The attached document has been submitted by the Secretariat in relation to agenda item 9.4 on Access to finance, including GEF funding.
CITES - FINANCIAL RESOURCES

Access to finance – Questionnaire for the CITES Parties
Main results:

1. Financial resources are “partly” sufficient to ensure operation of the Convention

2. Additional funding needed for:
   a) Implementation and enforcement of the Convention
   b) Capacity building

3. How can additional funding be generated?
Members consider that financial resources are “partly” sufficient to ensure operation of the Convention ...
Additional funding needed for the implementation and enforcement of the Convention:

**SCIENCE:** 41% of members consider that there is an “urgent need”

**POLICY AND LAW**

**ENFORCEMENT**

SC62 Inf. 12 – p. 5
Additional funding needed for capacity building:

- NDFs: 56% (56% need, 44% urgent need)
- Permits: 21% (21% need, 44% urgent need)
- Reporting: 33% (33% need, 33% urgent need)
- Livelihoods: 26% (26% need, 62% urgent need)
- Legislation: 36% (36% need, 44% urgent need)

Legend:
- red: no answer
- blue: no need
- green: need
- yellow: urgent need
How can additional funding be generated?

• Through increased contributions by the Parties to the CITES Trust Fund?
  - YES 38%
  - NO 54%

• Through additional voluntary contributions to the CITES Trust Fund by non-governmental organizations and the donors?
  - YES 92%
  - NO 0%

• Through other traditional, emerging, and innovative financing mechanisms, such as those discussed in document SC61 Doc. 16?
  - YES 77%
  - NO 3%

• Through support from Parties to the Secretariat with gratis personnel or other forms of contributions in kind?
  - YES 28%
  - NO 36%
How can additional funding be generated?
Through cost-recovery mechanisms for the services provided by CITES Management Authorities, Scientific Authorities or the CITES Secretariat?

A. Issuance of permits and certificates?
B. Registration of captive-breeding operations and nurseries?
C. Access to CITES databases, online tools, training material?
D. Other services?

A. 10% YES, 23% NO, 67% NO ANSWER
B. 21% YES, 10% NO, 69% NO ANSWER
C. 15% YES, 59% NO, 26% NO ANSWER
D. 15% YES, 49% NO, 36% NO ANSWER
Designation of a financial mechanism?

• Should there be one or more designated financial mechanisms for CITES?
  
  YES  87%  NO  5%

• Should a stand-alone mechanism be established?

  YES  28%  NO  31%

• Should existing international mechanisms such as the Global Environment Facility (GEF) be used?

  YES  77%  NO  8%