

Decisions of the Conference of the Parties to CITES in effect after its 15th meeting

This list of Decisions has been produced in accordance with Resolution Conf. 4.6 (Rev. CoP15) of the Conference of the Parties. It contains the Decisions (other than Resolutions) adopted at the 15th meeting of the Conference of the Parties to CITES (CoP15, Doha, 2010) as well as the Decisions adopted at previous meetings that remain in effect after the 15th meeting.

The Decisions in this section are grouped by subject, in accordance with Resolution Conf. 4.6 (Rev. CoP15).

Every Decision that was adopted at CoP15 has a number starting with '15'. Every Decision that was adopted at a previous meeting and remains valid is included here with its original number, indicating the meeting at which it was adopted.

If the Conference of the Parties amended a Decision at a meeting after the one at which it was adopted, the original number of that Decision is followed by the indication '(Rev. CoPXX)', where 'XX' represents the number of the meeting at which the amendment was adopted. Thus the Decision numbered 14.28 (Rev. CoP15) was adopted by the Conference of the Parties at its 14th meeting and amended at its 15th meeting.

Readers of this publication will recall that Decisions of the Conference of the Parties should in principle be valid for only a short term and should be deleted by the Secretariat when they have been implemented or when they become redundant or obsolete. In cases where the Secretariat has deleted part of a Decision that is obsolete or has made a correction, it has annotated the Decision but not changed the number.

Information on Decisions that are no longer valid is available from the Secretariat in Geneva.

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Administrative matters

Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties

Non-payment of contributions

Directed to Parties

- 15.1 Parties that are in arrears in the payment of their financial contributions to the Convention are urged:
- a) to clear all outstanding arrears without delay; and
 - b) if unable to clear all outstanding arrears, to enter into arrangements with the Secretariat to mutually agree on a "schedule of payments".

Directed to the Standing Committee

- 15.2 The Standing Committee is mandated to monitor the payments of contributions from Parties in arrears and to assist the Secretariat in ensuring that future contributions are paid by the due date.

Directed to the Secretariat

- 15.3 The Secretariat shall continue to monitor Parties that are in arrears of the payment of their financial contributions to the Convention by:
- a) sending reminders twice yearly, with copies to the permanent missions in Geneva;
 - b) visiting the permanent missions in Geneva of the Parties in arrears for three or more years; and
 - c) seeking assistance from regional representatives to the Standing Committee in following up with Parties concerned.
- 15.4 The Secretariat shall consider the reasons for which Parties are in arrears with regard to their contributions and assist the Parties concerned on an individual basis to facilitate the payment of outstanding contributions.

Strategic matters

Cooperation between Parties and promotion of multilateral measures

Directed to Parties

- 14.28 Parties with stricter domestic measures and reservations should review them, as and when appropriate, in order to determine whether they are effective and necessary in order to CoP15) achieve the objectives of the Convention to ensure that trade in wild fauna and flora species is not detrimental to their survival.

Directed to the Standing Committee

- 14.29 The Standing Committee shall continue the Working Group on Multilateral Measures, (Rev. CoP15) established at its 57th meeting, which, operating by electronic means, should:
- a) review and, if necessary, revise any consultancy report produced under Decision 14.30 (Rev. CoP15);

- b) organize, with the help of the Secretariat, a meeting with representation from all CITES regions to discuss the above report; and
- c) based on the report of the meeting mentioned above, consider the need to draft for consideration at the 16th meeting of the Conference of the Parties any revised or new resolutions.

Directed to the Secretariat

14.30 The Secretariat shall, if external funds are made available for the purpose:

(Rev.

CoP15)

- a) hire a consultant to prepare a report on ways to assess whether:
 - i) the Resolutions of the Conference of the Parties are implemented by all Parties as consistently as possible and whether there is a need to clarify, revise or repeal them; and
 - ii) the scope for multilateral CITES processes that reduce the need by Parties for recourse to stricter domestic measures and reservations should be further developed; and
- b) assist the Standing Committee in organizing the meeting mentioned in Decision 14.29 (Rev. CoP15).

CITES and livelihoods

Directed to the Standing Committee

15.5 The Standing Committee shall continue the operation of its Working Group on CITES and Livelihoods so that it can:

- a) revise the draft resolution contained in Annex 1 to document CoP15 Doc. 14 and make specific recommendations at the 16th meeting of the Conference of the Parties;
- b) finalize the toolkit for the rapid assessment at the national level of the positive and negative impacts of implementing CITES listing decisions on the livelihoods of the poor, in conformity with Resolution Conf. 8.3 (Rev. CoP13);
- c) finalize the voluntary guidelines for Parties to address the negative impacts, in order to assist Parties in developing local, national and regional initiatives that take account of the impacts of implementing CITES listing decisions on the livelihoods of the poor; and
- d) prepare a final version of the tools and guidelines for review and endorsement by the Standing Committee at its 62nd meeting.

The Working Group shall continue to work electronically through a forum available on the CITES website. If funding is available, consideration may be given to holding one or more meetings, if possible in regions more likely to be affected by the outcome.

The process shall not include consideration of the criteria for amendment of the Appendices or the requirement to make non-detriment findings.

15.6 The Standing Committee shall present a report at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Decision 15.5.

Directed to the Secretariat

15.7 On completion of the draft guidelines and toolkit by the Working Group, the Secretariat shall make the draft documents available on its website for comments by Parties, stakeholders and interested organizations, and shall invite such comments via a Notification to the Parties. The comments shall be made available to the Working Group for consideration in the development of revised draft documents to be submitted for approval at the 61st meeting of the Standing Committee.

National wildlife trade policy reviews

Directed to the Secretariat

- 15.8 The Secretariat shall report at the 62nd meeting of the Standing Committee and at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Resolution Conf. 15.2 on *Wildlife trade policy reviews*.

Scientific committees

Rules of Procedure of the Animals and Plants Committees

Directed to the Standing Committee

- 15.9 Considering that members of the Animals and Plants Committees serve in a personal capacity, the Standing Committee shall review the need for the Rules of Procedure of those Committees to deal with potential conflicts of interest of its members relating to their activities in the Committees, and shall report on this matter at the 16th meeting of the Conference of the Parties.

Manual for regional representatives of the scientific committees

Directed to the Secretariat

- 14.9 Regarding the manual for regional representatives, contained in the Annex to document PC16/AC22 WG2 Doc. 1, the Secretariat shall:
- a) seek funding for its translation, publication in the three working languages of the Convention and distribution; and
 - b) when it has been tested by members of the scientific committees and updated accordingly, and funds are available, organize the publication and distribution of printed and electronic versions of the manual as capacity-building materials for regional representatives of the scientific committees.

Cooperation with other organizations

Post-2010 biodiversity targets

Directed to the Standing Committee

- 15.10 The Standing Committee shall review the adopted post-2010 biodiversity targets and, if necessary, make adjustments to the *CITES Strategic Vision: 2008-2013* as appropriate.

Biodiversity Indicators Partnership

Directed to the Secretariat

- 15.11 The Secretariat shall continue to provide its services as a key indicator partner in the Biodiversity Indicators Partnership, consulting the Animals, Plants and Standing Committees where necessary, and shall report on its work in this regard at the 16th meeting of the Conference of the Parties.

Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

Directed to the Animals and Plants Committees and the Secretariat

- 15.12 Without taking a position about the necessity for, or nature of, such a Platform, the Chairs of the Animals and Plants Committees and the Secretariat shall, subject to external funding, participate in discussions concerning a possible IPBES, to provide all necessary input into the process of IPBES and to ensure that the role of CITES receives due recognition. The

Chairs of the Animals and Plants Committees and the Secretariat shall report to the Standing Committee to seek additional guidance.

Directed to the Secretariat

15.13 The Secretariat shall work with the United Nations Environment Programme to identify possible sources of external funding to support the participation called for in Decision 15.12.

Directed to the Standing Committee

15.14 The Standing Committee shall report at the 16th meeting of the Conference of the Parties concerning the IPBES.

Climate change

Directed to the Animals and Plants Committees

15.15 Given the implications of climate change for science-based decision-making, the Animals and Plants Committees shall identify the scientific aspects of the provisions of the Convention and of Resolutions of the Conference of the Parties that are actually or likely to be affected by climate change, report their findings, and make recommendations for further action in relation to the Convention and to Resolutions of the Conference of the Parties as appropriate, at the 62nd meeting of the Standing Committee.

Directed to the Secretariat

15.16 The Secretariat shall request from the secretariats of other multilateral environmental agreements information on their activities that may be linked to climate change and CITES, and report to the Animals and Plants Committees and the Standing Committee.

Directed to the Standing Committee

15.17 The Standing Committee shall consider the reports of the Animals and Plants Committees and the Secretariat and report at the 16th meeting of the Conference of the Parties.

Food and Agriculture Organization of the United Nations (FAO)

Directed to the Standing Committee

15.18 The Standing Committee shall analyse the current Memorandum of Understanding (MoU) between CITES and FAO, determine the cooperative scheme between CITES and FAO on forestry issues, and ensure that future cooperation between FAO and CITES is carried out under the framework of the MoU.

Global Strategy for Plant Conservation of the Convention on Biological Diversity

Directed to the Plants Committee and the Secretariat

15.19 The Plants Committee shall collaborate with the Global Strategy for Plant Conservation (GSPC) of the Convention on Biological Diversity (CBD), and with any processes established to develop the Strategy beyond 2010, provided it is related to CITES, as well as on other issues related to flora species included in the CITES Appendices, and the Secretariat shall communicate the contributions of CITES in the context of its Memorandum of Understanding with the CBD Secretariat.

Funding for projects related to species conservation and management

Directed to the Secretariat

15.20 The Secretariat shall:

- a) in cooperation with international financial institutions and potential donors, investigate possible ways to establish the means to secure funding to support the provision of technical assistance to CITES Parties in relation to regulating wildlife trade (including population studies as a basis for management programmes); and

- b) report its findings and recommendations at the 16th meeting of the Conference of the Parties.

Capacity building

Non-commercial loan, donation or exchange of museum and herbarium specimens

Directed to the Secretariat

- 12.79 The Secretariat shall develop a brochure that will illustrate the importance of registering scientific institutions under Article VII, paragraph 6, of the Convention and demonstrate how the registration procedures can be applied in a simplified manner.

Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species

Directed to Parties

- 12.90 The Parties should seek funding in order to:
- a) assist the Secretariat in implementing its capacity-building programme dealing with the scientific basis for establishment and implementation of voluntary national export quotas for Appendix-II species; and
 - b) support exporting countries in their efforts to gather information needed to set quotas.

Directed to the Secretariat

- 12.91 The Secretariat is encouraged to continue to develop and refine its capacity-building programme dealing with the scientific basis for development, establishment, and implementation of voluntary national export quotas for Appendix-II species, and shall, as appropriate, consult with the Animals Committee and Plants Committee on this programme. This consultation may include:
- a) solicitation of input from the Committees regarding materials used in the capacity-building programme for voluntary national export quotas for Appendix-II species; and
 - b) a request for new information from the Committees on methods used for establishing quotas and for relevant case studies on the establishment of quotas.
- 12.92 To facilitate the development and refinement of its capacity-building programme for voluntary national export quotas for Appendix-II species, the Secretariat may invite Parties to provide new information regarding the scientific basis for establishment and implementation of such quotas, and regarding the most appropriate ways of disseminating relevant information to the Parties in a timely and cost-effective manner.
- 12.93 The Secretariat shall seek funding to:
- a) continue its capacity-building programme for the scientific basis for establishment and implementation of voluntary national export quotas for Appendix-II species; and
 - b) support exporting countries in their efforts to gather information needed to set quotas.

Support to Master's programmes

Directed to Parties

- 14.10 Parties are requested to provide, in accordance with national legislation, financial assistance to academic institutions offering Master's degree courses on CITES and CITES-related subjects in order to support the continuation of these courses.

Regional cooperation and coordination

Directed to Parties

- 14.11 Parties should urge regional environmental organizations to take a more active role in regional cooperation and coordination of CITES to build capacity in their region.

Virtual college

Directed to the Secretariat

- 14.12 The Secretariat shall seek external funding to establish and operate a CITES Virtual College, in collaboration with academic institutions and training organizations, to provide Internet-based training on the Convention that will be available to all Parties.
- 14.13 The Secretariat shall, subject to external funding, produce its capacity-building tools in the six official languages of the United Nations.

Capacity building

Directed to the Secretariat

- 15.21 The Secretariat shall:
- a) seek funding to convene a capacity-building workshop and regional meeting for the Oceania region before the 62nd meeting of the Standing Committee, in order to improve regional implementation of the Convention; and
 - b) subject to availability of funds, invite the Parties of the region, non-party States, regional intergovernmental organizations and observers as may be appropriate.
- 15.22 The Secretariat shall:
- a) seek funding to convene a capacity-building workshop and regional meeting for the Africa region before the 62nd meeting of the Standing Committee, in order to improve regional implementation of the Convention; and
 - b) subject to availability of funds, invite the Parties of the region, non-party States, regional intergovernmental organizations and observers as may be appropriate.

Non-detriment findings

Directed to Parties

- 15.23 Parties are encouraged:
- a) to consider the outputs of the International Expert Workshop on Non-Detriment Findings (Cancún, November 2008) to enhance CITES Scientific Authorities' capacities, particularly those related to the methodologies, tools, information, expertise and other resources needed to formulate non-detriment findings;
 - b) taking into account Resolution Conf. 10.3, to prioritize activities such as workshops on capacity building to better understand what non-detriment findings are and how to enhance the ways to formulate them; and
 - c) to report their findings regarding paragraphs a) and b) above at the 25th and 26th meetings of the Animals Committee and 19th and 20th meetings of the Plants Committee.

Directed to the Animals and Plants Committees

- 15.24 The Animals and Plants Committees shall:
- a) review feedback received from Parties on the outputs from the International Expert Workshop on Non-Detriment Findings and advise on a path forward on how best to use the outputs to assist Scientific Authorities in the making of non-detriment findings;

- b) prepare a discussion paper for consideration at the 16th meeting of the Conference of the Parties (CoP16) with options on how to use the workshop outputs, including, if considered appropriate, a draft resolution on the establishment of non legally binding guidelines for the making of non-detriment findings;
- c) review the non-detriment finding training materials used by the CITES Secretariat when conducting regional capacity-building workshops and provide advice for their improvement; and
- d) taking account of the results of the International Expert Workshop on Non-Detriment Findings (Cancún, November 2008) and the responses to Notification to the Parties No. 2009/023 of 8 June 2009:
 - i) establish the mechanism for reporting from the Parties on their findings in an open and intersessional process;
 - ii) prepare draft guidance on the making on non-detriment findings at their 25th and 19th, and 26th and 20th meetings respectively;
 - iii) submit this draft guidance to the Secretariat to be conveyed to Parties for comment in a Notification to the Parties; and
 - iv) review comments received from Parties and prepare revised draft guidance as a tool for making non-detriment findings for submission and consideration at CoP16.

Directed to the Secretariat

15.25 The Secretariat shall:

- a) include non-detriment findings as a principal component of its regional capacity-building workshops; and
- b) use the external funds offered from interested Parties, intergovernmental and non-governmental organizations, and other funding sources, to translate the guidelines into Arabic, Chinese and Russian and to support activities for capacity building on non-detriment findings in regional workshops.

Non-detriment findings for timber, medicinal plants and agarwood

Directed to Parties

15.26 Parties are invited to conduct workshops with the participation of appropriate experts on the use of timber species and *Prunus africana*, medicinal plants and agarwood-producing species non-detriment finding guidance in range States concerned with the cooperation of the importing Parties.

Directed to the Secretariat

15.27 The Secretariat shall:

- a) include practical elements for making non-detriment findings for these plant groups in its capacity-building workshops, in order to generate feedback from Scientific Authorities to refine the guidelines on making non-detriment findings included in document CoP15 Doc. 16.3;
- b) use the external funds offered from interested Parties, intergovernmental and non-governmental organizations, and other funding sources to translate the guidelines into Arabic, Chinese and Russian and to support capacity-building workshops regionally on the use of timber species and *Prunus africana*, medicinal plants and agarwood-producing species non-detriment finding guidance in the range States concerned; and
- c) maintain the information up to date and accessible to Parties.

Interpretation and implementation of the Convention

Review of Resolutions

Directed to the Standing Committee

14.19 The Standing Committee should review any proposals from the Secretariat to correct non-substantive errors or minor editorial faults in current Resolutions and decide whether they should be referred to the Conference of the Parties. In cases where the Committee agrees with the proposals and considers that they need not be referred to the Conference, it may instruct the Secretariat to republish the Resolutions with the necessary corrections.

Review of the Appendices

Directed to the Animals Committee

13.93 The Animals Committee shall, immediately following the 13th meeting of the Conference of the Parties, include the Felidae in its Review of the Appendices. This review shall initially (Rev. CoP15) focus on the listing of the *Lynx* species complex, which includes species that are listed because of similarity of appearance, such as *Lynx rufus*. In addition to evaluating the listings of these species against the criteria for inclusion of species in Appendices I and II contained in Resolution Conf. 9.24 (Rev. CoP15), the Animals Committee shall assess the management and enforcement measures available to achieve effective control of trade in these species so as to resolve the continued need for look-alike listings. This assessment should also include a review of trade information to determine whether these species are actually confused in trade or whether the look-alike problem is merely hypothetical. The Animals Committee shall provide a report at the 16th meeting of the Conference of the Parties on the progress of the review of all Felidae and particularly on their review of *Lynx* spp. and look-alike issues.

Criteria for the inclusion of species in Appendices I and II

Directed to the Secretariat

15.28 The Secretariat shall:

- a) prepare a report that will summarize its experience in applying criterion Annex 2 a B and the introductory text to Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to some or all of the commercially exploited aquatic species that were proposed for inclusion on Appendix II at the 13th, 14th and 15th meetings of the Conference of the Parties, highlighting any technical difficulties or ambiguous issues encountered, including, where appropriate, illustrations of these matters by comparison with application of the criteria to other species;
- b) request IUCN/TRAFFIC and the Food and Agriculture Organization of the United Nations to each prepare a report, subject to the availability of external funding, with the same requirements as the report referred to in paragraph a) above; and
- c) submit its report and any received under paragraph b) above for consideration at the 25th meeting of the Animals Committee.

Directed to the Animals Committee

15.29 The Animals Committee shall:

- a) on receipt of any or all of the reports referred to in Decision 15.28, and having sought the participation of representative(s) of the Plants Committee, IUCN, TRAFFIC, the Food and Agriculture Organization of the United Nations and other appropriate experts, develop guidance on the application of criterion B and the introductory text of Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species proposed for inclusion on Appendix II;

- b) recommend the best way to incorporate the guidance for use when applying Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species, without affecting the application of Resolution Conf. 9.24 (Rev. CoP15) to other taxa; and
- c) submit its conclusions and recommendations at the 62nd meeting of the Standing Committee.

Directed to the Standing Committee

15.30 The Standing Committee shall:

- a) consider the report and recommendations submitted by the Animals Committee under Decision 15.29 together with comments or other input that the Food and Agriculture Organization of the United Nations may wish to submit; and
- b) submit the Animals Committee's recommendations, together with its comments, at the 16th meeting of the Conference of the Parties.

Annotations to the Appendices for plants

Directed to the Plants Committee

15.31 The Plants Committee shall:

- a) prepare clarification (i.e. a glossary or an illustrated brochure to be made available to enforcement authorities) and guidance on the meaning of "packaged and ready for retail trade" and other terms used in the Annotations, and
- b) report at the 16th meeting of the Conference of the Parties (CoP16) and, if necessary, prepare additional amendment proposals for CoP16.

Review of annotations for Cactaceae and Orchidaceae: herbarium specimens

Directed to the Secretariat

15.32 The Secretariat shall:

- a) encourage Parties via a Notification to contact their national scientific institutions, and to inform them about the implications and benefits under Article VII, paragraph 6, and Resolution Conf. 11.15 (Rev. CoP12);
- b) encourage Parties via a Notification to apply Article VII, paragraph 6, by registering scientific institutions as appropriate [as stated in Resolution Conf. 11.15 (Rev. CoP12)]; and
- c) in compliance with Decision 12.79, support the preparation of an information brochure.

Directed to Parties

15.33 The Parties shall:

- a) Contact their national scientific institutions, and inform them about the implications and benefits under Article VII, paragraph 6, and Resolution Conf. 11.15 (Rev. CoP12); and
- b) Apply Article VII, paragraph 6, by registering scientific institutions in accord with Resolution Conf. 11.15 (Rev. CoP12).

Review of annotations for Cactaceae and Orchidaceae: evaluation of trade in finished products

Directed to the Plants Committee

15.34 The Plants Committee shall:

- a) continue to review the trade in *Aloe* spp., Cactaceae spp., *Cyclamen* spp., *Galanthus* spp., *Gonystylus* spp., Orchidaceae spp. and *Prunus africana* to determine whether additional finished products should be exempted by amending the relevant annotations

for these species. This review should initially focus on trade in finished products of Orchidaceae spp. Recommendations on whether to exempt additional finished products from CITES controls should be based on the same considerations reflected in document PC18 Doc. 11.3 (e.g. whether finished products are exported from range States and are a significant portion of the trade). In conducting this work, the Plants Committee should consider whether a clear definition of 'finished products' should be developed; and

- b) as appropriate, prepare proposals to amend Appendix II, based on the outcome of this review, and provide them to the Depository Government for submission at the 16th meeting of the Conference of the Parties.

Orchids: annotation for species included in Appendix II

Directed to Parties and the Plants Committee

14.133 Countries of export and import should make recommendations and prepare identification material on further exemptions for artificially propagated hybrids of Orchidaceae spp. included in Appendix II, taking into consideration the capacities of countries to implement and control such exemptions effectively. The results shall be sent to the Plants Committee, which shall evaluate them and adopt the appropriate measures.

Directed to the Plants Committee

14.134 The Plants Committee shall monitor and assess possible conservation problems arising (Rev. from the implementation of the annotation to Orchidaceae spp. included in Appendix II and CoP15) shall report on the issue at the 16th meeting of the Conference of the Parties.

Tree species: annotations for species included in Appendices II and III

Directed to the Secretariat

14.149 Subject to the availability of external funding, the Secretariat shall prepare a glossary with definitions and training materials to illustrate the content of the amended annotations, the terms used and their practical implementation when applying the law and controls.

15.35 The Secretariat shall commission a trade study, subject to available funding, to be conducted by an external consultant in cooperation with the International Tropical Timber Organization, to review the trade in timber species listed in Appendices II and III to determine the types of specimens that initially appear in international trade or are exported from range States and regarding those which dominate the trade in and demand for the wild resource. Once the specimens that meet these criteria have been determined, the study should also determine which six-digit universal HS codes and associated definitions are applicable to these specimens. The Secretariat shall provide the results of this study to the Plants Committee.

Directed to the Plants Committee

14.148 a) Based on the results of the trade study, the Plants Committee shall review the annotations for tree species listed in Appendices II and III and, if appropriate, draft amendments to the annotations and prepare clear definitions for the terms used in those annotations in order to facilitate their use and understanding by CITES authorities, enforcement officers, exporters and importers.

- b) The amended annotations shall focus on articles that initially appear in international trade as exports from range States and those which dominate the trade in and demand for the wild resource.

- c) The Plants Committee shall draft, if necessary, proposals to amend Resolution Conf. 10.13 (Rev. CoP15) and/or to amend the Appendices accordingly so that the Depository Government may submit them on its behalf for consideration at the 16th meeting of the Conference of the Parties.

Review of Significant Trade

Review of Significant Trade

13.67 The Conference of the Parties has adopted the *Terms of reference for an evaluation of the* (Rev. *Review of Significant Trade* contained in Annex 1 to these Decisions. CoP14)

Review of Significant Trade in Cistanche deserticola, Dioscorea deltoidea, Nardostachys grandiflora, Picrorhiza kurrooa, Pterocarpus santalinus, Rauvolfia serpentina and Taxus wallichiana

Directed to the range States of Cistanche deserticola, Dioscorea deltoidea, Nardostachys grandiflora, Picrorhiza kurrooa, Pterocarpus santalinus, Rauvolfia serpentina and Taxus wallichiana, to the regional representatives for Asia on the Plants Committee and to the Secretariat

15.36 The bodies to which this Decision is directed should ensure the implementation of regionally coordinated actions to improve the management of the seven species and ensure that the trade therein is legal, sustainable and traceable. These measures could include, *inter alia*, the organization of regional capacity-building workshops, the improvement of methodologies to make non-detriment findings and to determine legal acquisition, the harmonization of management and compliance measures, and the development of incentives to prevent illegal trade.

Directed to the Secretariat

15.37 The Secretariat shall:

- a) subject to the availability of external funding and in collaboration with the range States, the regional representatives for Asia on the Plants Committee, the World Health Organization, traditional medicine associations and TRAFFIC, organize one or several regional capacity-building workshops, on the basis, *inter alia*, of the recommendations in document PC17 Inf. 10; and
- b) inform the Plants Committee on progress made at its 20th meeting.

Compliance and enforcement

National laws for implementation of the Convention

Directed to Parties

15.38 Parties should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures which have been adopted for effective implementation of the Convention.

15.39 Any Party which has not adopted appropriate measures for effective implementation of the Convention should submit to the Secretariat a justification for not having done so.

Directed to the Standing Committee

15.40 The Standing Committee shall review at its 61st and 62nd meetings the progress of Parties in adopting appropriate measures for the effective implementation of the Convention.

Directed to the Secretariat

15.41 The Secretariat shall:

- a) compile and analyse the information submitted by Parties on measures adopted before the 16th meeting of the Conference of the Parties (CoP16) to fulfil the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15);

- b) provide, to the extent resources are available, legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention, including legislative guidance for and training of CITES authorities, legal drafters, policy makers, the judiciary, parliamentarians and other relevant government officials responsible for the formulation and adoption of CITES-related legislation;
- c) cooperate, in the provision of legislative assistance, with the legal programmes of United Nations bodies and intergovernmental organizations such as UNEP, the Food and Agriculture Organization, the World Bank and the Organization of American States;
- d) report at the 61st and 62nd meetings of the Standing Committee on Parties' progress in adopting adequate measures and, if necessary, recommend the adoption of appropriate compliance measures, including suspension of trade;
- e) identify for the Standing Committee any countries that require attention as a priority under the National Legislation Project; and
- f) report at CoP16 on the progress made with regard to the implementation of Resolution Conf. 8.4 (Rev. CoP15) and Decisions 15.38, 15.39, 15.40 and 15.41.

National reports

Directed to the Standing Committee

14.37 The Standing Committee, with the assistance of its Working Group on Special Reporting (Rev. CoP15) Requirements and the Secretariat, shall:

- a) continue its review of the recommendations to Parties to provide special reports under the Convention, assess whether they have been or might be effectively incorporated into the annual and biennial reports, and consider how the biennial report format might be revised to facilitate such incorporation;
- b) revise the standard biennial report format to gather information from Parties on incentive measures for implementation of the Convention, conservation measures for Appendix-I listed species and case studies on user fees;
- c) by its 61st meeting (SC61), follow up on how the reporting required in the indicators for the *CITES Strategic Vision: 2008-2013* would be undertaken and, by SC62, begin applying the indicators; and
- d) report at the 16th meeting of the Conference of the Parties on its conclusions and recommendations.

Directed to the Secretariat

14.38 The Secretariat shall:
(Rev. CoP15)

- a) continue to collaborate with the secretariats of other conventions, UNEP and other bodies in order to facilitate the harmonization of knowledge management and reporting;
- b) identify additional ways to reduce the reporting burden on Parties, *inter alia*, in the context of Party-led initiatives, its ongoing review of the Resolutions and Decisions of the Conference of the Parties, its support to the Standing Committee on electronic permitting and its work with partners to compile and analyse CITES-related reports; and
- c) report at the 16th meeting of the Conference of the Parties on the results of this work.

Reporting on trade in artificially propagated plants

Directed to the Secretariat

14.39 The Secretariat shall, subject to available funding, in consultation with the UNEP World (Rev. CoP15) Conservation Monitoring Centre:

- a) conduct a survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II, e.g. regarding the degree of completeness and detail;

- b) identify cases where the compilation of trade data pertaining to artificially propagated plants of taxa included in Appendix II has contributed to a significant extent to the detection of illegal trade or to any other analysis related to the conservation of wild flora;
- c) taking into consideration the results of paragraphs a) and b) above, analyse the Convention text and Resolutions in order to identify binding and non-binding elements of reporting, with special emphasis on artificially propagated plants of taxa included in Appendix II. The Secretariat shall list options for streamlining such reporting; and
- d) report on its findings to the Plants Committee prior to its 20th meeting.

Directed to the Plants Committee

14.40 The Plants Committee shall, after considering the report of the Secretariat:

(Rev.

CoP15) a) determine whether there are any taxa of Appendix-II plants artificially propagated for which detailed reporting is less valuable; and

- b) report its findings to the Standing Committee at its 62nd meeting.

Directed to the Standing Committee

14.41 The Standing Committee shall:

(Rev.

CoP15) a) taking into consideration the findings of the Plants Committee, determine whether it is possible to streamline the reporting of trade in artificially propagated Appendix-II plants; and

- b) report on its findings at the 16th meeting of the Conference of the Parties and submit draft wording to amend Resolutions concerned, where appropriate.

Gathering and analysis of data on illegal trade

Directed to the Secretariat

15.42 The Secretariat shall establish an illegal trade database working group to:

- a) design and implement a database to be used by the Parties and the Secretariat for the gathering and analysis of data related to illegal trade in specimens of CITES-listed species;
- b) seek external funding to enable the group to conduct its activities, assisted by a relevant consultant if appropriate; and
- c) report to the Standing Committee at its 61st and 62nd meetings on the progress of the working group.

Directed to the Standing Committee

15.43 The Standing Committee shall consider the reports of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); or
- b) requesting the Secretariat to prepare a report for consideration at CoP16.

Illegal trade in great apes

Directed to the Secretariat

15.44 The Secretariat shall seek external funding to undertake, in conjunction with GRASP, ICPO-INTERPOL and the World Customs Organization, technical missions to a limited number of gorilla range States. The Secretariat shall report to the Standing Committee on the outcome of the missions.

Directed to the Standing Committee

- 15.45 The Standing Committee shall consider the report of the Secretariat and also consider:
- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); and
 - b) requesting the Secretariat to prepare a report for consideration at CoP16.

Asian big cats

Directed to Parties, especially tiger range States

- 15.46 All Parties, but particularly tiger range States, should submit, by 30 June 2010, information relating to incidents of poaching of and illegal trade in tigers that have occurred within their territory since the beginning of 2007. Information should be submitted using the Ecomessage format distributed in Notification to the Parties No. 2009/028 of 22 July 2009. Ecomessage forms for each incident should be submitted to the CITES Secretariat or to the General Secretariat of ICPO-INTERPOL via INTERPOL National Central Bureaus.

Directed to the Secretariat

- 15.47 The Secretariat shall collaborate with ICPO-INTERPOL to undertake an analysis of the information received from Parties. Two reports, one for public consumption and the other solely for the law enforcement community, should be prepared. The public document will be posted on the CITES website, whilst the other will be circulated in a restricted fashion to relevant enforcement agencies. The Secretariat shall report on this matter at the 61st meeting of the Standing Committee and make any relevant recommendations as a result of the analysis.
- 15.48 The Secretariat shall seek funds to convene, as soon as possible, a seminar involving senior-level Customs and police officers from tiger range States, to brief them on the threatened status of this species, particularly the impact wildlife crime has upon it. The officials shall also be briefed by the Secretariat regarding the Global Tiger Summit, planned under the Global Tiger Initiative, so that the law enforcement community throughout tiger range States is prepared to engage in efforts to safeguard this species and respond to measures adopted at the Summit. The Secretariat shall collaborate with ICPO-INTERPOL, the United Nations Office on Drugs and Crime and the World Customs Organization in preparing the seminar. The Secretariat shall report on the outcomes of the seminar at the 61st meeting of the Standing Committee.

Directed to the Standing Committee

- 15.49 At its 61st meeting, the Standing Committee shall consider the report of the Secretariat and determine what actions are necessary as a result of the analysis and the seminar. This could include instructing the Secretariat to convene the CITES Tiger Enforcement Task Force or CITES Enforcement Expert Group to consider further action.

Trade control and marking

Introduction from the sea

Directed to the Standing Committee

- 14.48 The Standing Committee shall:
(Rev. CoP15)
- a) extend operation of the Working Group on Introduction from the Sea, established at SC57, with the understanding that it shall continue to work primarily through electronic means, to consider a definition for 'transportation into a State', clarification of the term 'State of introduction' and the process for issuing a certificate of introduction from the sea as well as other issues identified for further consideration in the final report of the CITES Workshop on Introduction from the Sea Issues (Geneva, 30 November – 2 December 2005) and the final report of the meeting of the working group held in Geneva from 14 to 16 September 2009;

- b) include in the working group representatives of CITES authorities and fishery authorities from each of the six CITES regions and request the participation of and input from the United Nations Division for Ocean Affairs and the Law of the Sea, the Food and Agriculture Organization of the United Nations, regional fishery bodies, the fishing industry, and intergovernmental organizations and non-governmental organizations with CITES and fishery expertise; and
- c) ask the working group to prepare a discussion paper and draft revised resolution for consideration by the Standing Committee at its 62nd meeting and for consideration at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

15.50 The Secretariat shall, contingent on the availability of external funding, convene two meetings of the working group before the 62nd meeting of the Standing Committee.

Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II

Directed to the Animals Committee

15.51 The Animals Committee shall:

- a) evaluate the merit of reinstating the ability to transfer suitably qualified populations that continue to meet the criteria in Resolution Conf. 9.24 (Rev. CoP15) Annex 1 from Appendix I to Appendix II pursuant to Resolution Conf. 11.16 (Rev. CoP15) or Resolution Conf. 9.20 (Rev.); and
- b) if merit is found, draft a revision of the terms of paragraph A. 2 in Annex 4 of Resolution Conf. 9.24 (Rev. CoP15) to eliminate the requirement that downlisting proposals pursuant to Resolution Conf. 11.16 (Rev. CoP15) or Resolution Conf. 9.20 (Rev.) must also meet the criteria in Annex 1 of Resolution Conf. 9.24 (Rev. CoP15).¹

Production systems for specimens of CITES-listed species

Directed to the Secretariat

15.52 The Secretariat shall:

- a) contingent on the availability of external funds, contract an appropriate expert to prepare a guide to advise the Parties on the appropriate use of source codes;
- b) provide a draft of this guide to the Animals and Plants Committees for review and comment; and
- c) prepare and distribute the final product, incorporating the feedback of the Animals and Plants Committees, to inform the Parties on the appropriate use of source codes.

Directed to the Animals and Plants Committees

15.53 The Animals and Plants Committees shall review and provide feedback to the Secretariat on the draft guide to advise the Parties on the appropriate use of source codes.

Electronic permitting

Directed to Parties

15.54 Parties are encouraged to use the CITES Electronic Permitting Toolkit found on the CITES website to develop or update national electronic permitting systems.

¹ Note from the Secretariat: the Secretariat understands that the intention of paragraph b) of this Decision is that, if the Animals Committee finds merit in the ability referred to in paragraph a), then it should draft a revision of section A. 2 in Annex 4 of Resolution Conf. 9.24 (Rev. CoP15) to allow proposals to downlist populations of Appendix-I species pursuant to Resolution Conf. 11.16 (Rev. CoP15) or Resolution Conf. 9.20 (Rev.) even if the species continue to qualify for inclusion in Appendix I under the criteria listed in Annex 1 of Resolution Conf. 9.24 (Rev. CoP15).

Directed to the Standing Committee

- 15.55 The Standing Committee shall extend the mandate of its Working Group on Information Technologies and Electronic Systems in order for it to perform the following tasks:
- a) gather information from Parties and relevant organizations and initiatives on new developments related to electronic permitting systems, and submit such information to the Secretariat for possible inclusion in the toolkit;
 - b) collaborate with the Secretariat in updating the toolkit with new information related to the use of common information exchange formats, protocols and standards and electronic signatures;
 - c) promote the development and use of electronic permitting systems among Parties;
 - d) examine how electronic permitting can contribute to ensuring legal electronic commerce in specimens of CITES-listed species;
 - e) invite relevant organizations with knowledge about electronic permitting systems to join the Working Group; and
 - f) report to the Standing Committee at its regular meetings on the results of its work.

Directed to the Secretariat

- 15.56 In collaboration with the Working Group on Information Technologies and Electronic Systems, the Secretariat shall, subject to external funding:
- a) update the CITES electronic toolkit according to new electronic permitting standards and norms;
 - b) work with relevant international organizations and initiatives related to electronic permitting systems to raise awareness of CITES business procedures and permitting requirements; and
 - c) organize capacity-building workshops to assist Parties in using the CITES electronic permitting toolkit to develop, implement or update electronic permitting systems.

Purpose codes on CITES permits and certificates

Directed to the Standing Committee

- 14.54 (Rev. CoP15) The Standing Committee shall re-establish an intersessional joint working group to review the use of purpose-of-transaction codes by Parties, with the following terms of reference:
- a) the working group shall be composed of Parties from as many of the six CITES regions as possible, and appropriate intergovernmental and non-governmental organizations, with expertise in the issuance of CITES documents and use of purpose-of-transaction codes for evaluation within the permit issuance process and trade data analysis;
 - b) the working group shall, communicating through electronic media, focus on clearly defining purpose-of-transaction codes to encourage their consistent use, and consider the possible elimination of current codes or the inclusion of new ones;
 - c) in evaluating the use and definition of purpose-of-transaction codes, the working group should take into account any difficulties of implementation by Parties and the potential resource implications of inclusion of any new codes or deletion of current purpose-of-transaction codes; and
 - d) the working group should submit a report and any recommendations for amendments to Resolution Conf. 12.3 (Rev. CoP15), or to any revision thereof, at the 62nd meeting of the Standing Committee, which shall report, with its recommendations, at the 16th meeting of the Conference of the Parties.

E-commerce of specimens of CITES-listed species

Directed to Parties

15.57 Parties are urged to:

- a) submit information to the CITES Secretariat on best practices and on websites adhering to codes of conduct for posting on the CITES website;
- b) publish results of scientific research on correlations between use of the Internet and the rate of wildlife crime, and share these results with the CITES Secretariat;
- c) assess the extent of and trends in commerce of CITES-listed species via the Internet and submit such information to the Secretariat for analysis; and
- d) submit information to the CITES Secretariat for analysis on any changes in trade routes and methods of shipment that have been observed as a result of increased use of the Internet to promote trade in wildlife.

Directed to the Secretariat

15.58 The Secretariat shall:

- a) develop an Internet portal on the CITES website to compile, publish and disseminate information submitted by Parties and stakeholders related to e-commerce of CITES-listed species; and
- b) write to Interpol encouraging it to establish a secure interactive website or electronic forum containing information and intelligence regarding Internet-related wildlife crime, capable of being updated in a 'real-time' manner by authorized contributors.

Transport of live specimens

Directed to the Animals and Plants Committees

15.59 The Animals and Plants Committees, in consultation with the Secretariat, should:

- a) proceed with replacing the CITES *Guidelines for transport and preparation for shipment of wild animals and plants* (1981) with new guidelines for non-air transport of live animals and plants for consideration at the 16th meeting of the Conference of the Parties (CoP16);
- b) consult and liaise with relevant transport experts and other stakeholders, *inter alia*, to gather information related to non-air transport;
- c) review and propose revisions to Resolution Conf. 10.21 (Rev. CoP14) and Resolution Conf. 12.3 (Rev. CoP15) for consideration at CoP16; and
- d) report on the implementation of this Decision at CoP16.

Directed to the Secretariat

15.60 The Secretariat shall:

- a) explore ways to establish enhanced cooperation between CITES and various organizations that deal with transport (OIE/World Animal Health Organization, International Maritime Organization, etc.) through, *inter alia*, a Memorandum of Understanding, or the creation of a liaison group; and
- b) incorporate the transport-related guidance found in paragraphs 77-89 of document AC24 Doc. 15.2 into the CITES National Legislation Project.

Physical inspection of timber shipments

Directed to the Standing Committee

14.61 The Standing Committee, with the support of the Secretariat, and in consultation with Parties (Rev. and relevant intergovernmental organizations, shall consider the outcome of the working CoP15) group on physical inspection of timber shipments at its 61st meeting, to identify best practices and capacity-building measures that may be implemented with technical and/or financial support from donors, and report at the 16th meeting of the Conference of the Parties.

Standard nomenclature

Directed to Parties

15.61 A 'tool-kit' to facilitate the Parties in putting information into their own databases could be developed, subject to funding. This tool could include data outputs and summaries of nomenclature changes in a variety of electronic formats to suit different types of databases thus assisting Parties to include the changes into their own databases.

Directed to the Animals Committee

- 15.62 a) Subject to funding, the Animals Committee shall undertake a review of recent proposed changes to the taxonomy and nomenclature of CITES-listed mammals, reptiles and amphibians of Madagascar, with a view to producing checklists to be considered by the Animals Committee in preparation for the 16th meeting of the Conference of the Parties.
- b) If nomenclature changes are identified affecting Appendix-III listings, the nomenclature specialist of the Animals Committee should advise the Secretariat whether these changes also result in changes in distribution affecting the countries issuing certificates of origin.

Directed to the Animals and Plants Committees

15.63 The Animals and Plants Committee shall conduct an analysis to identify taxa listed in the Appendices that can be included under the name of a higher taxon without altering the scope of the listing, to be consistent with the section on "Higher taxa" in Annex 3 of Resolution Conf. 9.24 (Rev. CoP15), and, where appropriate, prepare proposals for submission to the Conference of the Parties by the Depositary Government.

Identification of CITES-listed corals in trade

Directed to the Animals Committee

- 15.64 The Animals Committee shall:
- a) identify existing coral reference materials that could be adopted as standard nomenclatural references for CITES-listed corals; and
- b) update its list of coral taxa for which identification to genus level is acceptable, but which should be identified to species level where feasible, and provide the updated list to the Secretariat for dissemination.

Directed to the Secretariat

15.65 The Secretariat shall, upon receiving the updated list from the Animals Committee, transmit this information to the Parties through a Notification to the Parties, and by publishing the list on the CITES website.

Identification of worked specimens of black coral (*Antipatharia*) and parts thereof in trade

Directed to the Secretariat

15.66 The Secretariat shall, subject to the availability of external funds, commission the development of guidance on the identification of worked specimens of black coral (*Antipatharia*) in trade at species, genus and higher taxonomic level.

Use of taxonomic serial numbers

Directed to Parties

15.67 The Parties are encouraged to consider the usefulness of incorporating taxonomic serial numbers in their domestic data systems and provide comments to the Secretariat.

Directed to the Standing Committee

15.68 The Standing Committee shall, at its 61st meeting (SC61), establish a working group, in consultation with the nomenclature experts of the Animals and Plants Committees and the UNEP World Conservation Monitoring Centre, to:

- a) investigate the usefulness and feasibility of incorporating taxonomic serial numbers as an element of CITES data sets;
- b) report its findings at SC62; and
- c) if necessary, prepare a draft resolution for submission and consideration at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

15.69 The Secretariat shall, subject to external funding, compile information voluntarily provided by the Parties regarding the usefulness of incorporating taxonomic serial numbers in their domestic data and make this information available to other Parties.

Exemptions and special trade provisions

Personal and household effects

Directed to the Standing Committee

14.64 The Standing Committee shall extend the operation of its Working Group on Personal and Household Effects until the 16th meeting of the Conference of the Parties (CoP16) and (Rev. CoP15) oversee the Group's work in fulfilling the following terms of reference:

- a) clarify the relationship between 'tourist souvenirs', 'hunting trophies' and 'personal and household effects';
- b) clarify the interpretation of Article VII, paragraph 3 (b), of the Convention;
- c) assess whether there are specific species or types of personal or household effects which, in view of conservation concerns, would require different treatment under Resolution Conf. 13.7 (Rev. CoP14);
- d) collate information about how each Party has implemented Resolution Conf. 13.7 (Rev. CoP14), particularly with regard to requirements for export permits, and assess whether this indicates the need to amend the Resolution; and
- e) report at each regular meeting of the Standing Committee until CoP16 and at CoP16.

Species trade and conservation

Fauna

Saiga antelope

Directed to the range States of the Saiga antelope (Kazakhstan, Mongolia, the Russian Federation, Turkmenistan, Uzbekistan) and to China as a former range State

14.91 All range States of *Saiga tatarica* should fully implement the measures directed to them that are contained in the *Medium-Term International Work Programme for the saiga antelope (2007-2011)*, developed in support of the Memorandum of Understanding (MoU) concerning 'Conservation, Restoration and Sustainable Use of the Saiga Antelope (*Saiga tatarica tatarica*)' and its *Saiga Action Plan*.

14.93 All range States of *Saiga tatarica* should provide information on the measures and activities (Rev. they undertook to implement the *Medium-Term International Work Programme for the saiga antelope (2007-2011)* in their biennial reports for the period 2009-2010.

Directed to States that are important consumer and trading countries of saiga parts and derivatives

14.94 Important consumer and trading States of saiga parts and derivatives are encouraged to (Rev. collaborate in managing and controlling trade in saiga antelope, and should take into CoP15) consideration the recommendations formulated in *Trade in saiga antelope horns and other parts: an overview of recent global trade trends and conservation aspects with a focus on market demand in Southeast Asia* (Annex 6 to document CoP14 Doc. 56), particularly those relating to:

- a) the development of coherent policies and procedures for the disposal of confiscated saiga parts and derivatives;
- b) the registration and marking of saiga parts and derivatives that are kept in government or private ownership, regular monitoring of such stockpiles, and the adoption of a labelling system for products containing saiga specimens; and
- c) the reduction of the overall consumption of saiga parts and derivatives in close cooperation with medicine manufacturers and communities using traditional Asian medicine, e.g. by restricting the varieties of patent medicines that are allowed to contain saiga horn, researching and promoting appropriate substitutes for the saiga horn, and confining the prescription of medicines containing saiga horn to the most essential treatments.

14.95 Important consumer and trading States of saiga parts and derivatives should provide (Rev. information on their implementation of Decision 14.94 (Rev. CoP15) in their biennial reports CoP15) for the period 2009-2010.

Directed to Parties and others

14.96 Donor Parties, aid agencies, businesses using and producing saiga products, and intergovernmental and non-governmental organizations are urged to assist all range States and consumer countries in any way possible with the conservation of the saiga antelope, particularly by focusing funding, resources and expertise on the measures specified in the *Medium-Term International Work Programme for the saiga antelope (2007-2011)*, developed in support of the Memorandum of Understanding concerning 'Conservation, Restoration and Sustainable Use of the Saiga Antelope (*Saiga tatarica tatarica*)' and its *Saiga Action Plan*.

Directed to the Secretariat

14.97 The Secretariat shall:

- (Rev. CoP15) a) cooperate with the Secretariat of the Convention on the Conservation of Migratory Species of Wild Animals on issues pertaining to the saiga antelope, including:

- i) the implementation of the Medium-Term International Work Programme for the Saiga antelope (2007-2011);
 - ii) the organization of the second meeting of the signatories to the Memorandum of Understanding concerning 'Conservation, Restoration and Sustainable Use of the Saiga Antelope (*Saiga tatarica tatarica*)', scheduled to take place in 2010;
 - iii) facilitating collaboration between the range States of the saiga antelope and major consumer Parties; and
 - iv) securing support for implementing the Medium-Term International Work Programme *inter alia* by exploring possibilities to establish an enabling mechanism to use funds from the traditional Asian medicine industry for *in situ* conservation of the saiga antelope; and
- b) report on the implementation of Decisions 14.91 and 14.93 (Rev. CoP15) to 14.97 (Rev. CoP15)², including a written summary of the information contained in biennial reports from relevant Parties, for consideration at the 16th meeting of the Conference of the Parties, and recommend appropriate actions.

Conservation of and trade in tigers and other Appendix-I Asian big cat species

Directed to Parties

- 14.66 All Parties, especially those evaluating their domestic tiger trade control policies, shall take (Rev. into consideration the view of the Parties as expressed in Resolution Conf. 12.5 CoP15) (Rev. CoP15).
- 14.68 Parties are strongly encouraged to develop or improve implementation of regional enforcement networks.
- 14.69 Parties with intensive operations breeding tigers on a commercial scale shall implement measures to restrict the captive population to a level supportive only to conserving wild tigers; tigers should not be bred for trade in their parts and derivatives.

Directed to the Standing Committee

- 15.70 The Standing Committee shall review and update the form and guidance contained in Annexes 1 to 3 in Resolution Conf. 12.5 (Rev. CoP15) and report on this matter at the 16th meeting of the Conference of the Parties.

Great whales

Directed to the Animals Committee

- 14.81 No periodic review of any great whale, including the fin whale, should occur while the moratorium by the International Whaling Commission is in place.

Conservation of and trade in African and Asian rhinoceroses

Directed to the Secretariat

- 15.71 The Secretariat shall:
- a) examine the implementation of Resolution Conf. 9.14 (Rev. CoP15) in those range States where illegal killing of rhinoceros poses a significant threat to populations of rhinoceros, particularly Zimbabwe and South Africa;
 - b) examine progress with regards to curtailing illegal trade in rhinoceros parts and derivatives by implicated States, particularly Viet Nam; and
 - c) report on the implementation of Resolution Conf. 9.14 (Rev CoP15) at the 61st, 62nd and 63rd meetings of the Standing Committee.

² Corrected by the Secretariat: the text adopted at the 15th meeting of the Conference of the Parties in document CoP15 Com. I. 3 indicated "Decisions 14.91 to 14.97 (Rev. CoP15)". However, Decision 14.92 was deleted at that same meeting.

15.72 The Secretariat shall:

- a) facilitate, as a matter of urgency, and with others partners as appropriate, bilateral exchanges between key rhinoceros range States and rhinoceros horn consumer States, to improve wildlife enforcement cooperation efforts;
- b) report at the 61st and 62nd meetings of the Standing Committee (SC61 and SC62) on these efforts;
- c) seek funds to convene a joint CITES Ivory and Rhinoceros Enforcement Task Force. Besides the Secretariat, members should include the ASEAN Wildlife Enforcement Network Programme Coordination Unit, INTERPOL, the Lusaka Agreement Task Force, the United Nations Office on Drugs and Crime, the World Customs Organization and those Parties in Africa and Asia that are currently most affected by the smuggling of ivory and rhinoceros specimens. Priority should be given to including the following Parties: Cameroon, China, Ethiopia, Kenya, the Lao People's Democratic Republic, Mozambique, Nepal, the Philippines, South Africa, Thailand, the United Arab Emirates, the United Republic of Tanzania, Viet Nam and Zimbabwe. The Task Force should undertake an exchange of intelligence regarding smuggling of ivory and rhinoceros specimens and develop strategies for combating illegal trade; and
- d) report on the work of the Task Force at SC61.

Directed to the Standing Committee

15.73 At its 61st and 62nd meetings, the Standing Committee shall consider the reports of the Secretariat requested under Decision 15.72 and determine what further actions, if any, are necessary.

Elephant conservation

13.26 The Conference of the Parties adopted the *Action plan for the control of trade in elephant* (Rev. ivory attached as Annex 2 to these Decisions.
CoP15)

Directed to Parties, intergovernmental organizations and non-governmental organizations

14.76 Parties, trading countries, the ivory carving industry, intergovernmental organizations, non-governmental organizations and other donors are called upon to contribute significantly to the African Elephant Fund for the implementation of the *African elephant action plan* and the programme for Monitoring the Illegal Killing of Elephants (MIKE) to ensure their establishment and maintenance.

Directed to the Standing Committee

14.77 The Standing Committee, assisted by the Secretariat, shall propose for approval at the latest at the 16th meeting of the Conference of the Parties a decision-making mechanism for a process of trade in ivory under the auspices of the Conference of the Parties.

Directed to the Secretariat

14.78 In preparation for the 61st and 62nd meetings of the Standing Committee, pending the necessary external funding, the Secretariat shall:
CoP15)

- a) produce an updated analysis of MIKE data, pending the availability of adequate new MIKE data;
- b) invite TRAFFIC to submit an updated analysis of ETIS data and UNEP-WCMC to provide an overview of the latest elephant trade data;
- c) invite the IUCN/SSC African and Asian Elephant Specialist Groups to submit any new and relevant information on the conservation status of elephants, and on pertinent conservation actions and management strategies; and
- d) invite the African elephant range States to provide information on progress made in the implementation of the *African elephant action plan*.

On the basis of the information specified above, the Secretariat shall recommend actions for consideration by the Standing Committee.

14.79 The Secretariat shall establish an African Elephant Fund that will be applied to the implementation of the *African elephant action plan*.
(Rev. CoP15)

The Secretariat shall establish a steering committee consisting of representatives of the range States and donors to govern the African Elephant Fund and to support and advise African elephant range States on the implementation of the Action Plan.

The Steering Committee shall decide on the organization of the administration of the fund.

Trade in elephant specimens

Directed to the Standing Committee

15.74 The Standing Committee shall, in consultation with African and Asian elephant range States and the Secretariat, evaluate the need to revise Resolution Conf. 10.10 (Rev. CoP15) and present a summary of the consultations and its proposals in this regard at the 16th meeting of the Conference of the Parties.

Grey parrot

Directed to the range States of *Psittacus erithacus*

14.82 The range States of *Psittacus erithacus* should participate in the development and implementation of regional management plans for the conservation of and trade in *P. erithacus erithacus* and *P. erithacus timneh*.

Directed to the Secretariat

14.83 Subject to external funding, the Secretariat shall develop regional management plans for the conservation of and trade in *P. erithacus erithacus* and *P. erithacus timneh*, in collaboration with the range States, relevant experts, non-governmental organizations and other stakeholders. Issues to be addressed in these plans should include:

- a) development of biologically sustainable national export quotas in cooperation with neighbouring range States;
- b) development of standards for making non-detriment findings;
- c) providing details of the control measures in place or to be implemented to verify the origin of the specimens;
- d) cooperation on studies on the population status and demography of the species and the status of its habitat;
- e) cooperation on long-term monitoring efforts;
- f) actions to implement law enforcement programmes to combat poaching and illegal trade (both national and international) and report on the results of the programmes in terms of enforcement efforts, seizures and prosecutions;
- g) agreements on reliable methodologies for assessing population status and population monitoring;
- h) investigations of the possibility of establishing *in situ* captive-breeding facilities for the species;
- i) encouragement of the participation of all range States, enforcement authorities, importing countries, experts, the NGO community and the private sector in implementing these plans; and
- j) considering expanding the management plans to include other psittacine species in the region.

- 14.84 The Secretariat shall seek funding for and organize workshops in West and Central Africa to assist range States in the development and implementation of regional management plans for the conservation of and trade in *P. erithacus erithacus* and *P. erithacus timneh*.
- 14.85 The Secretariat should identify cases where there has been a history of exports in excess of quotas and, as long as necessary, verify export permits issued to ensure that quotas are not exceeded.

Snake trade and conservation management

Directed to the Secretariat

- 15.75 The Secretariat shall, subject to available funds, convene a technical workshop to consider the conservation priorities and management and enforcement needs related to the trade of snakes in Asia, with a particular focus on the markets and commercial trade in East, South, and Southeast Asia.
- a) The Secretariat shall invite the members of the Animals and Standing Committees, representatives from range, exporting and consumer states, and relevant intergovernmental and non-governmental organizations to participate in this workshop, to be held within 12 months of the conclusion of the 15th meeting of the Conference of the Parties.
- b) The Secretariat shall contract with appropriate technical experts to prepare documents on Asian snake conservation, trade management, enforcement, and biology for the workshop and shall invite workshop participants to submit the same.
- c) The findings and recommendations of this workshop should be reported by the Secretariat to the Animals and Standing Committees.

Directed to the Animals Committee

- 15.76 The Animals Committee shall consider the results of this workshop and provide their recommendations to the Standing Committee for its consideration.

Directed to the Standing Committee

- 15.77 The Standing Committee shall consider the recommendations by the Animals Committee and make its own recommendations at the 16th meeting of the Conference of the Parties.

Directed to Parties, non-governmental organizations, commercial traders, and donors

- 15.78 Parties and non-Parties, intergovernmental organizations, non-governmental organizations, commercial traders, and donors are encouraged to provide funding to the Secretariat for this technical workshop.

Tortoises and freshwater turtles

Directed to the Animals Committee

- 15.79 The Animals Committee, at its 25th meeting, shall review the final study from the IUCN Species Survival Commission, Tortoise and Freshwater Turtle Specialist Group (IUCN-TFTSG) mentioned in Decision 14.128³ and make recommendations to the Standing Committee and/or at the 16th meeting of the Conference of the Parties as appropriate.

Directed to the Standing Committee

- 15.80 The Standing Committee, taking into account the recommendations of the Animals Committee, shall review the pertinent sections, conclusions, and recommendations of the final study mentioned in Decision 14.128³ and make its own recommendations at the 16th meeting of the Conference of the Parties.

³ Note from the Secretariat: Decision 14.128 was deleted at the 15th meeting of the Conference of the Parties. It read as follows: "The Secretariat shall, subject to external funding, contract the Tortoise and Freshwater Turtle Specialist Group of the IUCN Species Survival Commission to undertake a study which would assist in the implementation of Resolution Conf. 11.9 (Rev. CoP13)."

Directed to Parties

- 15.81 The Parties, particularly those engaged in the trade of tortoises and freshwater and terrestrial turtles, are encouraged to review their implementation of Resolution Conf. 11.9 (Rev. CoP13), taking into account the conclusions and recommendations of the IUCN Species Survival Commission, Tortoise and Freshwater Turtle Specialist Group (IUCN-TFTSG) mentioned in Decision 14.128³, in order to determine what national actions may be necessary to strengthen their implementation and enforcement of CITES and their trade management and conservation of these species.
- 15.82 The Parties, particularly those engaged in the trade of tortoises and freshwater and terrestrial turtles, are encouraged, as a matter of priority, to develop national tariff Customs codes, based on the World Customs Organization harmonized system of tariff codes, for monitoring the trade in tortoises and freshwater and terrestrial turtles and for products thereof.
- 15.83 In fulfilment of paragraph m) of Resolution Conf. 11.9 (Rev. CoP13), the Parties are encouraged to consider the conclusions and recommendations contained in the final study mentioned in Decision 14.128³ in preparation of their biennial (or other periodical) reports.

Hawksbill turtle

Directed to the Secretariat

- 15.84 The Secretariat shall collaborate with the Inter-American Convention for the Protection and Conservation of Sea Turtles (IAC), the Convention for the Protection and Development of the Marine Environment of the Wider Caribbean region (Cartagena Convention) and its Protocol Concerning Specially Protected Areas and Wildlife (SPAW Protocol), and range States in the wider Caribbean and the Western Atlantic region to:
- a) finalize the report of the regional workshop, allowing for feedback from participants and their national turtle management agencies, as well as range States of the region; and
 - b) explore opportunities for cooperation, including development of joint proposals to donors, considering the final report of the regional workshop on hawksbill turtles.

Sharks and stingrays

Directed to range States of species in the family Potamotrygonidae (South American freshwater stingrays)

- 15.85 Range States of species in the family Potamotrygonidae are encouraged to:
- a) note the findings and conclusions of the freshwater stingrays workshop (document AC24 Doc. 14.2), and increase their efforts to improve data collection on the scale and impact of the threats facing stingray species and populations from collection for ornamental trade, commercial fisheries for food and habitat damage.
 - b) consider implementing or reinforcing national regulations regarding the management and reporting of capture and international trade of freshwater stingrays for all purposes, including commercial fisheries for food and ornamental trade, and standardizing these measures across the region, for example through existing South American intergovernmental bodies.
 - c) consider the listing of endemic and threatened species of freshwater stingrays (Potamotrygonidae) in CITES Appendix III as needing the cooperation of other Parties in the control of trade.

³ Note from the Secretariat: Decision 14.128 was deleted at the 15th meeting of the Conference of the Parties. It read as follows: "The Secretariat shall, subject to external funding, contract the Tortoise and Freshwater Turtle Specialist Group of the IUCN Species Survival Commission to undertake a study which would assist in the implementation of Resolution Conf. 11.9 (Rev. CoP13)."

Humphead wrasse

Directed to Parties

15.86 All Parties are urged to:

- a) consider adopting appropriate stricter domestic measures under the remit of the legislation of the Party, including limiting the international trade in humphead wrasse to be conducted by air only, to strengthen the control and enforcement of the Appendix-II listing for the species;
- b) improve monitoring of trade in humphead wrasse, especially through inspections of boxes of mixed live reef fish by exporting, re-exporting and importing countries;
- c) exchange law enforcement information of relevance to humphead wrasse with other relevant Parties, and regularly compile and forward to the Secretariat for distribution a comprehensive summary of Convention violations for the species;
- d) increase awareness of the CITES listing for humphead wrasse, including by improving identification capacity among law enforcement officers through the use of training and educational materials;
- e) facilitate discussion on practicable and acceptable options for action to be taken in case of illegally imported/confiscated live fish; and
- f) provide information to the Secretariat on actions taken to implement this Decision, in order to inform the work of the Humphead Wrasse Working Group to be established by the Standing Committee pursuant to Decision 15.87.

Directed to the Standing Committee

15.87 The Standing Committee shall, contingent on the availability of external funding, establish a Humphead Wrasse Working Group, which is to:

- a) review the actions taken by relevant Parties to implement this decision;
- b) develop and recommend to the Standing Committee further options for improving control and enforcement in relation to the international trade in humphead wrasse, and ensuring the effectiveness of the Appendix-II listing of the species; and
- c) report back and recommend appropriate follow-up actions at the 16th meeting of the Conference of the Parties to support this initiative.

Directed to the Secretariat

15.88 The Secretariat is requested:

- a) to assist Parties in the capacity-building activities outlined in paragraph d) of Decision 15.86; and
- b) where necessary, to provide assistance or advice to Parties to address the handling of confiscated live humphead wrasse.

Sea cucumbers

Directed to the Animals Committee

14.100 The Animals Committee shall evaluate the outcomes of the FAO Workshop on Sustainable (Rev. Use and Management of Sea Cucumber Fisheries, conducted in 2007, and recommend CoP15) appropriate follow-up actions at the 16th meeting of the Conference of the Parties to support this initiative.

Bushmeat

Directed to the Central Africa Bushmeat Working Group

- 14.73 The Central Africa Bushmeat Working Group is encouraged to collaborate with the Convention on Biological Diversity and the Food and Agriculture Organization of the United Nations in its work and is invited to draw to the attention of the Standing Committee and/or the Conference of the Parties to any matters relating to the implementation of Resolution Conf. 13.11.
- 14.74 The Central Africa Bushmeat Working Group is encouraged to continue its work, also by (Rev. CoP15) collaborating with the Convention on Biological Diversity Liaison Group on non-timber forest resources, and to report to the Standing Committee on progress made in implementing national action plans relating to the trade in bushmeat and other initiatives it takes regarding this subject. A report on the subject of bushmeat should be submitted at the 16th meeting of the Conference of the Parties.

Flora

Assessment of trade in epiphytic cacti and review of listing of Cactaceae spp. in Appendix II

Directed to the Plants Committee

- 15.89 The Plants Committee shall assess trade in epiphytic cacti, considering the information of document CoP15 Doc. 55 and focusing especially on the genera *Disocactus*, *Epiphyllum*, *Hatiora*, *Lepismium*, *Pseudorhipsalis*, *Rhipsalis* and *Schlumbergera*. The Plants Committee shall consult with range States and if appropriate, encourage and support range States to submit proposals to exempt certain taxa of epiphytic cacti from Appendix II for consideration of the 16th meeting of the Conference of the Parties. If there are many range States for a certain taxon, rendering it difficult to allocate responsibilities, or if range States do not take action, the Plants Committee shall prepare such proposals.

Euphorbia spp.

Directed to the Plants Committee

- 14.131 The Plants Committee shall:
- (Rev. CoP15)
- a) analyse trade data and conservation status of succulent *Euphorbia* species (except those species currently included in Appendix I);
 - b) prepare a revised list of succulent *Euphorbia* species that meet the criteria of Resolution Conf. 9.24 (Rev. CoP15) for inclusion in Appendix II;
 - c) prepare proposals for consideration at the 16th meeting of the Conference of the Parties that provide for the deletion of *Euphorbia* species from Appendix II that do not meet the criteria of Resolution Conf. 9.24 (Rev. CoP15), are frequently traded and can be clearly identified by non-specialists; and
 - d) determine the need for identification material for species retained in Appendix II.

Aniba rosaeodora

Directed to the range States and the Parties

- 15.90 Trading range States and importing Parties working with the Plants Committee, should:
- a) identify the best methods or potential methods for the identification of essential oil and, if required, wood;
 - b) produce identification material and guidance;
 - c) identify appropriate annotations to complement the proposed identification methods;

- d) explore whether additional species need to be listed to support effective identification and regulation of wood and oil;
- e) explore mechanisms for making non-detriment findings for this species; and
- f) report on their work at the 16th meeting of the Conference of the Parties (CoP16) and, if necessary, prepare additional amendment proposals for CoP16.

Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species

Directed to the Plants Committee

- 15.91 The name of the Bigleaf Mahogany Working Group shall be changed to "Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species". Its terms of reference and membership are included in Annex 3 to these Decisions.
- 15.92 The Plants Committee shall explore mechanisms to support the implementation of Decision 14.146 (Rev. CoP15) and the related Annex, within the framework of cooperation between CITES and the International Tropical Timber Organization, and shall report on progress at the 16th meeting of the Conference of the Parties (CoP16).

Directed to the Secretariat

- 15.93 a) The CITES Secretariat, within the framework of its cooperation with the International Tropical Timber Organization and other entities, shall seek funding from interested Parties, intergovernmental organizations, exporters, importers and other interested donors to support the implementation of Decision 14.146 (Rev. CoP15) and, if necessary, to ensure that the Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species may work effectively.
- b) The CITES Secretariat shall continue its joint work with the ITTO Secretariat and shall report on progress at the 16th meeting of the Conference of the Parties.

Cedrela odorata, Dalbergia retusa, Dalbergia granadillo and Dalbergia stevensonii

14.146 The Conference of the Parties adopted the Action Plan attached as Annex 4 to these (Rev. Decisions, to complete knowledge on the status of conservation of, trade in and sustainable use of *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii*.

Agarwood-producing taxa

Directed to Parties involved in agarwood trade and to the Secretariat

- 14.137 Parties involved in trade in agarwood should, in consultation with the Secretariat, identify funds and produce identification materials for all forms of traded products under CITES control.
- 14.138 Parties concerned should identify and agree on which agarwood products and quantities (Rev. CoP15) should be exempted from CITES controls. Once agreed, Parties concerned should agree which range State will prepare and submit a proposal for amendment of the current annotation for agarwood-producing species to be considered at the 16th meeting of the Conference of the Parties.
- 14.140 Parties involved in agarwood trade shall prepare a glossary with definitions that illustrate the content of the amended annotations, the terms used and their practical application during enforcement and border controls. The Secretariat should facilitate the preparation and production of these materials, and strategies for incorporating them in training material.

Directed to Parties and the Secretariat

- 14.141 Parties and the CITES Secretariat will work with intergovernmental and non-governmental organizations to seek ways to share information through the establishment of networks, organization of regional workshops, capacity-building programmes, exchange of experiences and identification of financial resources.

Directed to the Plants Committee

15.94 The Plants Committee shall consider current definitions of artificially propagated plants and how they apply to trees in mixed species plantations and report at the 16th meeting of the Conference of the Parties.

Directed to the Secretariat

14.144 The Secretariat shall assist in obtaining funding from Parties, intergovernmental and non-governmental organizations, exporters, importers and other stakeholders to support a (Rev. CoP15) workshop aimed at strengthening the capacity of Parties to implement agarwood-related Decisions before the 16th meeting of the Conference of the Parties.

15.95 Subject to external funding, the Secretariat shall, in cooperation with the agarwood range States and the Plants Committee, organize a workshop to discuss management of wild and plantation-source agarwood.

Bulnesia sarmientoi

Directed to trading range States and importing Parties

- 15.96 Trading range States and importing Parties, working with the Plants Committee, should:
- a) identify the best methods for the identification of essential oil and wood;
 - b) produce identification materials and guidance;
 - c) develop appropriate annotations for identification methods;
 - d) explore whether additional species need to be listed for identification and regulation of wood and oil; and
 - e) report on progress at the 16th meeting of the Conference of the Parties and, if necessary, prepare additional proposals for that meeting.

Madagascar

Directed to Madagascar and to the Plants Committee

- 15.97 The Plants Committee and Madagascar shall:
- a) review and gather further information on the succulent taxa proposed for listing at the 15th meeting of the Conference of the Parties but not adopted;
 - b) review and gather further information on species (including tree species) which would benefit from CITES listing;
 - c) identify mechanisms to help build capacity to carry out non-detriment findings for currently listed species; and
 - d) report on their work at the 16th meeting of the Conference of the Parties and, if necessary, prepare proposals to amend the Appendices for submission at that meeting.

Directed to the Secretariat

15.98 The Secretariat will work with the Plants Committee to seek any additional funds required to carry out this work.

Annex 1

Terms of reference for an evaluation of the Review of Significant Trade⁴

Objectives

1. The objectives of the evaluation of the Review of Significant Trade are to:
 - a) evaluate the contribution of the Review of Significant Trade to the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a);
 - b) assess the impact over time of the actions taken in the context of the Review of Significant Trade on the trade and conservation status of species selected for review and subject to recommendations, taking into consideration the possible effects of these measures on other CITES-listed species;
 - c) formulate recommendations in view of the results and findings of the evaluation and the impact assessment; and
 - d) prepare a document on the evaluation of the Review of Significant Trade and the resulting conclusions and recommendations for consideration at the first appropriate meeting of the Conference of the Parties.

Process

2. The evaluation will commence immediately after the 14th meeting of the Conference of the Parties, contingent on the availability of sufficient funds to ensure its completion.
3. The Animals and Plants Committees will oversee the evaluation, which will be administered by the Secretariat. Consultants may be engaged to assist it in this regard.
4. A working group composed of members of the Animals and Plants Committees, Parties, the Secretariat and invited experts will be responsible for advising on the evaluation process, reviewing the findings of associated research and developing recommendations for wider consideration by the Parties.
5. The Secretariat will regularly report on the progress of the evaluation at meetings of the Animals and Plants Committees.
6. A final report, which may include proposed amendments to existing Resolutions or Decisions, or other recommendations, and which will incorporate the comments of the Animals and Plants Committees and of range States addressed in the report, will be submitted by the Chairmen of the Animals and Plants Committees for consideration at a future meeting of the Conference of the Parties. The Chairman of the Animals or Plants Committee may submit an interim report to the Standing Committee when appropriate and considered useful.

Content of the evaluation

7. The evaluation of the Review of Significant Trade should include the following activities:
 - a) assess:
 - i) the process used to select species for review (including the reliance on numerical data), and the species selected as a result;
 - ii) the process and means used to compile and review information concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), for the selected species (including communications with the range States), and the subsequent use of this information by the Animals and Plants Committees for the categorization of species and the issuance of recommendations;

⁴ Note from the Secretariat: this Annex was adopted at the 14th meeting of the Conference of the Parties.

- iii) the types and frequency of recommendations made;
 - iv) the nature and rate of responses to recommendations, and problems identified;
 - v) the use of the recommendations by range States as guidance for managing target species and other CITES-listed species with similar characteristics;
 - vi) the nature and scale of the support provided to range States for implementing the recommendations, including field projects, financial aid and assistance in building local capacities;
 - vii) the ongoing process to monitor and review the implementation of recommendations, having regard to differing points of view as to where this responsibility should lie; and
 - viii) the impacts of the process on other aspects of CITES implementation, including how problems identified in the course of the review but not directly related to the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), were addressed;
- b) conduct case studies of a representative range of species and countries subject to recommendations to assess subsequent short- and long-term changes, and whether these could be attributed to the process, in:
- i) conservation status of the target taxa in the range States;
 - ii) trade volumes and patterns of the target taxa, considering trade involving the range States subject to recommendations, other range States and non-range States;
 - iii) production or management strategies for the target taxa;
 - iv) market developments of conservation relevance (such as shifts in supply or demand);
 - v) costs and benefits associated with the management of and trade in the target taxa (such as the effects of trade suspensions and export quotas, shift in trade to non-CITES species or increased illegal trade);
 - vi) protection status of the target taxa within range States, and regulatory measures outside range States;
 - vii) trade patterns, conservation status and management for other CITES-listed species that might be suitable 'substitutes' for the target taxa; and
 - viii) changes in conservation policies in range States; and
- c) analyse the information to assess the effectiveness, costs and benefits⁵ of the Review of Significant Trade as implemented so far, by reference to the cost of the process and the time it takes, and identify means to improve the contribution it makes to the objectives of the Convention by reducing the threats to wild species.

⁵ *The phrase 'effectiveness, costs and benefits' is intended to address issues such as whether or not the funds spent on the process give value for money comparable to that for other CITES activities, and whether the time-scale envisaged in the process is too long for species that are in rapid decline.*

Annex 2

Action plan for the control of trade in elephant ivory⁶

1. All elephant range States⁷, and other Parties and non-Parties with an ivory carving industry or internal trade in ivory that is unregulated, should urgently:
 - a) prohibit the unregulated domestic sale of ivory (raw, semi-worked or worked). Legislation should include a provision which places the onus of proof of lawful possession upon any person found in possession of ivory in circumstances from which it can reasonably be inferred that such possession was for the purpose of unauthorized transfer, sale, offer for sale, exchange or export or any person transporting ivory for such purposes. Where regulated domestic trade is permitted, it should comply with the provisions of Resolution Conf. 10.10 (Rev. CoP15) (*Trade in elephant specimens*);
 - b) issue instructions to all law enforcement and border control agencies to enforce existing or new legislation rigorously; and
 - c) engage in public awareness campaigns publicizing existing or new prohibitions on ivory sales.
2. All elephant range States are recommended to cooperate with relevant research projects studying the identification of ivory, especially by supplying relevant samples for DNA and other forensic science profiling.
3. The Secretariat should seek the assistance of governments, international organizations and non-governmental organizations in supporting the work to eradicate illegal exports of ivory from the African continent and the unregulated domestic markets that contribute to illicit trade. The Secretariat shall also, if requested, work with the relevant countries in Africa and Asia to provide technical assistance for the implementation of this action plan. It shall provide similar assistance to any other Parties that have an ivory carving industry or internal trade in ivory. The Secretariat shall also continue its work, in conjunction with national, regional and international law enforcement organizations and networks (such as the ASEAN Wildlife Enforcement Network, ICPO-Interpol, Lusaka Agreement Task Force and the World Customs Organization) to assist in combating illicit trade in ivory.
4. From 1 January 2008, the Secretariat shall undertake work to assess progress made with the implementation of the action plan. Where appropriate, this shall include *in situ* verification missions. Priority should be given to assessment of States that are identified during research by the Secretariat and through other appropriate sources of information to have active and unregulated internal markets for ivory or to be significantly affected by illicit trade in ivory. Particular priority should be given to Cameroon, the Democratic Republic of the Congo, Nigeria, Thailand and any other country identified through ETIS as being significantly affected by illicit trade.
5. In cases where relevant Parties or non-Parties are found not to implement this action plan, or where significant quantities of ivory are found to be illegally sold, the Secretariat shall, following consultation with the Standing Committee, issue a Notification to the Parties advising that the Conference of the Parties recommends that Parties not authorize commercial trade in specimens of CITES-listed species with the State in question.
6. The Secretariat shall report upon the implementation of the action plan at each regular meeting of the Standing Committee.

⁶ Note from the Secretariat: this Annex was originally adopted at the 14th meeting of the Conference of the Parties (CoP14) and amended at CoP15.

⁷ Except any Party for which an annotation in the Appendices authorizes trade in worked ivory.

Annex 3

Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species⁸

1. Terms of reference of the Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species:
 - a) The group shall work under the auspices of the Plants Committee;
 - b) The group shall act as a hub to circulate and exchange experiences on the sustainable use and management of these species;
 - c) The group shall contribute to the strengthening of capacities in range States;
 - d) The group shall facilitate a complete and effective implementation of the Review of Significant Trade in the bigleaf mahogany in the range States concerned by this process;
 - e) The group shall draft up reports on progress made in the management and conservation of and trade in the bigleaf mahogany, as well as on lessons learnt, for submission at the 19th and 20th meetings of the Plants Committee, which shall agree on how to submit them at the 16th meeting of the Conference of the Parties (CoP16);
 - f) The group shall include, as one of its activities, an analysis of the information received from range States and from Parties on species included in Decision 14.146 (Rev. CoP15) and the present Annex;
 - g) The group shall facilitate and promote the exchange knowledge and experiences gained as a result of the inclusion of *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii* in Appendix III; and
 - h) The chair of the working group shall produce written reports for the Plants Committee on the tasks specified in the paragraphs above for consideration at its 19th and 20th meetings, and shall submit them to the Secretariat 60 days before those meetings are held.
2. Membership:
 - a) All range States;
 - b) The main importers of mahogany: the Dominican Republic, the United States of America and the European Union (Spain and the United Kingdom of Great Britain and Northern Ireland);
 - c) The two regional representatives of Central and South America and the Caribbean on the Plants Committee;
 - d) Intergovernmental organizations: the European Union, the International Union for Conservation of Nature (IUCN), the International Tropical Timber Organization (ITTO), the Amazon Cooperation Treaty Organization (ACTO) and the *Comisión Centroamericana de Ambiente y Desarrollo* (CCAD, Central American Commission on the Environment and Development);
 - e) Additionally, the Plants Committee shall select:
 - i) Two experts from two scientific institutions with relevant experience in forestry and management of neotropical timber species;
 - ii) Two experts from non-governmental organizations with experience in the forest management of these species in the region; and
 - iii) Three representatives from organizations of exporters from the three main exporting Parties; and

⁸ Note from the Secretariat: this Annex was originally adopted at the 14th meeting of the Conference of the Parties (CoP14) and amended at CoP15.

- f) The chairmanship and vice-chairmanship of the working group shall be undertaken by people from the range States who will be selected by the Plants Committee on the basis of their curricula vitae within a period of one month after the entry into force of the Decision. Should there be no candidate or should they resign from their functions during the intersessional period between CoP15 and CoP16, the regional representatives of Central and South America and the Caribbean shall act as interim chair or vice-chair of the group, as relevant.

Annex 4

Action plan for *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii*⁹

1. The range States of *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii* shall:
 - a) complete and update the available information on the species mentioned in this Decision;
 - b) assess the populations of the species mentioned in this Decision, taking into account *inter alia* the distribution, cover, density, size structure, regeneration dynamics and changes in land use in keeping with available budgets in the range States;
 - c) report the existence, extent and type of forest plantations of the species mentioned in this Decision;
 - d) compile the information related to export of the species mentioned in this Decision, including volumes and products, indicating the percentage from plantations;
 - e) report to the Secretariat progress in the compilation of the information outlined in paragraphs a), b), c) and d) above, 60 days before the 19th and 20th meetings of the Plants Committee (PC19 and PC20), so that the Secretariat may present a report to the Committee and the Committee may adopt the necessary measures;
 - f) consider the inclusion of their populations of *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii* in Appendix III, with the adequate annotation, and ensure the implementation and enforcement of CITES with regard to those species in that Appendix;
 - g) consider the production of identification material for those species and similar species, collaborating with relevant expert organizations; and
 - h) consider providing technical and financial support to the Secretariats of CITES and the International Tropical Timber Organization (ITTO), in the framework of Resolution Conf. 14.4 on *Cooperation between CITES and ITTO regarding trade in tropical timber*.
2. Parties, with regard to *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii*, shall:
 - a) compile the information on the import and re-export of the species mentioned in this Decision, including origin (wild or cultivated), volumes and products, indicating the country of origin and final destination;
 - b) report the existence, extent and type of forest plantations of the species mentioned in this Decision, including exported volumes and products;
 - c) report to the Secretariat on the compilation of the information outlined in paragraphs a) and b) above, 60 days before PC19 and PC20, so that the Secretariat may present a report to the Committee and the Committee may adopt the necessary measures; and
 - d) continue, support and strengthen the cooperation between CITES and ITTO in the framework of Resolution Conf. 14.4.
3. The Plants Committee shall:
 - a) establish the relevant methodology and necessary formats for the presentation of the information requested for the implementation of this Decision;
 - b) request the Working Group on the Bigleaf Mahogany and Other Neotropical Timber Species to incorporate, as a part of its activities and in the context of the present Decision, an

⁹ Note from the Secretariat: this Annex was originally adopted at the 14th meeting of the Conference of the Parties (CoP14) and amended at CoP15.

analysis of information received on the species concerned, and to facilitate communication and the exchange of information between range States, including knowledge and experience gained as a result of the Appendix-III listing of *Cedrela odorata*;

- c) receive, analyse and follow up the Action Plan at its 19th and 20th meetings; and
 - d) propose the relevant recommendations, such as an Appendix-II listing, for *Cedrela odorata*, *Dalbergia retusa*, *Dalbergia granadillo* and *Dalbergia stevensonii* prior to the 16th meeting of the Conference of the Parties.
4. The Secretariat shall:
- a) seek external funding from interested parties, intergovernmental and non-governmental organizations, exporters, importers and other entities directly interested in supporting this Decision;
 - b) inform Parties of the management of funds that have been raised, of technical assistance and of how they may accede to these resources;
 - c) request technical and financial support from ITTO in the framework of Resolution Conf. 14.4 on *Cooperation between CITES and ITTO regarding trade in tropical timber*; and
 - d) promote and assist capacity building in the range States through workshops, trainings and other activities considered relevant in the period between the 15th and 16th meetings of the Conference of the Parties.