



Elephants and ivory trade questionnaire

This questionnaire has been prepared to gather information that can be used by the CITES Secretariat and Parties to help implement the *Action plan for the control of trade in elephant ivory* that was amended and adopted at the 14th meeting of the Conference of the Parties (The Hague, 2007) and which is referred to in Decision 13.26 (Rev. CoP14).

The questionnaire seeks to obtain comprehensive information relating to elephants and the trade in ivory. There are questions relevant to elephant range States but also to countries with domestic trade in ivory and those that may have seized ivory being transported or traded illegally. The questionnaire is lengthy but the majority of questions only require a YES or NO answer.

When completing the questionnaire, please cross out whichever answer is not applicable or enter the information asked for in ink and in block capitals. If you are unable to provide information for the year referred to in a question, please enter the most recent data available and indicate to which year it refers.

Name of country submitting information

Public awareness

1. Has your government undertaken any public awareness campaigns or events to publicize existing or new prohibitions on ivory sales since 2004? YES/NO

If yes, please describe what has been done

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2. Has your country established a nationwide procedure, particularly in retail outlets, informing tourists and other non-nationals that they should not purchase ivory in cases where it is illegal for them to import it into their home countries? YES/NO

If yes, please describe what has been done

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Ivory trade regulation

3. Does your country prohibit the import of ivory in all forms, **without exception?** YES/NO

If you answered YES to this question, please move to question 9

4. Does your country authorize the import of ivory for non-commercial purposes of the following specimens?
 - a) hunting trophies YES/NO
 - b) tourist souvenirs made from ivory YES/NO
 - c) personal effects made from ivory YES/NO
 - d) other ivory products (describe) YES/NO
5. If yes, does your country require the presentation of an export permit or re-export certificate? YES/NO
6. If imports are authorized, does your country always issue an import permit? YES/NO
7. Does your country authorize, for non-commercial purposes, the import of ivory accompanied by a pre-Convention certificate? YES/NO
8. Does your country authorize, for commercial purposes, the import of ivory accompanied by a pre-Convention certificate? YES/NO
9. Does your country prohibit the export or re-export of ivory in all forms, **without exception**? YES/NO

If you answered YES to this question, please move to question 14

10. If no, does your country authorize export or re-export of the following specimens?
 - a) hunting trophies YES/NO
 - b) tourist souvenirs made from ivory YES/NO
 - c) personal effects made from ivory YES/NO
 - d) other ivory products (describe) YES/NO
11. If yes, does your country always issue an export permit or re-export certificate? YES/NO
12. Does your country issue pre-Convention certificates to authorize non-commercial export or re-export of ivory? YES/NO
13. Does your country issue pre-Convention certificates to authorize commercial export or re-export of ivory? YES/NO
14. Is domestic trade in ivory prohibited in your country, **without exception**? YES/NO

If you answered YES to this question, please move to question 27

15. If no, indicate which of the following are required by law to be registered or licensed:
- a) ivory importers YES/NO
 - b) ivory manufacturers YES/NO
 - c) ivory carvers YES/NO
 - d) ivory wholesalers YES/NO
 - e) ivory retailers YES/NO
 - f) other (please specify)
16. How many of the above traders were registered or licensed in your country in 2006?
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17. Do registered or licensed traders have to keep records of transactions? YES/NO
18. Does your registration and licensing system distinguish between importers, manufacturers, wholesalers and retailers? YES/NO
19. Is there an ivory carving industry in your country? YES/NO
20. If yes, how many ivory carvers were registered in your country in 2006?
21. Can registered or licensed traders purchase ivory from government stocks? YES/NO
22. If yes, how much ivory was sold by the government in 2006?..... kg
23. Can traders legally purchase or otherwise obtain tusks or ivory from:
- a) sport hunted-elephants? YES/NO
 - b) 'domesticated' elephants? YES/NO
 - c) other sources (describe).....
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24. Does legal ivory for sale in retail outlets have to be marked or labelled? YES/NO
25. Do you believe your domestic ivory trade controls satisfy the requirements of Resolution Conf. 10.10 (Rev. CoP14) with respect to the following:
- a) all importers, manufacturers, wholesalers and retailers dealing in raw, semi-worked and worked ivory products are registered or licensed YES/NO
 - b) there are compulsory trade controls over raw ivory YES/NO
 - c) there is a comprehensive and demonstrably effective reporting and enforcement system for worked ivory YES/NO

26. If no, what needs to be done to ensure compliance with Resolution Conf. 10.10 Rev. CoP14)?
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27. Is the private ownership or possession of ivory in your country prohibited, **without exception**? YES/NO

If you answered YES to this question, please move to question 35

28. If no, does ownership or possession require to be registered or licensed? YES/NO

29. If yes, how many individuals were registered or licensed in your country in 2006?

30. Is such registration or licensing restricted to raw ivory or whole tusks? YES/NO

31. Describe any other ivory products that require registration
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32. How many tusks or other ivory products (by number or weight) were registered in your country in 2006? kg

Compliance, enforcement and legislation

33. Do you use the same legislation to control domestic trade in ivory to also control exports, imports and re-exports? YES/NO

34. Do you use this legislation to control trade in other specimens of CITES-listed species? YES/NO

Please give titles of all relevant Acts, Decrees, Regulations, Ordinances, etc. Please also provide copies of any legislation that has not already been copied to the CITES Secretariat.

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35. Has your government issued instructions to all law enforcement and border control agencies to enforce existing or new legislation since 2004? YES/NO

36. How many persons were arrested or prosecuted in your country in 2006 with regard to illegal trade in ivory? (not poaching)

37. What weight of ivory was seized in your country in 2006? kg

38. Do you report all seizures to the ETIS database? (See Notification to the Parties No. 1999/92 of 30 November 1999) YES/NO

Ivory stocks and stock management

39. Name the government authority (or authorities) which is responsible for managing stocks of ivory in your country

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40. Are there currently government stocks of ivory in your country? YES/NO

If you answered NO to this question, please move to question 46

41. If yes, how many kilograms of ivory were in the government stocks in 2006?..... kg

42. How much of the ivory was from the following sources:

- a) legal origin, i.e. natural mortality, problem animal control, etc. kg
- b) illegal origin, i.e. poaching, seized or confiscated kg

43. Is ivory of illegal origin ivory kept separately from ivory of legal origin in your store?..... YES/NO

44. Has all the ivory in government stores been marked in accordance with Resolution Conf. 10.10 (Rev. CoP14)? YES/NO

45. What kind of records are maintained for the management of government ivory stocks in your country?

- a) computerised databases YES/NO
- b) manually kept ledgers..... YES/NO
- c) other means (describe)

46. If your government does not provide for long-term storage of ivory that comes into its possession, how is it used or disposed of?

- a) destruction..... YES/NO
- b) used for training, education or scientific purposes..... YES/NO
- c) other (describe).....

47. How much ivory was disposed of in these ways in 2006? kg

48. Have you ever experienced theft or loss of ivory from government stocks? YES/NO

If yes, provide details on how much ivory was lost or stolen, when this occurred, whether the ivory was recovered and whether anyone was prosecuted

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Elephants

49. Does your country have 'domesticated' elephants? YES/NO

If you answered NO to this question, please move to the final section of the questionnaire – do not answer any of the following questions

50. If yes, what was the estimated number of domesticated elephants in 2006?.....

51. Do domesticated elephants in your country produce offspring that meet the definition of bred in captivity in Resolution Conf. 10.16 (Rev.)?..... YES/NO

52. If yes, what was the estimated number of such offspring in 2006?.....

53. Do domesticated elephants in your country produce offspring that would not meet the definition of bred in captivity in Resolution Conf. 10.16 (Rev.)?..... YES/NO

54. If yes, what was the estimated number of such offspring in 2006?.....

55. Does the ownership of or possession of elephants in your country require to be registered or licensed?..... YES/NO

56. If yes, how many elephants were registered or licensed in 2006?

Name of person completing questionnaire

Name of ministry, department or agency

Office address

Tel. no.....

Fax no.

Email address

Thank you for taking the time to complete this questionnaire. It should be returned to the CITES Secretariat (contact details at the foot of page 1) before 31 December 2007. Please attach a separate sheet if there is any additional information you believe would be useful or if you wish to make any comments. Elephant range States are asked to note that the 14th meeting of the Conference of the Parties (The Hague, 2007) adopted non-compliance measures in relation to elephant range States that fail to submit completed questionnaires.