

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Twenty-second meeting of the Animals Committee
Lima (Peru), 7-13 July 2006

Review of Significant Trade in specimens of Appendix-II species

PROGRESS IN THE IMPLEMENTATION OF THE SPECIES-BASED
REVIEW OF SIGNIFICANT TRADE - OVERVIEW

1. This document has been prepared by the Secretariat.
2. Resolution Conf. 12.8 (Rev. CoP13) on Review of Significant Trade in specimens of Appendix-II species directs the Secretariat, for the purpose of monitoring and facilitating the implementation of that Resolution and the relevant paragraphs of Article IV, 'to report at each meeting of the Animals Committee on the implementation by the range States concerned of the recommendations made by the Committee'. The Secretariat, in consultation with the Chairman of the Animals Committee, has to determine whether these recommendations have been implemented by the range States and report to the Standing Committee, which decides on appropriate action. This report therefore contains information on recent decisions of the Standing Committee on species in the Review of Significant Trade, and gives brief updates on ongoing reviews.

Species selected before 2000

3. The Animals Committee selected *Cacatua sulphurea* and *Ptyas mucosus* for review in 1991. In 1992, it directed recommendations to Indonesia for these two species. These were not implemented by Indonesia within the recommended time-frames, and the Standing Committee recommended in 1993a suspension of import (in 1999, the Standing Committee agreed to exempt 102,289 marked skins of *P. mucosus*, acquired before the trade prohibition entered into force, from its recommendation). At the 53rd meeting of the Standing Committee (SC53; Geneva, June - July 2005), Indonesia provided information on these two species in response to the outstanding recommendations (see documents SC53 Doc. 25 Addendum and SC53 Inf. 3).
4. The Standing Committee decided to withdraw its recommended trade suspension for specimens of *C. sulphurea* from Indonesia because the species had been included in Appendix I at the 13th meeting of the Conference of the Parties (CoP13; Bangkok, 2004) and compliance with Article IV, paragraphs 2 (a), 3 and 6 (a) was no longer relevant for its trade. The Standing Committee, in consultation with the Secretariat and the Chairman of the Animals Committee, reviewed Indonesia's information on its current and planned management of *P. mucosus* and concluded that the trade suspension should be withdrawn. The Standing Committee also noted that Indonesia expressed its intention to establish in 2006 a voluntary export quota for *P. mucosus* of 100,000 skins (Indonesia communicated these quotas in January 2006).

Species selected following the 11th meeting of the Conference of the Parties (CoP11; Gigiri, 2000)

5. Table 1 shows the species that the Animals Committee selected for its Review of Significant Trade following CoP11. Where the table indicates that the Review of Significant Trade is ongoing for a species, the time limits for implementing the recommended actions have not yet elapsed, or compliance with the recommendations has not yet been determined and brought to the attention of the Standing Committee.
6. The Animals Committee has now largely completed its tasks for these species. The main remaining actions need to be undertaken by the Secretariat, in consultation with the Chairman of the Animals Committee, and the Standing Committee.

Table 1: Species selected by the Animals Committee for Review of Significant Trade following CoP11

Taxa	Document with detailed Taxa report	Status of the Review of Significant Trade – action required
Artiodactyla		
<i>Moschus</i> spp. <i>selected pursuant to Decision 11.92</i>	Doc. AC.16.7.4	Ongoing – action by Secretariat (with AC Chairman); SC
Serpentes		
<i>Naja naja</i> spp.	Doc. AC.16.7.3	Completed (ban on imports from Lao People’s Democratic Republic)
Testudines <i>selected pursuant to Decision 11.93</i>		
<i>Cuora amboinensis</i>	AC18 Doc. 7.1	Ongoing – action by Secretariat (with AC Chairman); SC
<i>Cuora flavomarginata</i>	AC18 Doc. 7.1	Completed
<i>Cuora galbinifrons</i>	AC18 Doc. 7.1	Ongoing – action by Secretariat (with AC Chairman); SC
<i>Lissemys punctata</i>	AC18 Doc. 7.1	Ongoing – action by Secretariat (with AC Chairman); SC
<i>Pyxis planicauda</i>	AC18 Doc. 7.1	Completed (transferred to Appendix I)
Acipenseriformes <i>selected pursuant to Decision 11.95</i>		
<i>Acipenser baerii</i>	Doc. AC.16.7.2	Completed
<i>Acipenser fulvescens</i>	Doc. AC.16.7.2	Completed
<i>Acipenser gueldenstaedtii</i>	Doc. AC.16.7.2	Ongoing for Caspian Sea stocks (Paris agreement) – action by Secretariat and SC
<i>Acipenser nudiventris</i>	Doc. AC.16.7.2	Ongoing for Caspian Sea stocks (Paris agreement) – action by Secretariat and SC
<i>Acipenser oxyrinchus</i>	AC18 Doc. 7.1	Completed
<i>Acipenser persicus</i>	AC18 Doc. 7.1	Completed
<i>Acipenser ruthenus</i>	Doc. AC.16.7.2	Completed
<i>Acipenser schrenckii</i>	Doc. AC.16.7.2	Completed
<i>Acipenser stellatus</i>	Doc. AC.16.7.2	Ongoing for Caspian Sea stocks (Paris agreement) – action by Secretariat and SC
<i>Acipenser transmontanus</i>	AC18 Doc. 7.1	Completed
<i>Huso dauricus</i>	Doc. AC.16.7.2	Completed
<i>Huso huso</i>	Doc. AC.16.7.2	Ongoing for Caspian Sea stocks (Paris agreement) – action by Secretariat and SC
<i>Polyodon spathula</i>	Doc. AC.16.7.2	Completed
<i>Scaphirhynchus platorynchus</i>	AC18 Doc. 7.1	Completed
Gastropoda		
<i>Strombus gigas</i>	AC19 Doc. 8.3	Completed (ban on imports from Grenada and Haiti)

7. The Secretariat, in consultation with the Chairman of the Animals Committee, determined in 2005 if the recommendations directed to China at the end of 2002 for ***Moschus* spp** had been met. Its findings and recommendations were presented to the Standing Committee at SC53 (see document SC53 Doc. 25). Three recommendations formulated by the Animals Committee had not been acted upon, and seven others had been implemented only partially. The information showed however that China was committed to improve the conservation of *Moschus* spp. and to increase the control of trade in musk and medicines containing natural musk. China had decided to prohibit the production

of new medicines containing natural musk, initiated a labelling system for patented medicines containing raw musk, and added *Moschus* spp. to the agenda of a regional CITES enforcement seminar. With a minor amendment, the Standing Committee adopted the recommendations made by the Secretariat in relation to *Moschus* spp. in China. China was recommended, by the end of 2005 at the latest, to *inter alia* respond to outstanding questions regarding the conservation status of musk deer, musk stockpiles and controls over musk production and trade. By the end of 2005, China had provided information on the actions that it had undertaken in response to these new recommendations. The Secretariat, in consultation with the Chairman of the Animals Committee, needs to evaluate these measures and report to the Standing Committee accordingly.

8. The Reviews of Significant Trade for two of the five selected species of **Testudines**, namely *Cuora flavomarginata* and *Pyxix planicauda*, have been completed. The Animals Committee drafted recommendations for *Cuora galbinifrons* (in Laos People's Democratic Republic) and *Lissemys punctata* (in Bangladesh) prior to its 20th meeting (AC20; Johannesburg, March - April 2004), and for *Cuora amboinensis* (in Indonesia and Malaysia) at its 21st meeting (AC21; Geneva, May 2005). In the course of 2005 and 2006, Indonesia and Malaysia provided information on the national trade in and conservation status of *Cuora amboinensis* and about their management of this species. The Secretariat, in consultation with the Chairman of the Animals Committee, needs to determine compliance with the recommendations and report to the Standing Committee accordingly.
9. In the case of the **Acipenseriformes**, compliance with recommendations to the relevant range States for sturgeon stocks of the Amur River, the Azov Sea and the Black Sea was discussed at the 46th meeting of the Standing Committee (Geneva, March 2002). The Standing Committee made no further recommendations and the Secretariat believed that the matter was closed. Concerning the 'Paris Agreement' recommendations made to Azerbaijan, Kazakhstan, the Russian Federation and Turkmenistan for Caspian Sea stocks of *Acipenser gueldenstaedtii*, *A. nudiiventris*, *A. stellatus* and *Huso huso*, the Secretariat considered that the requirements of the Agreement had been embodied and strengthened in Resolution Conf 12.7 (Rev. CoP13) on Conservation of and trade in sturgeons and paddlefish. The Paris Agreement seemed to have served its purpose and in the view of the Secretariat could be considered accomplished. The Standing Committee decided however at SC53 that further information should be presented on this subject before it could consider withdrawing its pending recommendation to suspend imports of all specimens of these species in case the Caspian littoral States did not comply with the Paris Agreement [see documents SC53 Doc. 25 and SC Summary Record (Rev. 1)].
10. The Secretariat regularly reported to the Animals and Standing Committees on progress with the implementation of the review of *Strombus gigas*, which had been selected by the Animals Committee at its 17th meeting (AC17; Hanoi, July - August 2001). The Animals Committee concluded that trade was of 'urgent concern' in three range States and of 'possible concern' in a further 13. Recommendations were directed to these 16 countries in August 2003 with time-frames for executing a series of short-term and long-term actions ranging from four weeks to 24 months (i.e. September 2005). The Standing Committee decided to recommend a suspension of imports of specimens of *S. gigas* from those range States for which the Secretariat, in consultation with the Chairman of the Animals Committee, had not been able to verify that they had implemented the recommendations. In September 2003, it was thus determined that Haiti had not taken the required short-term actions in time and consequently, the Standing Committee recommended to all Parties to suspend the import of all specimens of *S. gigas* from Haiti.
11. The Secretariat, in consultation with the Chairman of the Animals Committee, determined after the deadline in 2005 that 14 of the range States concerned had implemented the recommendations and taken adequate measures to ensure compliance with Article IV, paragraphs 2 (a), 3 and 6 (a), for future trade in this species. As provided in paragraph r) of Resolution Conf. 12.8 (Rev. CoP13), the Chairman of the Standing Committee was consulted by the Secretariat about these determinations. He agreed with the Secretariat's proposal to notify the Parties that Antigua and Barbuda, Barbados, Bahamas, Belize, Colombia, Cuba, Dominica, Dominican Republic, Honduras, Nicaragua, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, and Trinidad and Tobago had been removed from the Review of Significant Trade in *S. gigas*. Only Grenada had not responded to the Secretariat, despite reminders and an invitation to attend a technical workshop for the 16 range States on their implementation of recommendations that was held in Santo Domingo, the Dominican

Republic, in December 2005. In accordance with the decision of the Standing Committee taken in September 2003 and mentioned in paragraph 10 above, the Secretariat notified the Parties in May 2006 that the Standing Committee recommended a suspension of imports of specimens of *S. gigas* from Grenada. Further background information to the Review of Significant Trade in *S. gigas* is presented in document AC22 Inf. 4.

Species selected following the 12th meeting of the Conference of the Parties (CoP12; Santiago, 2002)

12. Table 2 shows the species that the Animals Committee selected for review following CoP12. For most of these species, the Animals Committee has to review reports of the Secretariat or consultants, responses from the range States concerned and preliminary categorizations, and formulate recommendations.

Table 2: Species selected by the Animals Committee for the Review of Significant Trade following CoP12

Taxa	Document with detailed Taxa report	Status of the Review of Significant Trade – action required
Falconiformes		
<i>Falco cherrug</i>	AC20 Doc. 8.1	Ongoing – action by Secretariat (with AC Chairman); SC
Psittaciformes		
<i>Poicephalus senegalus</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Psittacus erithacus</i>	AC22 Doc. 10.2	Ongoing – action by AC
Passeriformes		
<i>Gracula religiosa</i>	AC22 Doc. 10.2	Ongoing – action by AC
Testudines		
<i>Callagur borneoensis</i>	AC22 Doc. 10.2	Ongoing – action by AC
Sauria		
<i>Phelsuma comorensis</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Phelsuma dubia</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Phelsuma v-nigra</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Uromastyx acanthinura</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Uromastyx benti</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Uromastyx dispar</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Uromastyx geyri</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Uromastyx oceallata</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Furcifer cephalolepis</i>	AC22 Doc. 10.2	Ongoing – action by AC
Molusca		
<i>Hippopus hippopus</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Tridacna crocea</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Tridacna derasa</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Tridacna gigas</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Tridacna maxima</i>	AC22 Doc. 10.2	Ongoing – action by AC
<i>Tridacna squamosa</i>	AC22 Doc. 10.2	Ongoing – action by AC

13. At its 19th meeting (Geneva, August 2003), the Animals Committee included *Falco cherrug* in the Review of Significant Trade on the basis of paragraph c) of Resolution Conf. 12.8 whereby in exceptional cases where new information indicates an urgent concern, the Committee may add species to its list of taxa to be reviewed. At its two following meetings, the Animals Committee categorized 27 range States where the species was of 'possible concern' and nine where the species was of 'urgent' concern, and formulated recommendations accordingly. These were forwarded in August 2005 to the range States concerned. All range States of 'urgent concern' and most of the others have provided information in response to the proposed measures. The Secretariat, in consultation with the Chairman of the Animals Committee, needs to determine compliance with the recommendations and report to the Standing Committee accordingly.

14. At AC20, the Animals Committee selected the following species for review on the basis of trade data supplied by UNEP-WCMC, pursuant to paragraph a) of Resolution Conf. 12.8: *Poicephalus senegalus*, *Psittacus erithacus*, *Gracula religiosa*, *Callagur borneoensis*, *Phelsuma dubia*, *Phelsuma v-nigra*, *Phelsuma comorensis*, *Uromastyx acanthinura*, *Uromastyx bentii*, *Uromastyx dispar*, *Uromastyx geyri*, *Uromastyx ocellata*, *Furcifer cephalolepis*, *Hippopus hippopus*, *Tridacna crocea*, *Tridacna derasa*, *Tridacna gigas*, *Tridacna maxima* and *Tridacna squamosa*. The actions that the Animals Committee is required to undertake with regard to these species are detailed in document AC22 Doc. 10.2.

Species selected following the 13th meeting of the Conference of the Parties (CoP13; Bangkok, 2004)

15. Table 3 shows the species that the Animals Committee selected for its Review of Significant Trade following CoP13. These are *Monodon monoceros* [populations of Canada and Greenland (Denmark)], *Testudo graeca* (population of Lebanon) and *Mantella* spp. The actions that the Animals Committee are required to undertake with regard to these species are detailed in document AC22 Doc. 10.3.

Table 3: Species selected by the Animals Committee for Review of Significant Trade following CoP13

Taxa	Document with detailed Taxa report	Status of the Review of Significant Trade – action required
Cetacea		
<i>Monodon monoceros</i> [populations of Canada and Greenland (Denmark)]	-	Ongoing – action by AC
Testudines		
<i>Testudo graeca</i> [population of Lebanon]	-	Ongoing – action by AC
Amphibia		
<i>Mantella</i> spp.	-	Ongoing – action by AC

Country-based Review of Significant Trade

16. The country-based Review of Significant Trade concerns all Appendix-II animal and plant species in Madagascar. Progress with its implementation is reported in document AC22 Doc. 10.4.

Other related activities

17. Pursuant to paragraph v) of Resolution Conf. 12.8 (Rev. CoP13), the Standing Committee, in consultation with the Secretariat and the Chairman of the Animals Committee, needs to 'review recommendations to suspend trade that have been in place for longer than two year and, if appropriate, take measures to address the situation'. The Secretariat informed the Standing Committee at SC53 that it had initiated a project in 2005 to review such cases systematically, and that it would inform the Committee about progress and propose country- or species-specific measures as necessary.
18. Resolution Conf. 12.8 (Rev. CoP13) directs the Secretariat 'to maintain a register of species that are included in the review process, and a record of progress with the implementation of recommendations'. To this effect, the Secretariat contacted TRAFFIC to inventory all recommendations made and actions ever taken in the context of the Review of Significant Trade, and to develop a database compiling this information (see document AC20 Doc. 8.2). This database is now finalized and fully operational. The Secretariat is considering options for making part of the database available online.