required each year. This includes desk and field studies on species selected under the procedure in Resolution Conf. 8.9. Desk studies will be carried out only during 1998, whereas field studies will be conducted in 1999 and 2000.
34. *Plants*: At its seventh meeting, the Plants Committee discussed a report by WCMC on the quality of the data provided in the annual reports for plants. One of the most important conclusions was that the trade data available could not easily be used for a review of the trade in plants, along procedures similar to those already established for the trade in animal species listed in Appendix II. The Plants Committee consequently agreed on a set of procedures to implement Significant-Trade Studies for Plants. Rather than developing one large project, it is proposed to create a series of smaller projects, each designed for specific taxa, taking into account the deficiencies of the annual reporting on plants by the Parties, and aimed at using the maximum potential of WCMC, TRAFFIC and the

IUCN/SSC Specialist Groups and other experts as appropriate on the taxa concerned. The Plants Committee's Co-ordinator for Significant-Trade Studies for Plants and the CITES Secretariat further developed these agreed procedures in a programme of activities including projects targeted on specific taxa or on countries with a known high volume of trade in particular taxa. For the purpose of this programme the projects are arranged into four groups, each created in relation to the data available in the CITES trade database:

35. – *Group A (data sufficient)* will include projects on taxa for which sufficient trade data are believed to be available from the CITES annual reports (*Galanthus*, *Cyclamen*, CHF 35,000);
36. – *Group B (taxa rich in species – data poor)* will include projects on taxa with a large number of species (e.g. orchids) for which there is a varying quantity and quality of trade information and a lack of information on conservation status, requiring immediate expert review, because trade data alone are not sufficient to make a selection (Succulents: cacti, CHF 57,500; *Euphorbia*, *Aloe*, CHF 29,000. Orchids: Thailand orchid trade (on-going), funding still required CHF 70,700; China, CHF 194,000; Madagascar, CHF 145,000. Review of international trade in cycads CHF 22,000);
37. – *Group C (target taxa)* will include projects on taxa for which CITES annual report data are inadequate and incomplete and where field investigations are urgently required. They will cover: single species listings or small discrete groups (*Prunus africana* CHF 40,000; *Aquilaria malaccensis* CHF 57,000); Medicinal Plants: Traded as roots (*Panax quinquefolius* excluded) CHF 36,000; Traded as other parts and derivatives, CHF 70,000);
38. – *Group D (new taxa)* will incorporate projects on taxa that are newly included in the appendices and for which it could be expected that trade reporting might be insufficient, as based on the conclusions of the WCMC report (*Hydrastis*, *Nardostachys*, *Picrorhiza*, CHF 35,700. Development of detailed projects for presentation to and approval by the Standing Committee, CHF 7,200).
39. The final project proposals will be submitted to the Standing Committee for approval. An amount of CHF 250,000 (1998), CHF 320,000 (1999) and CHF 178,000 (2000) is required. A more detailed justification for this budget request is provided in document Doc. 10.56.

#### Technical Committees

40. The budget proposed for two of the technical committees (namely the Animals and Plants Committees) is derived from the expenditures for their previous meetings. These meetings had simultaneous interpretation into Spanish and English, provided through external sources. The same should apply in future meetings as well. In order to maintain adequate participation from Spanish-speaking countries and to encourage the participation of French-speaking ones, it will be essential to continue providing simultaneous interpretation facilities in the three languages of the Convention. However, the host country might not always be able to provide this assistance. Sufficient funds, therefore, have to be allocated in the budget for both committees. It would not be appropriate to expect simultaneous interpretation to be provided through external funding. Also included is an amount of CHF 15,000 annually for assistance to the regions (e.g. for travel to regional meetings).

41. An amount of CHF 100,000 each year is required for each Committee. The reports of the Chairmen (documents Doc. 10.15 and Doc. 10.16) provide the explanations for these increases.

Translation of Documents (line 1201)

42. In preparation for the next meeting of the Conference of Parties, experience has shown that there will be a need to employ external contractors to translate the numerous amendment proposals and working documents in time for the meeting. Therefore, the Secretariat proposes to increase the budget line for this item to CHF 100,000 in 1999.
43. As can be seen from the above presentation, the main reason for the increase in the budget as compared to the projections made in 1994 relates to the substantive activities rather than to the administrative costs of the Secretariat. These latter costs have been contained within the amounts projected previously. The Parties are asked to decide whether they support the substantive activities presented here.

The Projected Budget Period 2001-2002

44. The financial provisions for the second period of the medium-term plan, the biennium 2001-2002 have been prepared on the basis of zero growth, apart from the usual five per cent annual increase to offset inflation.
45. In conclusion, the Secretariat wants again to thank the Parties for their continuous support for its work. The Secretariat expresses its hope that all the Parties to the Convention will in the future pay their contributions fully and on time, i.e. at the beginning of the year for which the contribution is due. This would be of great help for the better planning and implementation of the tasks with which the Secretariat has been charged.
46. For its part, the Secretariat will continue to manage the funds provided by the member States and other agencies and organizations, very frugally as it has done in previous years.

**Doc. 10.13 (Rev.) Annex 1**

**Proposed Budget for the Triennium 1998-2000**

(expressed in Swiss Francs using exchange rate of USD 1.00 = CHF 1.43)

Budget line	Description	1998		1999		2000	
		CHF	USD	CHF	USD	CHF	USD
1100	Professionals (does not include JPOs and seconded staff)						
1101-1114	14 posts funded by the Trust Fund: 1 D1, 2 P5, 6 P4, 3 P3, 2 P2	2,656,000	1,857,343	2,720,000	1,902,098	2,786,000	1,948,252
1200	Consultants						
1201	Translation of documents	5,000	3,497	100,000	69,930	5,000	3,497
1202	General	40,000	27,972	40,000	27,972	50,000	34,965
1203	Technical Assistance	40,000	27,972	40,000	27,972	50,000	34,965
1204	Annual reports	42,000	29,371	42,000	29,371	40,000	27,972
1205	Evaluation of captive breeding	25,000	17,483	0	0	25,000	17,483
1299	<b>Subtotal</b>	152,000	106,294	222,000	155,245	170,000	118,881
1300	Administrative support						
1301-10	10 support staff	1,225,000	856,643	1,252,000	875,524	1,276,000	892,308
1320	Temporary assistance/overtime	100,000	69,930	160,000	111,888	100,000	69,930
1321	Salary/travel of Conf. staff	0	0	416,000	290,909	0	0
1399	<b>Subtotal</b>	1,325,000	926,573	1,828,000	1,278,322	1,376,000	962,238
1600	Travel						
1601	Travel – General	170,000	118,881	175,000	122,378	180,000	125,874
1602	Travel of staff to CoP & SC	10,000	6,993	250,000	174,825	30,000	20,979
1603	Travel of staff to seminars	50,000	34,965	30,000	20,979	50,000	34,965
1699	<b>Subtotal</b>	230,000	160,839	455,000	318,182	260,000	181,818
1999	<b>Total personnel component</b>	4,363,000	3,051,049	5,225,000	3,653,846	4,592,000	3,211,189

Budget line	Description	1998		1999		2000	
		CHF	USD	CHF	USD	CHF	USD
2100	Subcontracts						
2101	Nomenclature studies – animals	10,000	6,993	15,000	10,490	15,000	10,490
	Nomenclature studies – plants	50,000	34,965	65,000	45,455	65,000	45,455
2102	Significant trade – animals	170,000	118,881	170,000	118,881	170,000	118,881
	Significant trade – plants	250,000	174,825	320,000	223,776	178,000	124,476
2103	National legislation	110,000	76,923	120,000	83,916	120,000	83,916
2104	Identification Manual – animals	85,000	59,441	90,000	62,937	90,000	62,937
	Identification Manual – plants	50,000	34,965	50,000	34,965	50,000	34,965
2105	Technical publications	15,000	10,490	15,000	10,490	15,000	10,490
2106	Trade monitoring and technical support – WCMC	200,000	139,860	200,000	139,860	200,000	139,860
2107	CITES Checklist & Annotated Appendices and Resolutions	0	0	0	0	72,000	50,350
2108	CITES Web site	60,000	41,958	19,200	13,427	19,200	13,427
2109	CITES Listserver	12,000	8,392	4,800	3,357	4,800	3,357
2110	Counterpart contributions to externally funded projects	60,000	41,958	60,000	41,958	60,000	41,958
2111	Development of standardized indicators (Rhinceros)	42,000	29,371	0	0	0	0
2199	<b>Subtotal</b>	1,114,000	779,021	1,129,000	789,510	1,059,000	740,559
3200	Travel of participants to seminars	90,000	62,937	50,000	34,965	90,000	62,937
3299	<b>Subtotal</b>	90,000	62,937	50,000	34,965	90,000	62,937
3300	Meetings/Committees						
3301	Standing Committee	100,000	69,930	100,000	69,930	100,000	69,930
3302	Plants Committee	100,000	69,930	100,000	69,930	100,000	69,930
3303	Animals Committee	100,000	69,930	100,000	69,930	100,000	69,930
3304	African elephant Panel of Experts	0	0	60,000	41,958	0	0
3305	Co-ordination with other conventions and ECG	15,000	10,490	15,000	10,490	15,000	10,490
3399	<b>Subtotal</b>	315,000	220,280	375,000	262,238	315,000	220,280
4100	Expendable equipment						
4101	Office supplies	60,000	41,958	70,000	48,951	80,000	55,944
4102	Training supplies	20,000	13,986	10,000	6,993	20,000	13,986
4200	Non-expendable equipment	50,000	34,965	100,000	69,930	30,000	20,979
4300	Office premises	0	0	0	0	0	0
4999	Component total	130,000	90,909	180,000	125,874	130,000	90,909

Budget line	Description	1998		1999		2000	
		CHF	USD	CHF	USD	CHF	USD
5100	Operation and maintenance						
5101	Maintenance of computers	20,000	13,986	30,000	20,979	30,000	20,979
5102	Maintenance of photocopiers	30,000	20,979	50,000	34,965	30,000	20,979
5103	Cleaning, heating, insurance, etc	115,000	80,420	115,000	80,420	115,000	80,420
5199	<b>Subtotal</b>	165,000	115,385	195,000	136,364	175,000	122,378
5200	Reporting/Printing costs						
5201	CoP-related documents	0	0	150,000	104,895	40,000	27,972
5202	Documents not related to CoP	30,000	20,979	10,000	6,993	30,000	20,979
5203	Permits on security paper	20,000	13,986	20,000	13,986	20,000	13,986
5204	Other publications	15,000	10,490	10,000	6,993	15,000	10,490
5299	<b>Subtotal</b>	65,000	45,455	190,000	132,867	105,000	73,427
5300	Sundry						
5301	Communications (tlx, tel., fax, etc.)	250,000	174,825	255,000	178,322	260,000	181,818
5302	Logistics for the CoP	0	0	70,000	48,951	0	0
5303	Logistics for regional seminars	25,000	17,483	15,000	10,490	25,000	17,483
5304	Other (bank charges, etc.)	5,000	3,497	5,000	3,497	5,000	3,497
5399	<b>Subtotal</b>	280,000	195,804	345,000	241,259	290,000	202,797
5400	Hospitality	10,000	6,993	10,000	6,993	10,000	6,993
5999	Component total	520,000	363,636	740,000	517,483	580,000	405,594
	<b>TOTAL</b>	6,532,000	4,567,832	7,699,000	5,383,916	6,766,000	4,731,469
6000	UNEP overhead costs (13%)	849,160	593,818	1,000,870	699,909	879,580	615,091
<b>9999</b>	<b>GRAND TOTAL</b>	<b>7,381,160</b>	<b>5,161,650</b>	<b>8,699,870</b>	<b>6,083,825</b>	<b>7,645,580</b>	<b>5,346,559</b>

## Budget Estimates for the Medium-term Plan 1998-2002

(figures provided in USD are only indicative, using the exchange rate of USD 1.00 = CHF 1.43)

Budget line	Description	1998		1999		2000		2001		2002	
		CHF	USD	CHF	USD	CHF	USD	CHF	USD	CHF	USD
1100	Professional staff	2,656,000	1,857,343	2,720,000	1,902,098	2,786,000	1,948,252	2,925,300	2,045,664	3,128,000	2,187,413
1200	Consultants	152,000	106,294	222,000	155,245	170,000	118,881	178,500	124,825	255,300	178,531
1300	Support staff	1,325,000	926,573	1,828,000	1,278,322	1,376,000	962,238	1,444,800	1,010,350	2,102,200	1,470,070
1600	Travel	230,000	160,839	455,000	318,182	260,000	181,818	273,000	190,909	523,250	365,909
2100	Sub-contracts	1,114,000	779,021	1,129,000	789,510	1,059,000	740,559	1,111,950	777,587	1,298,350	907,937
3200	Training	90,000	62,937	50,000	34,965	90,000	62,937	94,500	66,084	57,500	40,210
3300	Meetings/ Committees	315,000	220,280	375,000	262,238	315,000	220,280	330,750	231,294	431,250	301,573
4000	Premises and equipment	130,000	90,909	180,000	125,874	130,000	90,909	136,500	95,455	207,000	144,755
5100	Maintenance costs	165,000	115,385	195,000	136,364	175,000	122,378	183,750	128,497	224,250	156,818
5200	Reporting costs	65,000	45,455	190,000	132,867	105,000	73,427	110,250	77,098	218,500	152,797
5300	Sundry	280,000	195,804	345,000	241,259	290,000	202,797	304,500	212,937	396,750	277,448
5400	Hospitality	10,000	6,993	10,000	6,993	10,000	6,993	10,500	7,343	11,500	8,042
	Total allocations	6,532,000	4,567,832	7,699,000	5,383,916	6,766,000	4,731,469	7,104,300	4,968,042	8,853,850	6,191,503
6000	Administrative costs – UNEP (13%)	849,160	593,818	1,000,870	699,909	879,580	615,091	923,559	645,845	1,151,001	804,895
<b>9999</b>	<b>GRAND TOTAL</b>	<b>7,381,160</b>	<b>5,161,650</b>	<b>8,699,870</b>	<b>6,083,825</b>	<b>7,645,580</b>	<b>5,346,559</b>	<b>8,027,859</b>	<b>5,613,887</b>	<b>10,004,851</b>	<b>6,996,399</b>

## Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora

SCALE OF CONTRIBUTIONS FOR THE TRIENNIUM 1998-2000  
(amounts in USD are only indicative; USD 1.00 = CHF 1.43)

Party	UN Scale %	Total 1998-2000		Annual Contribution	
		CHF	USD	CHF	USD
Afghanistan	0.01	2,425	1,696	808	565
Algeria	0.16	38,797	27,131	12,932	9,044
Argentina	0.48	116,390	81,392	38,797	27,131
Australia	1.48	358,870	250,958	119,623	83,653
Austria	0.87	210,957	147,522	70,319	49,174
Bahamas	0.02	4,850	3,391	1,617	1,130
Bangladesh	0.01	2,425	1,696	808	565
Barbados	0.01	2,425	1,696	808	565
Belarus	0.28	67,894	47,478	22,631	15,826
Belgium	1.01	244,904	171,262	81,635	57,087
Belize	0.01	2,425	1,696	808	565
Benin	0.01	2,425	1,696	808	565
Bolivia	0.01	2,425	1,696	808	565
Botswana	0.01	2,425	1,696	808	565
Brazil	1.62	392,817	274,697	130,939	91,566
Brunei Darussalam	0.02	4,850	3,391	1,617	1,130
Bulgaria	0.08	19,398	13,565	6,466	4,522
Burkina Faso	0.01	2,425	1,696	808	565
Burundi	0.01	2,425	1,696	808	565
Cameroon	0.01	2,425	1,696	808	565
Canada	3.11	754,111	527,350	251,370	175,783
Central African Republic	0.01	2,425	1,696	808	565
Chad	0.01	2,425	1,696	808	565
Chile	0.08	19,398	13,565	6,466	4,522
China	0.74	179,435	125,479	59,812	41,826
Colombia	0.10	24,248	16,957	8,083	5,652
Comoros	0.01	2,425	1,696	808	565
Congo	0.01	2,425	1,696	808	565
Costa Rica	0.01	2,425	1,696	808	565
Côte d'Ivoire	0.01	2,425	1,696	808	565
Cuba	0.05	12,124	8,478	4,041	2,826
Cyprus	0.03	7,274	5,087	2,425	1,696
Czech Republic	0.25	60,620	42,392	20,207	14,131
Denmark	0.72	174,585	122,088	58,195	40,696
Djibouti	0.01	2,425	1,696	808	565
Dominica	0.01	2,425	1,696	808	565
Dominican Republic	0.01	2,425	1,696	808	565
Ecuador	0.02	4,850	3,391	1,617	1,130

Party	UN Scale %	Total 1998-2000		Annual Contribution	
		CHF	USD	CHF	USD
Egypt	0.08	19,398	13,565	6,466	4,522
El Salvador	0.01	2,425	1,696	808	565
Equatorial Guinea	0.01	2,425	1,696	808	565
Eritrea	0.01	2,425	1,696	808	565
Estonia	0.04	9,699	6,783	3,233	2,261
Ethiopia	0.01	2,425	1,696	808	565
Finland	0.62	150,337	105,131	50,112	35,044
France	6.42	1,556,718	1,088,614	518,906	362,871
Gabon	0.01	2,425	1,696	808	565
Gambia	0.01	2,425	1,696	808	565
Georgia	0.11	26,673	18,652	8,891	6,217
Germany	9.06	2,196,863	1,536,268	732,288	512,089
Ghana	0.01	2,425	1,696	808	565
Greece	0.38	92,142	64,435	30,714	21,478
Guatemala	0.02	4,850	3,391	1,617	1,130
Guinea	0.01	2,425	1,696	808	565
Guinea-Bissau	0.01	2,425	1,696	808	565
Guyana	0.01	2,425	1,696	808	565
Honduras	0.01	2,425	1,696	808	565
Hungary	0.14	33,947	23,739	11,316	7,913
India	0.31	75,169	52,565	25,056	17,522
Indonesia	0.14	33,947	23,739	11,316	7,913
Iran (Islamic Republic of)	0.45	109,116	76,305	36,372	25,435
Israel	0.27	65,469	45,783	21,823	15,261
Italy	5.25	1,273,017	890,222	424,339	296,741
Japan	15.65	3,794,803	2,653,708	1,264,934	884,569
Jordan	0.01	2,425	1,696	808	565
Kenya	0.01	2,425	1,696	808	565
Latvia	0.08	19,398	13,565	6,466	4,522
Liberia	0.01	2,425	1,696	808	565
Liechtenstein	0.01	2,425	1,696	808	565
Luxembourg	0.07	16,974	11,870	5,658	3,957
Madagascar	0.01	2,425	1,696	808	565
Malawi	0.01	2,425	1,696	808	565
Malaysia	0.14	33,947	23,739	11,316	7,913
Mali	0.01	2,425	1,696	808	565
Malta	0.01	2,425	1,696	808	565
Mauritius	0.01	2,425	1,696	808	565
Mexico	0.79	191,559	133,957	63,853	44,652
Monaco	0.01	2,425	1,696	808	565
Mongolia	0.01	2,425	1,696	808	565
Morocco	0.03	7,274	5,087	2,425	1,696

Party	UN Scale %	Total 1998-2000		Annual Contribution	
		CHF	USD	CHF	USD
Mozambique	0.01	2,425	1,696	808	565
Namibia	0.01	2,425	1,696	808	565
Nepal	0.01	2,425	1,696	808	565
Netherlands	1.59	385,542	269,610	128,514	89,870
New Zealand	0.24	58,195	40,696	19,398	13,565
Nicaragua	0.01	2,425	1,696	808	565
Niger	0.01	2,425	1,696	808	565
Nigeria	0.11	26,673	18,652	8,891	6,217
Norway	0.56	135,788	94,957	45,263	31,652
Pakistan	0.06	14,549	10,174	4,850	3,391
Panama	0.01	2,425	1,696	808	565
Papua New Guinea	0.01	2,425	1,696	808	565
Paraguay	0.01	2,425	1,696	808	565
Peru	0.06	14,549	10,174	4,850	3,391
Philippines	0.06	14,549	10,174	4,850	3,391
Poland	0.33	80,018	55,957	26,673	18,652
Portugal	0.28	67,894	47,478	22,631	15,826
Republic of Korea	0.82	198,833	139,044	66,278	46,348
Romania	0.15	36,372	25,435	12,124	8,478
Russian Federation	4.27	1,035,387	724,047	345,129	241,349
Rwanda	0.01	2,425	1,696	808	565
Saint Kitts & Nevis	0.01	2,425	1,696	808	565
Saint Lucia	0.01	2,425	1,696	808	565
Saint Vincent and the Grenadines	0.01	2,425	1,696	808	565
Saudi Arabia	0.71	172,160	120,392	57,387	40,131
Senegal	0.01	2,425	1,696	808	565
Seychelles	0.01	2,425	1,696	808	565
Sierra Leone	0.01	2,425	1,696	808	565
Singapore	0.14	33,947	23,739	11,316	7,913
Slovakia	0.08	19,398	13,565	6,466	4,522
Somalia	0.01	2,425	1,696	808	565
South Africa	0.32	77,593	54,261	25,864	18,087
Spain	2.38	577,101	403,567	192,367	134,522
Sri Lanka	0.01	2,425	1,696	808	565
Sudan	0.01	2,425	1,696	808	565
Suriname	0.01	2,425	1,696	808	565
Sweden	1.23	298,250	208,566	99,417	69,522
Switzerland	1.16	281,276	196,697	93,759	65,566
Thailand	0.13	31,522	22,044	10,507	7,348
Togo	0.01	2,425	1,696	808	565
Trinidad and Tobago	0.03	7,274	5,087	2,425	1,696
Tunisia	0.03	7,274	5,087	2,425	1,696

Party	UN Scale %	Total 1998-2000		Annual Contribution	
		CHF	USD	CHF	USD
Turkey	0.38	92,142	64,435	30,714	21,478
Uganda	0.01	2,425	1,696	808	565
United Arab Emirates	0.19	46,071	32,218	15,357	10,739
United Kingdom of Great Britain and Northern Ireland	5.32	1,289,990	902,091	429,997	300,697
United Republic of Tanzania	0.01	2,425	1,696	808	565
United States of America	25.00	6,061,985	4,239,150	2,020,662	1,413,050
Uruguay	0.04	9,699	6,783	3,233	2,261
Vanuatu	0.01	2,425	1,696	808	565
Venezuela	0.33	80,018	55,957	26,673	18,652
Viet Nam	0.01	2,425	1,696	808	565
Zaire	0.01	2,425	1,696	808	565
Zambia	0.01	2,425	1,696	808	565
Zimbabwe	0.01	2,425	1,696	808	565
<b>Total</b>	<b>97.85</b>	<b>23,726,610</b>	<b>16,592,035</b>	<b>7,908,870</b>	<b>5,530,678</b>

**Note:** 97.85 = 100%, as compared to 94.12 = 100% in 1994 (Conf. 9.2 Table)

#### Doc. 10.13 (Rev.) Annex 4

#### Proposed Staffing Table

CITES Secretariat – Professional Staff  
(after 1.1.98)

Post Description	Source of Funding and Legislative Authority
– Secretary General	Approved by CoP to be funded from the Trust Fund
– Deputy Secretary General	Approved by CoP to be funded from the Trust Fund
– Scientific Co-ordinator	Approved by CoP to be funded from the Trust Fund
– Plants Officer	Approved by CoP to be funded from the Trust Fund
– Enforcement Officer	Approved by CoP to be funded from the Trust Fund
– Capacity Building Officer	Approved by CoP to be funded from the Trust Fund
– Convention Interpretation and Monitoring Officer	Approved by CoP to be funded from the Trust Fund
– Quota Control Officer	Approved by CoP to be funded from the Trust Fund
– Asst Capacity Building Officer	Approved by CoP to be funded from the Trust Fund
– Fund and Administration Officer	Post funded by UNEP from the 13 per cent overhead
– Translator French	Approved by CoP to be funded from the Trust Fund
– Translator Spanish	Approved by CoP to be funded from the Trust Fund
– Programme Officer – Editor (new)	Presented to CoP10 for its approval to be funded from the Trust Fund (see paragraph 20 of this document)
– Associate Programme Officer – Permit Confirmation (new)	Presented to CoP10 for its approval to be funded from the Trust Fund (see paragraph 30 of this document)
– Associate Programme Officer – African Region (new)	Presented to CoP10 for its approval to be funded from the Trust Fund (see paragraph 32 of this document)

**Proposed Staffing Table**  
 CITES Secretariat – Support Staff  
 (after 1.1.98)

Post Description	Source of Funding and Legislative Authority
– Conference Services Assistant	Approved by CoP to be funded from the Trust Fund
– Secretary to the Secretary General	Approved by CoP to be funded from the Trust Fund
– Secretary to the Dep. Secretary General	Approved by CoP to be funded from the Trust Fund
– Secretary and Computer Support	Approved by CoP to be funded from the Trust Fund
– Secretary	Approved by CoP to be funded from the Trust Fund
– Secretary	Approved by CoP to be funded from the Trust Fund
– Secretary	Approved by CoP to be funded from the Trust Fund
– Secretary	Approved by CoP to be funded from the Trust Fund
– Clerk	Approved by CoP to be funded from the Trust Fund
– Administrative Assistant	Post funded by UNEP from the 13 per cent overhead
– Finance Assistant	Post funded by UNEP from the 13 per cent overhead
– Permit Confirmation Assistant (new)	Presented to CoP10 for its approval to be funded from the Trust Fund (see paragraph 30 of this document)

DRAFT RESOLUTION OF THE CONFERENCE OF THE PARTIES

Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties

RECALLING Resolution Conf. 9.2 adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994);

HAVING REVIEWED the 1994-1996 actual expenditures submitted by the Secretariat (Doc. 10.11);

HAVING NOTED the revised estimates of expenditure for 1997 presented by the Secretariat (Doc. 10.12);

HAVING REVIEWED the 1998-2000 budget estimates submitted by the Secretariat (Doc. 10.13 Annex 1);

HAVING REVIEWED also the 1998-2002 medium-term budget estimates (Doc. 10.13 Annex 2);

RECOGNIZING that regular funding by UNEP ceased after 1983 and that the funding of the Secretariat and of meetings of the Conference of the Parties is now solely the responsibility of the Parties;

ACKNOWLEDGING that the financial amendment to the Convention, adopted in Bonn in 1979, entered into force on 13 April 1987;

RECOGNIZING the continuing need for administrative and financial arrangements between the Parties and the Executive Director of UNEP;

NOTING the considerable increase in the number of Parties, as well as organizations attending the meetings of the Conference of the Parties as observers, and the resulting additional expenditure incurred by the Secretariat;

THE CONFERENCE OF THE PARTIES TO THE  
 CONVENTION

APPROVES the expenditures for 1994-1996 and TAKES NOTE of the estimates of expenditure for 1997;

APPROVES the 1998-2000 budget;

TAKES NOTE of the 1998-2002 medium-term budget estimates;

REQUESTS that the Executive Director of UNEP, with the approval of the Governing Council of UNEP, seek the consent of the United Nations Secretary General for an extension of the Trust Fund until 31 December 2002, to provide financial support for the aims of the Convention in accordance with the Terms of Reference for the Administration of the Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora, attached as an Annex to this Resolution;

APPROVES the Terms of Reference for the Administration of the Trust Fund, attached as an Annex to this Resolution, for the financial period beginning on 1 January 1998 and ending on 31 December 2002;

AGREES:

- a) that contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention;
- b) that any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties;
- c) that any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 90 days before the meeting; and

- d) that Parties should pay their contributions to the Trust Fund in accordance with the agreed scale as in the Table<sup>1</sup> attached to this Resolution and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions;

REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, in any case, promptly by the beginning of the calendar year to which the contributions apply;

APPEALS strongly to those Parties which, for legal or other reasons, have so far been unable to contribute to the Trust Fund to do so;

URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments of 22 June 1979 and of 30 April 1983;

INVITES States not party to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to consider contributing to the Trust Fund;

INVITES all Parties to support, through their representatives in UNEP, UNDP and the World Bank, the requests of the Secretariat for additional funding of CITES projects by the Global Environment Facility;

DECIDES that the standard participation charge for all observer organizations other than the United Nations and its specialized agencies, be set at a minimum of CHF 600 (except as otherwise decided by the Secretariat as required) and URGES such organizations to make a greater contribution if possible at least to meet their effective costs of participation;

DIRECTS the Secretariat to implement the Procedures for Approval of Externally Funded Projects as developed and approved by the Standing Committee at its 23rd meeting, before accepting any external funds from non-governmental sources; and

APPROVES the Secretariat reports (Doc. 10.11 to Doc. 10.14).

## Annex

### Terms of Reference for the Administration of the Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be further continued for a period of five years (1 January 1998–31 December 2002) to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of the United Nations Environment Programme (UNEP), with the approval of the Governing Council of UNEP and the Secretary General of the United Nations, shall continue the Trust Fund for the administration of the Convention.
3. The Trust Fund shall cover two financial periods of two and three calendar years respectively: the first financial period begins on 1 January 1998 and ends on 31 December 2000; the second financial period begins on 1 January 2001 and ends on 31 December 2002.
4. The appropriations of the Trust Fund for the first financial period shall be financed from:
  - a) the contributions made by the Parties by reference to the attached Table<sup>1</sup>, including contributions from any new Parties which are to be added to the Table;
  - b) contributions from States not party to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
  - c) any uncommitted appropriations from any of the financial periods prior to 1 January 1998.
5. The budget estimates covering the income and expenditure for each of the calendar years constituting the financial period to which they relate, prepared in Swiss francs, shall be submitted for approval to the regular meeting of the Conference of the Parties to the Convention. Together with the estimates, prepared in Swiss francs, figures may be provided also in US dollars, for ease of reference and would only be indicative.
6. The estimates for each of the calendar years covered by a financial period shall be specified according to objects of expenditure, and shall be accompanied by such information as may be required by, or on behalf of, the contributors, and such further information as the Executive Director of UNEP may deem useful and advisable.
7. In addition to the budget estimates for the financial period described in the preceding paragraphs, the Secretary General of the Convention, in consultation with the Standing Committee and the Executive Director of UNEP, shall prepare a medium-term plan as envisaged in Chapter III of Legislative and Financial Texts Regarding the United Nations Environment Programme and the Environment Fund. The medium-term plan will cover the years 1998-2002, inclusive, and will incorporate the budget for the 1998-2000 financial period.
8. The proposed budget and medium-term plan, including all the necessary information, shall be dispatched by the Secretariat to all Parties at least ninety days before the date fixed for the opening of the regular meeting of the Conference of the Parties.
9. The budget shall be adopted by a 3/4 majority of the Parties present and voting at the regular meeting.
10. In the event that the Executive Director of UNEP expects that there might be a shortfall in resources, over the year as a whole, she shall consult with the Secretary General of the Convention, who shall seek the advice of the Standing Committee as to its priorities for expenditure.
11. Upon the request of the Secretary General of the Convention, after seeking the advice of the Standing Committee, the Executive Director of UNEP should, to the extent consistent with the Financial Regulations and Rules of the United Nations, make transfers from one object of expenditure to another. At the end of any calendar year of a financial period, the Executive Director of UNEP may proceed to transfer any uncom-

<sup>1</sup> The Table will be the scale of contributions for the triennium 1998-2000 adopted by the Conference of the Parties.

mitted balance of appropriations to the following calendar year, provided that the total budget approved by the Parties for that financial period shall not be exceeded unless this is specifically sanctioned in writing by the Standing Committee.

12. Commitments against the resources of the Trust Fund may be made only if they are covered by the necessary income of the Convention.
13. All contributions shall be paid in any convertible currency. The amount of any payment, however, shall be at least equal to the amount payable in Swiss francs on the day the contribution is made. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period.
14. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the

Parties the accounts for the year. She shall also submit, as soon as practicable, the audited accounts for the financial period.

15. The Secretary General of the Convention shall provide the Standing Committee with an estimate of proposed expenditure over the coming calendar year simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraphs.
16. The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
17. These Terms of Reference shall be effective for the financial period of 1 January 1998 to 31 December 2002 subject to amendments at the 10th meeting of the Conference of the Parties.

**Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties  
Budget for 1998-2000 and Medium-term Plan for 1998-2002**

**SUBMISSION OF DOCUMENTS**

- |   |  |
|---|--|
| <p>1. More documents have been submitted for consideration at the 10th meeting of the Conference of the Parties than at any previous meeting. Moreover, some of them are extremely large. Dealing with this volume of documentation puts an enormous strain on the human and financial resources of the Secretariat.</p> <p>2. As the staff of the Secretariat includes only one French translator and one Spanish translator, a large proportion of the documents have to be translated externally, then checked and formatted by the Secretariat staff.</p> <p>3. For the present meeting, the final financial cost of the translation is likely to be in the region of CHF 250,000, which is more than eight times the amount that was</p> | <p>included in the budget for translation in 1997, on the basis of past experience.</p> <p>4. The Secretariat would like to express its sincere gratitude to the Governments of Canada and the United States of America for undertaking some of the translations of supporting statements of proposals to amend the appendices, and to the International Fund for Animal Welfare for making a contribution of USD 10,000 towards the cost of translation.</p> <p>5. In order to reduce the burden of translation, and the resulting financial burden for the Parties, the Secretariat suggests that the attached draft decision of the Conference of the Parties be adopted.</p> |
|---|--|

**Doc. 10.13.1 Annex**

**DRAFT DECISION OF THE CONFERENCE OF THE PARTIES**

Submission of Documents

**THE CONFERENCE OF THE PARTIES TO THE  
CONVENTION**

DECIDES to amend Resolution Conf. 4.6 by adding the following paragraph at the end of the operative part:

- d) that if the text of any document submitted for consideration at a meeting of the Conference of the

Parties, including a supporting statement for a proposal to amend Appendix I or II, exceeds 6,000 words (about 15 pages), it should include a summary of not more than 2,000 words (about five pages) and that only this summary should be translated into the other two working languages of the Convention by the Secretariat.

Financing and Budgeting of the Secretariat and of Meetings of the Conference of the Parties

EXTERNAL FUNDING

1. This document summarizes the contributions received, other than the regular contributions to the CITES Trust Fund, over the period January 1994 to December 1996, and contains a summary of the special projects completed or initiated between October 1994 and December 1996.
2. During this period the Secretariat received more than USD 4 million from 33 donors. The major donor was Belgium, which established a fund for elephant conservation in the United Republic of Tanzania with funds obtained from an auction of 9.6 tonnes of African elephant ivory that was confiscated in 1986. Japan was the second largest donor, followed by France, the United States of America and the United Kingdom. It is important to mention that a substantial part of the French and British contributions was earmarked to support the cost of a junior legal officer and an enforcement officer to work in the Secretariat. Table 1 shows the contributions received by the CITES Secretariat, as additional financial resources to assist in the implementation of the Convention, from various donors, during the period 1994-1996.
3. The Secretariat made a special effort to cover as many regions as possible in the implementation of projects and 54 projects were undertaken in Africa, Europe, Central and South America and the Caribbean, and Asia. Table 2 reflects the allocation of funds according to geographical distribution. From the funds received, 41.8 per cent was used to support projects of global importance and 40.3 per cent was allocated to Africa as the region that received the most financial support. Although only 1.1 per cent of the funds was allocated to projects in North America, it is important to note that this region is formed by only three Parties. The only region where no funds were spent on projects during this period was Oceania.
4. During the period 1994-1996, the Secretariat used 50 per cent of its external resources on species-related projects. The rest of the funding was allocated to routine activities such as enforcement, capacity-building and support to technical committees.
5. Regarding species, priority was given to supporting projects for species of fauna in relation to which the Animals Committee had made recommendations in the context of the review of significant trade, in accordance with Resolution Conf. 8.9, such as parrots and reptiles. Table 3 shows the allocation of funds by taxon.
6. In Table 4, the Secretariat presents a summary of the status of the CITES projects in terms of the cost of the projects and whether they are on-going or completed, including projects that have been, or are being, implemented through direct contractual arrangements between the consultants and the donors, without any administrative support from the CITES Secretariat.
7. In Annex 1, the Secretariat provides more detailed information on the CITES projects that were either initiated or completed during the period 1994-1996.
8. In Annex 2, the Secretariat presents a list of final reports of species projects that were completed during the period 1994-1996.
9. In Annex 3, the Secretariat presents a list of species-related projects that have been approved by the Standing Committee but, owing to a lack of financial resources, have not been implemented.

Table 1 – Funds Received per Year in USD (1994-1996)

Donor	1994	1995	1996	Total
Belgium	1,386,214			1,386,214
Canada		14,099		14,099
Denmark	25,000			25,000
France	146,695	151,610	76,567	374,872
Germany	94,740			94,740
Hong Kong			150,000	150,000
Italy			100,000	100,000
Japan	196,119	333,044	150,000	679,163
Netherlands		56,090	5,764	61,854
Norway	24,739	16,279		41,018
Spain			15,848	15,848
Switzerland	31,867	21,552	16,667	70,086
United Kingdom	15,219	138,618	60,164	214,001
United States	119,000	21,650	130,100	270,750
European Union	7,595	44,106	93,348	145,049
UNEP		95,000	50,000	145,000

Donor	1994	1995	1996	Total
Association des Exploitants de la Fauna du Zaire (AEF)			18,643	18,643
All Japan Association of Reptile Skin and Leather Industry (AJRA)	10,000			10,000
Conservation and Management International Foundation (C&M)			10,000	10,000
Conservation Treaty Support Fund (CTSF)		11,250		11,250
European Pet Organization			7,752	7,752
Humane Society of Canada		2,000		2,000
Humane Society of the United States		4,873		4,873
International Fur Trade Federation (IFTF)	15,000		15,000	30,000
Japan Leather and Leather Goods Industries (JLIA)		10,000		10,000
Pet Industry Joint Advisory Council (PIJAC)	5,000			5,000
Sarawak Birdnest Association			3,184	3,184
Singapore Birdnest Association			2,980	2,980
TRAFFIC East Asia			10,000	10,000
Unione Nazionale Industria Conciaria	90,000			90,000
WWF International [1996 contribution on behalf of Switzerland (USD 72,856)]	8,000		89,559	97,559
WWF Italy			3,000	3,000
<b>TOTAL</b>	<b>2,175,188</b>	<b>920,171</b>	<b>1,008,576</b>	<b>4,103,395</b>

**Table 2 – Allocation of Funds Received in USD by Region/Country (1994-1996)**

Region/Country	1994	1995	1996	Total
<b>AFRICA</b>				
GHANA		10,000	6,041	16,041
MADAGASCAR			16,667	16,667
NIGERIA		20,000		20,000
SIERRA LEONE			7,752	7,752
UNITED REPUBLIC OF TANZANIA	1,386,214			1,386,214
REGIONAL (CAMEROON AND ZAIRE)		21,552	23,075	44,627
REGIONAL	13,835		150,000	163,835
<i>Subtotal</i>	<i>1,400,049</i>	<i>51,552</i>	<i>203,535</i>	<i>1,655,136</i>
<i>Percentage (%)</i>				<i>40.3%</i>
<b>ASIA</b>				
CHINA	5,000		5,000	10,000
INDONESIA		10,000		10,000
PHILIPPINES		95,000		95,000
THAILAND		10,000	29,030	39,030
REGIONAL		30,000	87,401	117,401
<i>Subtotal</i>	<i>5,000</i>	<i>145,000</i>	<i>121,431</i>	<i>271,431</i>
<i>Percentage (%)</i>				<i>6.6%</i>
<b>CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN</b>				
ARGENTINA	31,867		9,472	41,339
BOLIVIA			10,496	10,496
COLOMBIA	10,000			10,000

Region/Country	1994	1995	1996	Total
CUBA	7,595			7,595
GUYANA	22,133		11,887	34,020
PERU		34,000	27,053	61,053
REGIONAL			13,000	13,000
<i>Subtotal</i>	<i>71,595</i>	<i>34,000</i>	<i>71,908</i>	<i>177,503</i>
<i>Percentage (%)</i>				<i>4.3%</i>
EUROPE				
REGIONAL	107,529	12,498	49,837	169,864
REGIONAL (CIS REPUBLICS)			72,856	72,856
<i>Subtotal</i>	<i>107,529</i>	<i>12,498</i>	<i>122,693</i>	<i>242,720</i>
<i>Percentage (%)</i>				<i>5.9%</i>
NORTH AMERICA				
MEXICO	34,000	12,592		46,592
<i>Subtotal</i>	<i>34,000</i>	<i>12,592</i>		<i>46,592</i>
<i>Percentage (%)</i>				<i>1.1%</i>
GLOBAL	557,015	664,529	489,009	1,710,553
<i>Percentage (%)</i>				<i>41.8%</i>
<b>TOTAL</b>	<b>2,175,188</b>	<b>920,171</b>	<b>1,008,576</b>	<b>4,103,935</b>

**Table 3 – Allocation of Funds by Taxon (1994-1996)**

Taxon	1994	1995	1996	Total
FAUNA				
MAMMALS	1,391,214	23,900	166,205	1,581,319
BIRDS	31,867	41,652	109,198	182,717
REPTILES	17,595	40,000	33,204	90,799
INVERTEBRATES		95,000		95,000
FLORA				
TIMBER		92,871	20,143	113,014
ORCHIDS		22,975	31,391	54,366
CACTI	34,000		12,592	46,592
<b>TOTAL</b>	<b>1,474,676</b>	<b>316,398</b>	<b>372,733</b>	<b>2,163,807</b>

**Table 4 – Project Status (see Annex 1)**

Region/Country	Name of Project	Status	Amount (USD) *
AFRICA			
Gabon	CITES enforcement seminars	completed	25,000
Ghana	Reptilian resources in Ghana	on-going	43,000
Madagascar	Amphibians in Madagascar	completed	16,000
Madagascar	Crocodiles in Madagascar	initiated	56,400
Madagascar	Succulents in Madagascar	on-going	11,700
Nigeria	African monitor lizards in Nigeria	completed	45,000
Sierra Leone	Grey parrot in Sierra Leone	initiated	42,300

Region/Country	Name of Project	Status	Amount (USD) *
United Republic of Tanzania	Enforcement Seminars	completed	58,000
United Republic of Tanzania	Elephant Conservation	on-going	535,700
Regional	Grey parrot in central and west Africa	completed	63,395
Regional	Crocodilian species in east, central and west Africa	completed	47,892
Regional	Meeting on the African Elephant	completed	150,000
<i>Subtotal</i>			<i>1,094,387</i>
<b>ASIA</b>			
China	Leopard cat in China	completed	25,000
Hong Kong	Enforcement seminars	completed	50,000
Philippines	Corals in the Philippines	completed	100,000
Thailand	Orchids in Thailand	on-going	62,479
Regional	Swiftlet workshop	completed	47,401
<i>Subtotal</i>			<i>284,880</i>
<b>CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN</b>			
Argentina	<i>Rhea americana</i> in Argentina	completed	50,000
Argentina	Blue-fronted Amazon in Argentina (phase 2)	on-going	88,301
Bolivia	Caimans in Bolivia	completed	43,632
Colombia	Captive breeding of wildlife in Colombia	completed	13,779
Colombia	Colombian crocodiles	completed	40,975
Guyana	Technical assistance to Guyana	completed	10,174
Guyana	Guyana parrot survey	initiated	62,000
Nicaragua	Psittacines in Nicaragua	completed	20,838
Paraguay	Tegu lizards in Paraguay	completed	20,000
Paraguay	Family Boidae in Paraguay	completed	20,055
Peru	Peccary in the Peruvian Amazon	on-going	50,000
Peru	<i>Brotogeris pyrrhopterus</i> and <i>Aratinga erythrogenys</i> in Peru	on-going	37,400
Venezuela	Anaconda in Venezuela	completed	15,000
Venezuela	Caiman in the Orinoco Delta	completed	23,484
Regional	CITES enforcement seminar	completed	13,000
Regional	<i>Iguana</i> in Central America	completed	10,000
<i>Subtotal</i>			<i>518,638</i>
<b>EUROPE</b>			
Regional	Enforcement in eastern European countries	completed	11,087
Regional	Implementation seminars for officials in the European Union	completed	151,636
Regional	Training seminars in the CIS republics	completed	72,856
<i>Subtotal</i>			<i>235,579</i>
<b>NORTH AMERICA</b>			
Mexico	Mexican cacti	on-going	82,076
<i>Subtotal</i>			<i>82,076</i>
<b>GLOBAL</b>			
	Animals Committee (13th meeting)	completed	4,000
	CITES communication project	completed	14,606

Region/Country	Name of Project	Status	Amount (USD) *
	CITES review	completed	217,511
	Customs training package	planned	40,000
	Enforcement project	on-going	210,000
	General plant issues	on-going	12,847
	Guide for the identification of Orchidaceae	completed	2,975
	Identification manuals (English, French, Russian)	on-going	334,072
	Law enforcement seminar (Glynco, United States of America)	completed	48,123
	Ninth CoP, Fort Lauderdale, United States of America	completed	497,212
	Plants Committee (sixth and seventh meetings)	completed	5,385
	Printing of CITES permits	on-going	27,232
	Review of trade in Dendrobium	on-going	18,361
	Standing Committee (35th, 36th and 37th meetings)	completed	41,000
	Timber identification manual	planned	41,271
	Timber working group	on-going	82,551
	Trade in medicinal plants	on-going	35,000
	<i>Subtotal</i>		<i>1,632,146</i>
	<b>TOTAL</b>		<b>3,847,706</b>

\* Amounts refer to total amount spent or committed for each project including contributions made by donors direct to consultants to undertake CITES projects

## Doc. 10.14 Annex 1

### Project Status Report

The projects described below were carried out with funds received either before 1994, or during the period 1994-1996, or with money that was pledged, or through direct contractual arrangements between the consultants and donors.

#### AFRICA

##### Gabon

CITES enforcement seminars (status: completed)

Three seminars took place in Gabon: a Regional Enforcement Seminar on 21-26 October 1996 attended by 75 participants; a Training for Trainers Seminar on 28-29 October 1996 attended by 9 participants; and a Live Animals Transport seminar on 30 October 1996 attended by 30 participants.

The World Customs Organization, ECOFAC and other donors contributed by supporting the attendance costs of participants from the region.

Contribution: USD  
WWF \* 25,000

\* Pledged

##### Ghana

Conservation and management of reptilian resources in Ghana (status: on-going)

The objective of this project is to determine the conservation status and distribution of the royal python in Ghana and to recommend to the Government of Ghana a programme for its conservation, including recommendations on the sustainable exploitation of the species as an economic resource. Other objectives include: the determination of the conservation status of

two other pythons, namely the African rock python *Python sebae* and the Calabar ground python *Calabaria reinhardtii*, both of which occur in Ghana; an evaluation of the socio-economic value of pythons to rural communities in Ghana; and the acquisition of knowledge on the biology and ecology of the species concerned. The field work will be carried out between January and April 1997. The project is expected to be concluded by mid-July 1997.

<u>Contributions:</u>	USD
European Union *	6,041
Japan	10,000
CITES (CP 64-2120)	10,533
* Total pledged:	22,467

##### Madagascar

Distribution and population status of two species of amphibian in Madagascar (*Mantella aurantiaca* and *Mantella crocea*) (status: completed)

The main objective of this project was to identify measures to be taken for the conservation of *Mantella aurantiaca* and *Mantella crocea* and to obtain basic data to determine their appropriate listing in the CITES appendices. This project was fully supported by the Government of Switzerland and was carried out by an NGO based in Madagascar through a direct contract with the Swiss Management Authority during 1995.

<u>Contribution:</u>	USD
Switzerland	16,000

## Madagascar

### Survey of crocodiles in Madagascar (status: on-going)

The objectives of this project are: to survey important areas for Nile crocodiles in Madagascar; to assess the relative importance of human/livestock interactions with crocodiles in Madagascar; to determine the best approach to restocking in Madagascar; to provide improved monitoring of ranching operations; and to use the results in support of Madagascar's proposal for the maintenance of its population of the Nile crocodile in Appendix II of CITES. This project was initiated in September 1996 and is expected to be finalized by December 1997.

<u>Contributions:</u>	USD
Japan	39,732
Switzerland	16,668

## Madagascar

### Population studies on Madagascan succulents (status: on-going)

The third phase of this study was carried out in November-December 1996. Various new sites were studied and old populations were revisited. The report is not yet completed, but provisional information indicates that some populations were in good condition, others, however, were strongly disturbed by habitat destruction. This phase was fully paid by the Swiss Management Authority through a direct contract with the consultants.

<u>Contribution:</u>	USD
Switzerland	11,700

## Nigeria

### Survey and monitoring of the African monitor lizard populations in Nigeria (status: on-going)

The main objectives of this project were to survey the population status of African monitor lizards in Nigeria and to develop recommendations for a mechanism for monitoring the effectiveness of conservation programmes for the species and their sustainable utilization. This project started in September 1995, the draft report was received in October 1996 and sent out for comments to external reviewers. The final report is expected to be available in February 1997.

<u>Contributions:</u>	USD
Japan	20,000
CITES Secretariat	25,000

## Sierra Leone

### Status survey and management of the grey parrot *Psittacus erithacus timneh* in Sierra Leone (status: on-going)

The main objectives of this project are to: investigate the current status and distribution of the subspecies *Psittacus erithacus timneh* in Sierra Leone; develop a management programme for the conservation of grey parrot populations in Sierra Leone; determine sustainable levels of exploitation of the species; gather information on cross-border trade in grey parrots between Sierra Leone, Liberia and Guinea and recommend measures to be taken; determine whether the subspecies *Psittacus erithacus erithacus* exists in Sierra Leone. This project will be initiated in February 1997 and is expected to be finalized by November 1997.

<u>Contributions:</u>	USD
Sierra Leone	11,300
Switzerland	23,250
European Pet Organization	7,750

## United Republic of Tanzania

### CITES enforcement seminars (status: completed)

Two seminars took place in the United Republic of Tanzania: a Workshop for Live Animal Traders took place on 1-2 March 1996 in Dar es Salaam and was attended by 45 participants; and an additional Seminar took place on 4-8 March 1996 in Arusha and was attended by 62 participants.

<u>Contribution:</u>	USD
Belgium	58,000

## United Republic of Tanzania

### Elephant conservation (status: on-going)

Key protected areas and ecosystems for elephant conservation will be identified and intelligence on trafficking of elephants will be collected. Specific anti-poaching operations will be planned and implemented. The anti-poaching activities will be monitored and long-term strategies will be developed to be used to raise further support for elephant conservation activities in the United Republic of Tanzania. This phase of the project was initiated by WWF in August 1996 and is expected to be finalized by July 1997.

<u>Contribution:</u>	USD
Belgium	535,700

## Regional

### Status survey, distribution and utilization of the African grey parrot (*Psittacus erithacus*) in central and west Africa (status: on-going)

The aim of this project is to ensure that sustainable management plans for the African grey parrot are put into effect to prevent irreparable damage to its populations that might result from excessive exploitation for trade. Missions to Zaire and Cameroon have already taken place and the Secretariat is expecting to receive the final report by February 1997.

<u>Contributions:</u>	USD
European Union *	4,432
Switzerland	21,552
Exporters of Fauna Association (AEF)	18,643
* Total pledged:	23,200

## Regional

### Distribution, status and management of three crocodylian species in east, central and west Africa (status: completed)

A field survey of crocodile populations in Cameroon, Gabon and Guinea was carried out between September 1992 and July 1993, under a contract between the CITES Secretariat and BIODEV of Madagascar. BIODEV subcontracted the National Museum of Natural History in Paris, France, to undertake surveys in Guinea and Guinea-Bissau.

In Cameroon, the survey benefited from an FAO project on progress in crocodile management.

Because of civil disturbances, it was not possible to carry out field surveys in the Congo and Togo as initially planned.

The draft final report, incorporating comments made by reviewers and the Secretariat, was received by the Secretariat in March 1995. The final version of the report was completed in 1996 and circulated to donors and range States.

The results of the survey indicated that none of the countries involved had crocodile populations that could be managed under a ranching programme (Resolution

Conf. 3.15), and that conservation effort should focus on protection in order to ensure their recovery.

<u>Contributions:</u>	USD
European Union	29,892
Japan	18,000

#### Regional

Meeting on the African elephant (status: completed)

A meeting of the African elephant range States took place in Dakar, Senegal, 11-16 November 1996, to exchange information on elephant management, the conservation status of the elephant population and trade policy. The meeting was attended by 72 participants from 31 countries.

<u>Contribution:</u>	USD
Japan	150,000

#### ASIA

##### China

Ecology and management for sustainable utilization of the leopard cat in China (status: on-going)

The principal objective of the project was to collect the information necessary to develop a sustainable management programme for the leopard cat in China. The Secretariat received the final report on the project at the beginning of 1996. The report was circulated to external reviewers and their comments were provided to the consultant to finalize the report.

<u>Contributions:</u>	USD
Japan	2,000
United States of America	8,000
International Fur Trade Federation	15,000

##### Hong Kong

CITES enforcement seminars (status: completed)

Two seminars took place in Hong Kong: a Regional Enforcement Seminar for South-East Asia took place, 11-15 November 1996 attended by 80 participants; and a Training Seminar for Trainers, 18-20 November 1996 attended by 14 participants.

<u>Contribution:</u>	USD
Hong Kong	50,000

##### Philippines

Survey of the status of corals in the Philippines with a view to sustainable use (status: on-going)

The objectives of this project were to update information on the status of the coral reefs of the Philippines, to determine the immediate impact of trade, construction and other industrial uses of the Philippine coral resources and to determine whether, and to what extent, the exploitation of corals may be detrimental to the survival of the species. This project started in 1995 and the final report was received in July 1996. The Secretariat requested the Bureau of Fisheries and Aquatic Resources to amend the report taking into account the comments made by the Secretariat. The final version of the report is expected early in 1997.

<u>Contributions:</u>	USD
UNEP (Swedish Trust Fund)	95,000
CITES Secretariat	5,000

##### Thailand

Survey of the orchid trade in Thailand (status: on-going)

The main objectives of this project are: to review the available data on trade in species occurring in Thailand to determine or extrapolate the quantities of wild specimens in trade; to produce a shortlist of species of

orchids traded as wild specimens; to produce a list of species of orchids exported from Thailand but not indigenous there, and to collect information on the chain of trade in these; and to review the import of orchids. The project will be carried out in several phases. The first phase started in December 1995.

<u>Contributions:</u>	USD
European Union *	9,371
Japan	10,000
United Kingdom	19,659
* Total pledged:	32,820

#### Regional

Swiftlet workshop (status: completed)

A workshop was convened in Indonesia to discuss issues related to the conservation of edible-nest swiftlets of the genus *Collocalia* in order to establish management priorities and a scientific research programme in accordance with Resolution Conf. 9.15.

<u>Contributions:</u>	USD
Italy	10,000
Japan	10,000
Conservation and Management International Foundation	4,000
Sarawak Bird's Nest Exporter/Importer Association	3,184
Singapore Bird's Nest Trade Association	2,980
TRAFFIC East Asia	10,000
WWF International	4,237
WWF Italy	3,000

#### CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN

##### Argentina

Survey of the status of *Rhea americana* in Argentina (status: completed)

The main objective of the project was to establish a conservation strategy for the species to guarantee its survival. The implementing organization, FUCEMA, sent a progress report in July 1993 to the Secretariat. The Secretariat sent it out for review, and conveyed the comments received to FUCEMA, so that they could be taken into account during the implementation of the next phase. The final report was provided to the Secretariat in April 1996.

<u>Contributions:</u>	USD
Cámara de Industriales Curtidores de Reptiles (Argentina)	25,000
All Japan Association of Reptile Skin and Leather Industry	25,000

##### Argentina

Trade in blue-fronted Amazon in Argentina and breeding and habitat requirements (status: on-going)

The second phase of the project was successfully finalized and the main outputs were: additional information on the biology and the commercialization of this species; the establishment of a set of management norms to provide a basis for sustainable exploitation; the creation of preconditions for a pilot project allowing the testing of management norms; reinvestment in the conservation of the habitat and an increase in benefits to the local population; a report on the results of this work and the formulation of management recommendations based on this study to the national and provincial authorities responsible for administering wildlife resources; and the establishment an experimental export quota.

<u>Contributions:</u>	USD
European Union *	6,372
Switzerland	31,865
United States of America *	28,100

\* Total pledged: USD 22,660 from the European Union and USD 25,000 from the United States of America for the third phase.

#### Bolivia

Sustainable utilization and management of caimans in Bolivia (Phase II) (status: on-going)

The project has the following objectives: to monitor wild populations; to establish an experimental harvest with required administrative controls and CITES controls on international shipments; and to train university students in long-term studies of the ecology and management of the commercially valuable *Caiman yacare*.

This project started in June 1995 and the final report is expected early in 1997.

<u>Contribution:</u>	USD
European Union *	10,496

\* Total pledged: 34,884

#### Colombia

Management of captive breeding of wildlife in Colombia (status: completed)

A mission was undertaken from 26 February to 19 March 1994 to respond to concerns raised during the 29th meeting of the Standing Committee regarding exports of *Caiman crocodilus fuscus* skins from Colombia. The mission concluded that the existing farms in Colombia were capable of producing skins in the quantities that had been exported from Colombia in recent years, and made a set of recommendations to the Management Authority of Colombia. The report was submitted to the Standing Committee at its meeting held in Geneva, 21-25 March 1994. The Chairman of the Animals Committee and a staff member from the Secretariat visited Colombia to follow-up the compliance with these recommendations from 16 to 20 December 1996.

<u>Contribution:</u>	USD
WWF	13,779

#### Colombia

Collection of data on the status, distribution, systematics and conservation of Colombian crocodilians (status: completed)

The objectives of this project were: to determine the status of Colombian crocodilians; to collect basic data on the present status of the populations of crocodilians and compare the results obtained through a long-term monitoring programme; to clarify the taxonomy of Colombian crocodilians; and to make conservation and management recommendations for crocodilian populations in Colombia. This project started in December 1995 and the final report was received by the Secretariat in September 1996.

<u>Contribution:</u>	USD
All Japan Association of Reptile Skin and Leather Industry	10,000

#### Guyana

Development of National Legislation to Implement CITES: Technical Assistance to Guyana (status: on-going)

The Secretariat has developed a draft of legislation for the implementation of CITES in Guyana and has submitted it for comments to the Management Authority of Guyana.

<u>Contributions:</u>	USD
United Kingdom	6,462
WWF	6,712

#### Guyana

Survey of parrots in Guyana (status: initiated)

The main objectives of this project are: to make a general investigation of the present status and distribution of psittacines in Guyana, with emphasis on the present status and distribution of the parrots that have been exported in large numbers (*Ara araurana*, *Ara chloropterus*, *Ara manilata*, *Ara nobilis*, *Aratinga pertinax*, *Pionus menstruus*, *Amazona ochrocephala*, *Amazona amazonica*, *Amazona farinosa*); and *Ara macao*, a CITES Appendix II species; to review the trade in psittacines as a local industry with a particular view to its importance in the European Union and its sociological importance, using polls and surveys of bird harvesters and traders; to make recommendations on the management of psittacines in Guyana, including harvest quotas, areas to be protected, analysis of the potential for reintroductions, or future exploitation of psittacines through captive breeding within Guyana or 'ranching' (that is, taking birds from wild populations that have been artificially increased through increasing nest sites, etc.).

<u>Contributions:</u>	USD
European Union (pledged)	39,867
Japan	22,133

#### Nicaragua

Status and management of certain species of psittacine in Nicaragua (status: completed)

The aim of this project was to prepare: an assessment of the status of populations of psittacines in Nicaragua; a review of the trade as a local industry; a set of recommendations on the management of the species, including areas to be protected; and an analysis of the potential for reintroduction or future exploitation of psittacines through captive breeding or ranching. The final report was received by the Secretariat in 1995 and the Management Authority approved the report and took into account its recommendations when setting the quotas for 1996.

<u>Contributions:</u>	USD
Nicaraguan traders	20,838

#### Paraguay

Distribution, status of populations and exploitation of tegu lizards (*Tupinambis* spp.) in Paraguay (status: completed)

This project started in June 1994 and the final report was received by the Secretariat in July 1995. Through this project, an assessment of the status of the populations of tegu lizards, *Tupinambis teguixin* and *Tupinambis rufescens*, was carried out; the current rate of exploitation and the degree of hunting pressure exerted by the rural people in Paraguay were estimated and an export quota was established.

<u>Contribution:</u>	USD
Japan	20,000

#### Paraguay

Distribution and utilization of the family Boidae in Paraguay (status: completed)

This project was carried out between June 1994 and March 1995. Data concerning the distribution and relative population densities of the four species of constrictor snakes (family Boidae) were collected; the sympatry of the four species was ascertained; and data on the current exploitation patterns were gathered

to assist in the development of sustainable-use programmes for these species in Paraguay.

Contributions:  
Italian traders USD  
20,055

#### Peru

Management of peccary in the Peruvian Amazon (status: on-going)

The objectives of this project are: to assess the populations of *Tayassu tajacu* and *Tayassu pecari* for purposes of conservation and sustainable use through hunting quotas; to determine the hunting pressure on the species in various areas of the Peruvian Amazon; to do research on the breeding potential, feeding habits, behaviour, health, etc., of these species; to study the marketing of bush meat of these ungulates in the urban markets, as well as to recommend alternative utilization of products; to study the feasibility of processing the skins of peccaries in the area; to promote the development of international technical co-operation; to contribute to the socio-economic development of the area and of the country. The project started in 1995 and the final report should be submitted to the Secretariat by April 1997.

Contributions:  
European Union \* USD  
11,206  
Japan 10,105  
\* Total pledged approximately 39,895

#### Peru

Survey of *Brotogeris pyrrhopterus* and *Aratinga erythrogenys* in Peru (status: on-going)

The main objective of the project is to establish management programmes for sustainable and rational utilization of *Brotogeris pyrrhopterus* and *Aratinga erythrogenys* in northern Peru. This project was initiated in 1996 and it is expected to be finalized by mid-1997.

Contributions:  
Spain USD  
15,848  
Japan 21,552

#### Venezuela

Ecology and conservation of anaconda (*Eunectes murinus*) in Venezuela (status: completed)

The objectives of this project were to conduct biological research on the anaconda in the Venezuelan Orinoco and to develop a sound management programme to prevent the illegal trade in anaconda skins. The report of the first phase was published in October 1992 and, although there were no external funds available to continue with the second and the third phases, the Government of Venezuela continued its research with local resources.

Contribution:  
European Union USD  
15,000

#### Venezuela

Caiman population survey in the Orinoco Delta, Venezuela (status: completed)

The objective of this project was to assess the population size and structure of *Caiman crocodilus* in an area covering about 580,000 ha. of the Delta, with the aim of establishing a programme to allow trade in the species. This project started in 1995 and the final report was received at the beginning of 1996 and was circulated to all range States.

Contribution:  
Switzerland USD  
11,054

#### Regional

CITES enforcement seminar (status: completed)

A Regional Enforcement Seminar took place from 18 to 22 September 1995 in Tegucigalpa, Honduras, and was attended by 52 participants.

Contribution:  
United States of America USD  
13,000

#### Regional

Controls on export of *Iguana* in Central America (status: completed)

The objective of this project was to prevent the export of wild iguanas by traders whose breeding operations produced fewer specimens than they exported. The Secretariat received the final report in March 1995 and this was circulated to all range States for comments.

Contributions:  
Italian traders USD  
10,000

#### EUROPE

##### Regional

Enforcement in eastern European countries (status: completed)

Two Polish officials attended the Enforcement Training Seminar in Bristol, United Kingdom, 23-27 October 1995.

Contributions:  
United Kingdom USD  
5,315  
WWF International 5,101

##### Regional

CITES implementation seminars for officials in the European Union (status: completed)

The first seminar took place in Strasbourg, France, 13-17 June 1994. The seminar was attended by 93 participants. Officials from the Management and Scientific Authorities, Customs and Police of Austria, Belgium, Bulgaria, the Czech Republic, France, Germany, the Netherlands, Poland, Portugal, Romania, Slovakia and Switzerland, and some observers from NGOs and traders, were present at the seminar. The following seminars took place in 1995 and 1996: a Training Seminar for French Customs and Veterinary Services, 9-12 October 1995 in Le Bouchet, France, attended by 35 participants; a Training Seminar, 23-27 October 1995 in Bristol, United Kingdom attended by 80 participants; a Plants Seminar, 17-19 June 1996 in Hyères, France, attended by 70 participants; a Training Seminar, 9-13 September 1996 in Vienna, Austria, attended by 125 participants; a Training Seminar, 16-20 September 1996 in Le Bouchet, France, attended by 60 participants; an Enforcement Seminar, 4-8 November 1996 in Sweden, attended by 50 participants; and a Training Seminar in Helsinki, Finland, 30 September-4 October 1996, attended by 47 participants.

Through this project, the translation into French, Russian and Spanish of 'The Evolution of CITES' was carried out.

Contributions:  
Denmark USD  
476  
European Union 98,142  
France 40,353  
Italy 1,554  
Netherlands 5,764  
Spain in kind  
United Kingdom 15,405

## Regional

### CITES Training Seminars in the CIS Republics (status: completed)

A Training Seminar in the CIS Republics took place from 27 to 31 May 1996 in Moscow, the Russian Federation, and was attended by 80 participants.

A Training Seminar for Trainers took place from 3 to 5 June 1996 in Moscow, the Russian Federation, and was attended by 10 participants.

<u>Contribution:</u>	USD
WWF (on behalf of Switzerland)	72,856

## NORTH AMERICA

### Mexico

Population studies on Mexican cacti and evaluation of the possible and actual impact of legal and illegal trade on these populations (status: on-going)

The objectives of this project are: to assess the status of the populations of rare cacti as well as of more common cacti species; to estimate the amount of legal and illegal collecting that took place before Mexico acceded to CITES; and to formulate recommendations regarding the possible listing of species in Appendix I of CITES or the transfer of species to Appendix II. The project started in May 1994 and the final report is expected early in 1997.

<u>Contributions:</u>	USD
European Union *	12,592
Japan	10,000
United States of America	34,000
Conservation Treaty Support Fund	10,500
* Total pledged:	24,984

## GLOBAL

### Animals Committee meeting (status: completed)

The 13th meeting of the Animals Committee took place from 23 to 27 September 1996 in Pruhonice, Czech Republic, and was attended by 112 participants.

<u>Contribution:</u>	USD
Conservation and Management International Foundation	6,000

### CITES communication project (status: completed)

Through this project a CITES press kit was prepared for the ninth meeting of the Conference of Parties.

<u>Contribution:</u>	USD
France	14,606

### Review of 'how to improve the effectiveness of CITES' (status: first phase completed)

The aim of this project was to conduct a study on how to improve the effectiveness of CITES. The report of the first phase, which covers policy issues, administration, scientific issues, implementation and enforcement of CITES, institutional arrangements and relations with other organizations, was submitted to the 37th meeting of the Standing Committee in Rome, 2-6 December 1996.

<u>Contributions:</u>	USD
Canada	14,099
Japan	86,000
Norway	16,279
United Kingdom	15,133
United States of America	86,000

### Customs training package (status: planned)

This project is to be initiated at the beginning of 1997.

<u>Contributions:</u>	USD
Hong Kong	20,000
Japan	20,000

### Enforcement project (status: on-going)

Through this project several enforcement activities were carried out in Italy and Colombia.

<u>Contributions:</u>	USD
Hong Kong	80,000
Italy	90,000
Japan	40,000

### General plant issues (status: on-going)

As a result of this project, the poster "Plants also need passports" was produced.

<u>Contributions:</u>	USD
Japan	1,713
Switzerland	2,099

### Guide for the identification of artificially-propagated and wild-collected specimens of Orchidaceae (status: completed)

The objective of this project was to provide an easy reference guide for determining whether specimens of plant species are wild-collected or artificially propagated.

<u>Contribution:</u>	USD
Netherlands	2,975

### CITES Identification Manuals (status: on-going)

Pages of the Identification Manual were translated and were printed in the following languages:

– In English

<u>Contribution:</u>	USD
Netherlands	2,900

– In French

<u>Contributions:</u>	USD
Belgium	8,833
France	133,467

– In Russian

<u>Contribution:</u>	USD
Germany	188,872

– In Spanish

The Scientific Authority of Spain has arranged for the translation and printing of the Manual into Spanish.

### CITES International Law Enforcement Seminar (status: completed)

A Specialized Training Seminar for Enforcement Officers took place from 27 November to 8 December 1995, in Glynco, United States of America, and was attended by 26 participants.

<u>Contributions:</u>	USD
United States of America	28,000
Conservation Treaty Support Fund	11,250
German Marshall Fund	2,000
Humane Society of Canada	2,000
Humane Society of the United States of America	4,873

The ninth meeting of the Conference of the Parties (status: completed)

The ninth meeting of the Conference of the Parties took place at Fort Lauderdale, United States of America, 7-18 November 1994, and was attended by 1,032 participants including representatives of Parties, non-Parties and numerous NGOs.

<u>Contributions:</u>	USD
Denmark	25,000
European Union	18,922
France	10,373
Netherlands	29,959
Norway	24,739
United Kingdom	15,219
United States of America	350,000
International Fur Trade Federation	10,000
Pet Industry Joint Advisory Council	5,000
WWF	8,000
Total contributions	497,212

Plants Committee meetings (status: completed)

The sixth meeting of the Plants Committee took place from 13 to 23 June 1995, in Tenerife, Spain. It was attended by 74 participants.

The seventh meeting of the Plants Committee took place from 11 to 15 November 1996, in San José, Costa Rica. It was attended by 81 participants.

<u>Contributions:</u>	USD
Netherlands	2,385
United States of America	6,650

Printing of CITES permits (status: on-going)

To avoid the use of permits that are not in conformity with the requirements of the Convention and to make forgeries more difficult, the Secretariat, upon request, arranges the printing of permits on security paper. When a Party is unable to pay for the printing, the Secretariat seeks funds from external sources.

In 1995, the Secretariat printed permits for Bulgaria, Gabon, Mali, Nicaragua, Peru and Senegal. The printing of permits for Belarus, Cameroon, Equatorial Guinea and Honduras was done in 1996.

<u>Contributions:</u>	USD
Colombia	6,690
Suriname	400
United Arab Emirates	3,356
United Kingdom	5,034
United Republic of Tanzania	5,286
Animal Legal Defense Fund	4,160
WWF	6,466

Review of international trade in the orchid genus *Dendrobium* (status: on-going)

The main objectives of this project are: to collect detailed information on the species reported in trade, the quantities involved and the trade routes; to assess the extent to which wild plants are traded internationally and as far as possible the scale and impact of collection; to investigate the current level and scope for

further development of commercial propagation, paying particular attention to the situation in range States; to liaise with the Plants Committee in relation to the preparation of the Checklist of *Dendrobium* species; to provide trade and conservation information as required for this; to provide information for use by CITES Authorities in making non-detriment findings under Article IV of the Convention and in reviewing import licence applications; to develop recommendations for field conservation measures for over-exploited species and to identify priority areas for further research. This project was initiated in December 1996.

<u>Contributions:</u>	USD
European Union *	2,361
Japan	10,000
* Total pledged:	8,136

Standing Committee meetings (status: completed)

The 35th Standing Committee meeting took place in Geneva, 21-24 March 1995; the 36th meeting took place in Geneva, 20 January-2 February 1996; and the 37th meeting took place in Rome, 2-6 December 1996.

<u>Contribution:</u>	USD
United States of America	41,000

Timber Identification Manual (status: planned)

This project is scheduled for the beginning of 1997.

<u>Contributions:</u>	USD
European Union *	12,592
Netherlands	17,871
* Total pledged:	23,400

Timber Working Group (status: on-going)

The first meeting of the Timber Working Group (TWG) took place in London from 23 to 27 November 1995. The members of the TWG are: Brazil, Cameroon, Canada, Costa Rica, Ghana, Japan, Republic of Korea, Malaysia, Switzerland, United States of America and the European Union, as well as ITTO, IUCN, TRAFFIC and IHPA. The TWG recommended several amendments to Resolution Conf. 9.3. The second meeting took place in Panama, 7-11 October 1996.

<u>Contributions:</u>	USD
Japan	60,000
United Kingdom	7,551
United States of America	15,000

Trade in medicinal plants (status: on-going)

The purpose of the project is to collect and collate as much information as possible related to the trade in medicinal plants. The project is currently in progress and some of the initial information resulting from it is included in document Doc. 10.56. This project is being fully paid for by the Management Authority of Germany, through a direct contract with the consultants.

<u>Contribution:</u>	USD
Germany	35,000

Doc. 10.14 Annex 2

Completed Species Projects – Final Reports (1994-1996)

The following is a list of final reports on completed species projects that were financed from external funds. These reports are available in the CITES Secretariat.

Name of report	Year
Survey of captive-breeding operations for common iguanas in Central America (S)	1994
Current status of <i>Crocodylus rhombifer</i> , the Cuban crocodile, in the wild (E)	1994
Paraguay – status of the crocodylians: results of the 1993 monitoring surveys (E)	1994
Study of the blue-fronted amazon in Argentina and its breeding and habitat requirements- phase 1 (E/S)	1995
Reptile farming in Benin, Ghana and Togo (F)	1995
Status and management of and trade in psittacines in Nicaragua (E)	1995
Distribution and utilization of the family Boidae in Paraguay (S)	1995
The distribution, relative population densities and exploitation of tegu lizard in Paraguay (S)	1995
Study of the distribution and population levels of two species of amphibians in Madagascar ( <i>Mantella aurantiaca</i> and <i>Mantella crocea</i> ) (F)	1995
Status and conservation of crocodylians in Africa (Gabon, Cameroon, Guinea and Guinea-Bissau) (E/F)	1996
Survey of the status of <i>Rhea americana</i> in Argentina – phase 2 (S)	1996
Population survey of the Delta Orinoco caiman in Venezuela (S)	1996
<b>Reports available in draft form only</b>	
Status survey, distribution and utilization of the African grey parrot ( <i>Psittacus erithacus</i> ) in Cameroon and Zaire (E)	1996
Status, systematic distribution and conservation of Colombian crocodiles (S)	1996
The leopard cat in China: ecology and management for sustainable utilization (E)	1996
Exploitation of, and trade in, monitor lizards in Nigeria (E)	1996
Survey of the status of corals in the Philippines with a view to sustainable use (E)	1996
<b>Note:</b> Letters in brackets indicate whether the document is available in English (E), French (F) or Spanish (S)	

Doc. 10.14 Annex 3

Projects Pending Funding

Region/Country	Title	Cost (USD)
AFRICA		
Guinea and Guinea-Bissau	Status survey and development of conservation management programme for grey parrots in Guinea and Guinea-Bissau	43,000
Liberia	Status survey, management and conservation of the grey parrot in Liberia	40,000
Madagascar	Investigation into the population status of <i>Agapornis cana</i> and <i>Coracopsis vasa</i> in Madagascar and the development of a management programme	51,000
Madagascar	Investigation into the status of <i>Chamaeleo</i> spp. and <i>Phelsuma</i> spp. in Madagascar, and the development of a management programme	51,000
Madagascar	Madagascar – Survey of <i>Crocodylus niloticus</i>	96,000
Namibia	Rehabilitation and reintroduction of cape pangolins confiscated from traders in Namibia	63,500
Nigeria	Status survey, management and conservation of the grey parrot in Nigeria	65,000
Sudan	Survey and monitoring of the African monitor lizards population in the Sudan	60,000
Sudan	Survey of status and conservation of the Nile crocodile in the Sudan	50,000
Togo	Status survey and management of reptilian resources in Togo	36,000

Region/Country	Title	Cost (USD)
Regional	Survey of the status and exploitation of and trade in the products of the hippopotamus in east, central and southern Africa	514,680
Regional	Continuation and intensification of the study on exploited populations of the Nile monitor lizard in Africa	81,000
ASIA		
China	Population status survey and development of conservation management for argali in China	65,000
Malaysia	Study of population density of the Burmese brown tortoise <i>Manouria emys</i> and the yellow-headed tortoise <i>Indotestudo elongata</i> in the Malaysian Peninsula	20,000
Philippines	Survey of the status of <i>Tridacnidae</i> species in the Philippines with a view to sustainable use	240,000
EUROPE		
Russian Federation	Survey of the population status and distribution of brown bears in the Russian Federation	60,000
NORTH AMERICA		
Mexico	Biology of wild populations of the scarlet macaw <i>Ara macao</i> and the red-lored amazon <i>Amazona autumnalis</i> , Mexico	21,620
Mexico	Release and integration of specimens of <i>Ara macao</i> and <i>Amazona autumnalis</i> , Mexico	11,500
Mexico	Adaptability and reproduction in captivity of <i>Ara macao</i> , <i>Amazona farinosa</i> and <i>Amazona autumnalis</i> in Mexico	21,000
Mexico	Assessment of the swamp population of <i>Crocodylus moreletii</i> in Mexico	106,000
Mexico	Ecology, conservation and management of freshwater turtles in Mexico	40,000
Mexico	Nesting, breeding and feeding of <i>Ara macao</i> in Mexico	55,000
CENTRAL AND SOUTH AMERICA AND THE CARIBBEAN		
Argentina	Survey of the Status of <i>Rhea americana</i> in Argentina, Phase 3	35,000
Argentina	Study of the feasibility of shearing live guanacos in Argentina for economic utilization	120,000
Argentina	Management and conservation of the boa constrictor in Argentina	26,500
Argentina	Distribution, habitat and population survey of crocodylians in Argentina	34,000
Argentina	Monitoring and reintroduction programme for <i>Caiman latirostris</i> in Argentina for management purposes	68,500
Argentina	Conservation and management of <i>Rhea pennata</i> in Argentina	96,000
Argentina	The conservation of <i>Conepatus</i> spp. in north-western Patagonia, Argentina	9,300
Argentina	Biology of <i>Chrysocyon brachyurus</i> in Argentina	30,000
Argentina	Conservation and management of peccaries in Argentina	74,000
Costa Rica	Survey of crocodylians in Costa Rica	32,000
Peru	Survey of the conservation status of crocodylians in Peru	53,000
Suriname	Distribution, status and management of psittacines in Suriname	30,000
Venezuela	Development of a management programme for <i>Tupinambis</i> in Venezuela	54,000
Regional	Sustainable management and conservation of crocodylians in Central America	1,482,194
Regional	Current status of the Andean cat in South America	28,000
GLOBAL	Investigation into the international trade in northern temperate orchids	38,300