

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA

Sixteenth meeting of the Conference of the Parties  
Bangkok (Thailand), 3-14 March 2013

Strategic matters

Committee reports and recommendations

REPORT OF THE CHAIR\*

Introduction

1. Norway was elected as Chair by the members of the Standing Committee at its 60th meeting, following the 15th meeting of the Conference of the Parties (CoP15, Doha, 2010). The United States of America was at the same meeting elected to serve as Vice-Chair and Kuwait as Alternate Vice-Chair. There have been two meetings of the Committee since CoP15: SC61 in August 2011 and SC62 in July 2012 (both held in Geneva). The 63rd meeting will be held in March 2013 (Bangkok), immediately before CoP16.
2. One new Party has acceded to the Convention since CoP15: Bahrain. The total number of Parties is thus 176.
3. The present Secretary-General, John Scanlon commenced duty on 3 May 2010.
4. The Standing Committee was given a significant workload at CoP15 (see Annex), as were the two science committees. This increasing workload and the level of interest in the work of the Committee has, as the Secretary-General observed at SC62, turned our meetings into mini Conferences of the Parties. With the high workload it has not been possible for the Standing Committee to finalize all of its work at SC62, with some matters being carried over by Working Groups. In several instances the Standing Committee agreed to the Working Group submitting its report and recommendations directly to the CoP, subject to it being cleared by me. This has been a direct consequence of the heavy workload of the Committee.
5. The Committee has, in the period since CoP15, focused on key tasks given to it by the CoP, see Annex. [NB: In view of the length of the document, the Annex is provided in English only.] These tasks include *inter alia*: the updating of a Memorandum of Understanding (MoU) between CITES and UNEP and between CITES and FAO; Rules of procedure of the Animals and Plants Committees (AC & PC) relating to conflict of interest; the Post-2010 biodiversity targets; the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES); the CITES Virtual College; criteria for the inclusion of species in Appendices I and II; definition of the term 'introduction from the sea'; conservation of and trade in African and Asian rhinoceroses; elephant conservation; snake trade and conservation management; and examination of the use of secret ballots. These activities are described in detail in reports of the meetings of the Standing Committee and in reports from the working groups, which should be consulted for a full account of each activity. The following is therefore a selected summary of the Committee's activities relating to these and other issues.

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\* *The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the United Nations Environment Programme concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries. The responsibility for the contents of the document rests exclusively with its author.*

6. As Chair, I am grateful to all Standing Committee members for their dedication, hard work and support. I am also grateful to the Party observers, as well as non-Party observers for the significant contribution they have made to the work of the Committee.
7. The Committee is grateful for the valuable and excellent support of the Committee's activities provided by the Secretary-General and the staff of the Secretariat.
8. The Committee is also indebted to the Animals and Plants Committees and the numerous working groups for their committed and tireless input to the tasks.
9. The Chair of the Standing Committee has taken the opportunity to participate in all the meetings of the Animals and Plants Committees in the period since CoP15 and to visit the Secretariat in Switzerland on several occasions. The Secretary-General also visited the Chair in Norway prior to SC61.

#### Collaboration with other organizations

#### Memorandum of understanding with the Executive Director of the United Nations Environment Programme (UNEP)

10. A working group presented, at SC61, a draft revised Agreement between the CITES Standing Committee and the Executive Director of UNEP. The Committee adopted the revised draft and authorized the Chair of the Standing Committee to sign it on behalf of the Committee and to transmit it to UNEP. Subsequently it was signed by the Executive Director of UNEP on 1 September 2011, being the date it became effective. This new MoU represents a significant milestone in providing certainty and transparency in the administrative relationship between UNEP and CITES.

#### Memorandum of Cooperation with the Food and Agriculture Organization of the United Nations (FAO)

11. The Standing Committee considered this matter at both SC61 and SC62. At SC62, the Committee established a working group to prepare a revised draft memorandum of cooperation, on the basis of document SC62 Doc. 14.4 Annex 1, for consideration at SC63.

#### Joint work programme 2012-2014 with the Convention on Migratory Species (CMS)

12. An MoU with CMS was signed in 2004. The Committee discussed a joint work programme at SC61 and forwarded a revised draft to the CMS Standing Committee. The joint work programme was subsequently endorsed by the Committee at SC62, as annexed to document SC62 Doc. 14.3. This joint work programme is a very targeted and pragmatic document that reflects well on both Conventions.

#### Guidelines for cooperation with the International Commission on the Conservation of Atlantic Tunas (ICCAT)

13. After CoP15, ICCAT forwarded to the Secretariat draft guidelines for cooperation with CITES. At SC62, the Committee endorsed the guidelines as annexed to document SC62 Doc. 14.6.

#### Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

14. Decision 15.12 directed the CITES Secretariat and Chairs of the Animals and Plants Committees to follow the discussions of a possible IPBES and provide input to the process. The Chairs were to report to the Standing Committee and seek additional guidance. The AC and PC and Secretariat have participated in the Committee of Scientific Advisory Bodies (CSAB) of Multilateral Environmental Agreements and have followed the meetings and the development of IPBES. At SC61, the Committee agreed to continue following the development of IPBES and that the Committee should take part as observers under the Multidisciplinary Expert Panel of IPBES. A new working group was established by the Committee at SC62 to liaise with the CSAB and to report back to IPBES within set deadlines on requests for services from IPBES. At SC62, the Committee endorsed recommendations from the working group to be presented as draft decisions at CoP16. There has been very good collaboration with the Secretariat, other biodiversity-related conventions, and UNEP on this issue.

#### Observer at the World Trade Organization (WTO)

15. The Chair of the Standing Committee has written to WTO to seek observer status in the WTO Committee on Sanitary and Phytosanitary Measures and the Committee on Technical Barriers to Trade. These applications have yet to be favourably decided.

#### Elephant conservation

16. Decision 14.77 directs the Standing Committee to establish a decision making mechanism for a process of trade in ivory under the auspices of the Conference of the Parties to be presented at CoP16 at the latest. At SC62, the Committee could not reach agreement on the draft proposal that was presented and decided to request further comments to enable the Secretariat to prepare a document for CoP16 on behalf of the Committee. A separate paper will be submitted to CoP16 on this issue.
17. At SC61, the Standing Committee agreed to establish a working group to review Resolution Conf. 10.10 (Rev. CoP15) as directed by Decision 15.74. Relevant sections of the Resolution were reviewed by the MIKE-ETIS Subgroup. A preliminary report was presented at SC62 and the Committee agreed that the working group should continue its work and that the final proposal would be subject to approval by the Chair of the Standing Committee before submission to CoP16. After receiving the results of the discussions of the working group, considering that this still indicated many areas of disagreement, I sought the assistance of the Secretariat to prepare a draft consolidated, amended version of the Resolution, based on the working group comments and outputs, and eliminating the bracketed text to the extent possible. The results are presented in a separate document for the present meeting.
18. Decision 14.78 (Rev. CoP15) instructs the Secretariat, pending external funding, to produce an updated analysis of MIKE data; to invite TRAFFIC to submit an updated analysis of ETIS data; to invite IUCN/SSC African and Asian Elephant Specialist Groups to update the conservation status of elephants and on pertinent conservation actions and management strategies; and invite the African range States to provide an update on progress with the *African elephant action plan*. Further, in Decision 14.79 (Rev. CoP15) the Secretariat is *inter alia* instructed to establish an African Elephant Fund to be applied to the implementation of the *African elephant action plan*. That action plan was approved by the range States in 2010 and the Secretariat has taken significant steps for the establishment of the Fund for its implementation, which was established in 2011 under UNEP. At SC62, a consolidated report from both MIKE and ETIS was presented, reflecting an increase in major confiscations of ivory and the Committee requested consumer countries to step up their efforts to curb the illegal trade. There will be much more discussion on this issue at the present meeting.

#### Conservation of and trade in rhinoceroses

19. In Decision 15.71, on implementation of Resolution Conf. 9.14 (Rev. CoP15), the Secretariat was instructed to report to the Standing Committee. Decision 15.72 directs the Secretariat to contribute to improve enforcement cooperation efforts and to seek funds to convene a joint CITES Ivory and Rhinoceros Enforcement Task Force. The Standing Committee established an intersessional working group chaired by the United Kingdom to identify *inter alia* specific measures that Parties should take and to develop recommendations to CoP16. The Committee endorsed proposals from the working group to advance the issue.

#### Asian big cats

20. Decision 15.70 directs the Committee to review and update the form and guidance in Annexes 1 to 3 of Resolution Conf. 12.5 (Rev. CoP15) and report to CoP16. This includes revision of the reporting format for incidents of wildlife crime, illegal trade, poaching or significant intelligence. The Committee agreed to assign the task to the Senior Experts Group of ICCWC to conduct the review and to present the update to CoP16. The Committee welcomed information at SC62 on progress with the Global Tiger Initiative, as presented by the representative of the World Bank.

#### Bigleaf mahogany and other neotropical timber species

21. Bigleaf mahogany has been one of the CITES-listed species included in the ITTO-CITES programme 'Ensuring that international trade in CITES-listed timber is consistent with their sustainable management and conservation', which ran in the period 2006-2010. The collaboration with ITTO has resulted in a compendium on timber tracking technologies and a suggestion to continue with a second phase of the

ITTO-CITES cooperation. This could include a comparative study on lessons learned to date in relation to bigleaf mahogany and how these might be used to assist range States of any CITES-listed timber species. At SC61, the Committee agreed that Peru had fulfilled the three compliance indicators adopted at the SC59. However, Peru accepted to send a report to the Secretariat on its continued efforts to improve management of trade in bigleaf mahogany.

#### CITES and livelihoods

22. Decision 15.5 tasks the Committee to commission a working group to revise the draft resolution contained in Annex 1 to document CoP15 Doc. 14, to *inter alia* finalize a toolkit for rapid assessment of impacts of implementing CITES listing decisions on the livelihoods of the poor (cf. Resolution Conf. 8.3 (Rev. CoP13). At SC62, the Committee endorsed a draft resolution and mandated the working group to complete the tasks and to submit the results to CoP16. A workshop on Livelihoods was held in Peru in late September, 2012.

#### Climate change

23. Decision 15.15 tasks the Animals and Plants Committees to identify scientific aspects related to the Convention and the Resolutions that are actually or likely to be affected by climate change. This includes listing proposals, non-detriment findings, periodic review of listings, review of significant trade, setting of quotas and trade in invasive species. The Standing Committee supported the conclusion, in document SC62 Doc. 18, that the current provisions of the Convention and Resolutions were sufficiently comprehensive and flexible to take into account the implications of climate change for science-based decision-making.

#### Introduction from the sea

24. Decision 14.48 instructs the Committee to extend the mandate of the working group on Introduction from the Sea, which had been established at SC57. At SC62, the Standing Committee endorsed the draft revised Resolution Conf. 14.6 (Rev. CoP15) and requested the working group to continue its work related to implementation and in particular the issue of chartering. At SC62, the Committee agreed to forward the results of the working group to CoP16 as four annexes to the draft revision of Resolution Conf. 14.6 (Rev. CoP15): 1) Text on chartering that should be included in the draft revision of the resolution; 2) Explanatory notes to the draft revision of the resolution; 3) Draft revision of Resolution Conf. 12.3 (Rev. CoP15) on new source codes for specimens originating in the marine environment not under jurisdiction of any State; 4) two draft decisions on chartering and capacity building respectively. The Standing Committee is indebted to the co-chairs and the Secretariat and all members of the working group for its extremely hard work in concluding this draft for CoP16.

#### National laws for implementation of the Convention

25. The Standing Committee has urged Parties whose legislation is in Category 2 or 3 in the National Legislation Project to increase their legislative momentum. In addition the Committee has considered using appropriate compliance measures for Parties requiring attention as a priority. An updated status chart was presented to the Committee at SC61 and SC62. Identified countries have been contacted and information requested on their activities to remedy the situation. Unless adequate responses have been received, the Committee has approved suspensions.

#### Financial and administrative issues

26. At CoP15, the Conference of the Parties endorsed an increase of 6% in the budget for the biennium 2012-2013 and to draw on the Trust Fund reserves up to an amount of USD 450,000 per year. The Finance and Budget Subcommittee met twice in the margins of SC61 and SC62 to facilitate the Committee's discussions on prioritizations and proposed changes to the budget lines.
27. At SC61, the Committee was informed by the Secretariat on the financial situation, pinpointing a deficit of USD 480,000 for the year 2011, mainly resulting from increases in salary-related costs and fluctuations in the currency exchange rates. It was noted that the CITES budget is in US dollars, but the vast majority of expenditures are in Swiss francs. However, savings of USD 250,000 from the Secretariat's programmatic activities and operating costs had reduced this deficit. Owing to financial constraints worldwide, several Parties were late in paying their contributions to the Trust Fund. At the end of 2011 the reserves were USD 2,298,000.

28. At SC62, the Secretariat tabled suggestions regarding the scale of contributions for the coming triennium, to be approved at CoP16. Owing to the level of increases adopted at the previous meetings of the Conference of the Parties, a decline in the number of staff has been necessary to offset the decrease in budgets over the past 10 years. In the period from 2000 to 2011 seven vacancies arising in total have been left vacant, reducing the staff from 26 to 19 in 2012. The Secretariat presented to the Committee a proposal for an increase in the contributions for the next triennium, which is required just to maintain the status quo, and argued that otherwise it may be necessary to further reduce the number of staff and implement other changes to the organization of the Secretariat. Further reductions of the Secretariat budget would inevitably have a negative impact on the number of staff in the Secretariat, which would reduce the capacity of the Secretariat to service the Parties. The Committee reflected on the dire financial situation for many Parties and urged the Secretariat to also look for any possible savings and for alternative sources of financing in addition to potential increases in the Parties contributions.
29. At SC62, the Finance and Budget Subcommittee proposed that the Costed Programme of Work (CPW) for the next triennium should only reflect tasks that will be addressed. This shall also be adjusted to the *CITES Strategic Vision 2008-2013*. In addition there will be a budget to be funded by additional voluntary contributions. The Committee endorsed forwarding the new CPW format, and separate budgets for assessed and additional voluntary contributions, as well as a zero nominal growth and zero real growth budget scenarios for consideration at CoP16. It also agreed to seek the agreement of the Conference of the Parties to establish the Finance and Budget Subcommittee as a permanent committee.

#### Access to finance mechanisms

30. At SC62, the Secretariat informed the Committee about innovative mechanisms for funding of CITES-related activities, as there are only two main sources of funding at present (Parties contributions and voluntary contributions). At SC61, the Committee had requested the Finance and Budget Subcommittee to establish an intersessional working group on 'access to finance', including GEF funding. The GEF has approved a USD 3 million project that will strengthen the wildlife forensic capabilities in South Africa to combat wildlife crime. The Standing Committee noted that the Secretariat would submit to CoP16 a proposal for the GEF to serve as a financial mechanism for CITES and for other measures to improve funding for CITES activities. In addition, the CITES Secretary-General and the President of the Innovative Finance Foundation (IFF) announced, on 9 February 2012, the start of a collaboration to develop a portfolio of innovative financing activities involving industry partners and the financial sector.

The approval of the first CITES-related GEF project is a milestone for CITES.

#### Improving transparency of voting during meetings of the Conference of the Parties

31. At SC61, the Committee discussed and endorsed a proposal from the European Union to amend Rule 25 of the Conference of the Parties to ensure that the results of open electronic votes at CoP16 and future meetings would be displayed on a screen to allow an immediate verification of votes cast. In addition the Committee discussed the use of secret voting and requested the Secretariat to present, at SC62, an analysis of the use of secret ballots. According to Rule 25.2 the Parties may request that votes be taken by secret ballot for matters other than election of candidates, although it shall normally not be used. However, the analysis documented that secret voting had been used 69 times from CoP10 to CoP15 and only once for election of candidates. According to Rule 25.2, any motion to take any substantive decision by a secret vote is carried if it is seconded by 10 Representatives. The Committee discussed the need to increase the number of supporting Parties required, in light of the increased number of Parties to the Convention since the rule was first approved. At SC62, the Committee took note of the analysis by the Secretariat.

#### Other issues raised by the Secretariat

##### The International Consortium on Combating Wildlife Crime (ICCWC)

32. The new initiative, ICCWC, was launched in 2011 and is a collaboration between CITES, ICPO-Interpol, the World Customs Organization, the UN Office on Drugs and Crime (UNODC) and the World Bank. The aim is to strengthen capacity among Customs, police and CITES authorities, besides increasing the profile of CITES among policymakers and the national judiciary. This consortium holds great promise for a more coordinated and formidable response to combating wildlife crime.

### Capacity building

33. In compliance with the *CITES Strategic Vision: 2008-2013*, contained in Resolution Conf. 14.2, the goal has been to ensure compliance, implementation and enforcement of the Convention. Towards this end capacity-building programmes have been established. The Secretariat has reported on a number of activities related to both national and international capacity building. The Secretariat has focused on a framework of partnerships to produce measurable results and according to the targets of Resolution Conf. 14.2. Important activities in the last period have been: 1) a European-Commission-funded project on strengthening the CITES implementation capacity of developing countries (a multi-year project starting in 2010), joint funding of country-specific projects, collaboration with UNODC, ICPO-Interpol, the World Bank, WCO and countries in Asia (*i.a.* resulting in a *Wildlife and forest crime analytic toolkit*), and training in use of electronic trade documentation (CITES e-permitting systems); 2) establishment of the CITES Virtual College as a web-based platform (hosted by the University of Andalusia) for providing access to training courses; 3) capacity building and science related to, *inter alia*, non-detriment findings; and 4) capacity building and enforcement related to, *inter alia*, the Green Customs Initiative to enhance the capacity of relevant personnel.

### Concluding remarks

34. The volume of tasks allocated to the Committee by the CoP has strongly increased over the last decade. This also puts a strain on the Committee's working groups and on the Animals and Plants Committees. Despite this workload it is my opinion that this has been a successful period since CoP15. Many significant issues have been addressed and solved to my satisfaction. I hope that the work of the Committee will assist the CoP in its deliberations on issues that are of great significance, such as the issue on 'Introduction from the Sea'. I am grateful for the voluntary contributions in the period, enabling important work on promoting and implementing CITES requirements. Without these contributions it would have been a bleak situation for CITES-related initiatives. CITES is firmly placed as a globally important MEA contributing to sustainable use of biodiversity. Many Parties world-wide still face grave challenges in complying with the requirements of the Convention. It is therefore my recommendation to increase the efforts in national implementation through selective and focused initiatives. Party-to-Party collaboration is one recommended mechanism. The CITES Secretariat also possesses an invaluable knowledge of CITES and should be enabled to contribute more proactively towards strengthening of implementation at the national level. However, it is up to the CoP to decide on how CITES can contribute towards this end. The discussion on the CITES Secretariat budget and a financial mechanism to fund required activities at the national level must therefore be crucial issues for CoP16.

## **CITES STANDING COMMITTEE**

### **TASKS TO BE ACCOMPLISHED BEFORE COP16**

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# TERMS OF REFERENCE and DUTIES OF REGIONAL REPRESENTATIVES

## Terms of Reference

The general terms of reference of the Standing Committee are specified in Resolution Conf. 11.1 (Rev. CoP15) on *Establishment of Committees* Annex 1, as follows:

*within the policy agreed to by the Conference of the Parties, the Standing Committee shall:*

- a) provide general policy and general operational direction to the Secretariat concerning the implementation of the Convention;*
- b) provide guidance and advice to the Secretariat on the preparation of agendas and other requirements of meetings, and on any other matters brought to it by the Secretariat in the exercise of its function;*
- c) oversee, on behalf of the Parties, the development and execution of the Secretariat's budget as derived from the Trust Fund and other sources, and also all aspects of fund raising undertaken by the Secretariat in order to carry out specific functions authorized by the Conference of the Parties, and to oversee expenditures of such fund-raising activities;*
- d) provide coordination and advice as required to other committees and provide direction and coordination of working groups established by either itself or the Conference of the Parties;*
- e) carry out, between one meeting of the Conference of the Parties and the next, such interim activities on behalf of the Conference as may be necessary;*
- f) draft resolutions for consideration by the Conference of the Parties;*
- g) report to the Conference of the Parties on the activities it has carried out between meetings of the Conference;*
- h) act as the Bureau at meetings of the Conference of the Parties, until such time as the Rules of Procedure are adopted; and*
- i) perform any other functions as may be entrusted to it by the Conference of the Parties;*

## Duties of regional representatives

The duties of the regional representatives in the Standing Committee are also laid down in Resolution Conf. 11.1 (Rev. CoP15), as follows:

- a) regional representatives should maintain a fluid and permanent communication with the Parties of their region and the Secretariat;*
- b) before the meetings of the Standing Committee, the representatives should communicate to the Parties of their region the items on the agenda, to request their opinions, preferably on matters specifically relevant to the countries or the region. They should also inform them of the results of the meeting. At least two regional meetings should take place between meetings of the Conference of the Parties, one of which should be specifically to deal with proposals to be submitted to the Conference at its next meeting. The regional representatives should convene these meetings; and*
- c) the regional representatives should report in detail on their activities, initiatives and achievements at the regional sessions that are taking place during meetings of the Conference of the Parties. The Parties may make comments on these reports, which should be included in the proceedings.*

## TASKS PENDING FROM PREVIOUS MEETINGS

Subject	Task	Source
Relationship with UNEP	<p>Consider report from UNEP on its provision of, and support to, the Secretariat.</p> <p>NB. Members of the working group are Australia, Colombia, Egypt, Japan, Switzerland (as Depositary), United Kingdom and United States of America.</p> <p><b>Completed. Signed by both Chair of Standing Committee and Executive Director of UNEP. See also document SC61 Summary record item 9.2.</b></p>	Memorandum of Agreement between the Standing Committee and the Executive Director of UNEP
Cooperation with the Convention on the Conservation of Migratory Species of Wild Animals	<p>At SC61, consider a revised programme of joint CITES/CMS activities for the next following triennium.</p> <p>[Relates to Resolution Conf. 13.3]</p> <p><b>Completed. See MoU and joint CMS-CITES work programme 2012-2014. See Annex to document SC62 Doc. 14.3.</b></p>	SC59
Cooperation with the World Trade Organization	<p>Explore the possibility of organizing with WTO an informal discussion on issues of mutual interest in the margins of the Committee's 61st meeting.</p> <p><b>Pending. Request for observer status still pending. See also document SC61 Summary record item 15.8.</b></p>	SC58
Enforcement matters	<p>Decide on the withdrawal of the recommendation to suspend trade with Nigeria through the postal procedure following a report from the Secretariat that all required measures had been taken by Nigeria.</p> <p><b>Completed. Withdrawal of trade suspension accepted. See document SC61 Summary record item 30.</b></p>	SC59
Review of Significant Trade in Appendix-II species	<p>At SC61, consider a report from the Secretariat on the trade in <i>Cuora amboinensis</i> from Viet Nam and the trade in <i>Cuora galbinifrons</i> from the Lao People's Democratic Republic and Viet Nam.</p> <p>Consider a report from the Secretariat on trade in <i>Poicephalus senegalus</i> from Mali.</p> <p>[Relates also to Resolution Conf. 12.8 (Rev. CoP13)]</p> <p><b>Completed. See documents SC62 Doc. 27.2 (Rev. 1) and SC61 Doc. 28 respectively.</b></p>	SC58
E-commerce of specimens of CITES-listed species	<p>Consider a report from the working group regarding guidelines and other issues related to e-commerce.</p> <p><b>Completed. Mandate of working group extended to continue. See document SC61 Summary record item 29.</b></p>	SC58
Ranching operations	<p>Review of recommended suspension of Nile crocodile trade with Madagascar's by postal procedure, after 30 September 2010, if the Secretariat is satisfied that agreed actions were</p>	SC60

	<p>implemented.</p> <p>Completed. See document SC62 Sum 5 and Sum 10. (Rev. 1)</p>	
Bigleaf mahogany	<p>At SC61, consider range States responses to the letter sent on behalf of the Standing Committee.</p> <p>Completed. See document SC61 Doc. 50.1.</p> <p>Regarding Peru, if by 30 September 2010 it has not made sufficient progress, the Secretariat, in consultation with the Chair, will initiate a postal procedure on a proposal to recommend suspension of trade in the bigleaf mahogany from Peru.</p> <p>Completed. The Committee agreed that Peru had fulfilled the three compliance indicators. See document SC61 Doc. 50.2.</p>	SC59
Ramin	<p>At SC61, consider reports from Indonesia and Malaysia should report on the outcomes of the implementation of the ITTO/CITES project.</p> <p>Completed. See document SC61 Sum 9 (Rev. 1) item 51.</p>	SC59
Regional reports	<p>Consider reports of regional representatives. Reports to be submitted in accordance with agreed format.</p> <p>Completed. Draft revised format to be submitted CoP16.</p>	SC59

## RESOLUTIONS CONTAINING TASKS FOR THE STANDING COMMITTEE

### TASKS TO BE INITIATED BY THE STANDING COMMITTEE

Resolution	Instruction
<p>Conf. 9.14 (Rev. CoP15)</p> <p>Conservation of and trade in African and Asian rhinoceroses</p>	<p><i>DIRECTS the Standing Committee to continue to pursue actions aimed at ending illegal trade in rhinoceros parts and derivatives, ensuring that:</i></p> <p>a) <i>all such actions are accompanied by evaluations of their effectiveness and appropriate recommendations; and</i></p> <p>b) <i>the policies that guide interventions are responsive and adaptive to the outcome of the evaluations;</i></p> <p><b>Underway. Intersessional working group established at SC61 to identify measures. See document SC61 Summary record item 45. Recommendations endorsed at SC62.</b></p>
<p>Conf. 10.10 (Rev.CoP15)</p> <p>Trade in elephant specimens</p>	<p><i>Regarding control of internal ivory trade</i></p> <p><i>DIRECTS the Standing Committee to undertake a regular review of actions taken by consumer States to improve legislation and enforcement measures and to report the results at each meeting of the Conference of the Parties;</i></p> <p><i>Regarding compliance with control of internal trade</i></p> <p><i>DIRECTS the Secretariat ....</i></p> <p>c) <i>to report its findings, recommendations or progress to the Standing Committee, which shall consider appropriate measures, including restrictions on the commercial trade in specimens of CITES-listed species to or from such Parties;</i></p> <p><b>Completed. Revision of Resolution Conf. 10.10 provided to CoP16.</b></p>
<p>Conf. 11.8 (Rev. CoP13)</p> <p>Conservation of and control of trade in the Tibetan antelope</p>	<p><i>DIRECTS</i></p> <p>b) <i>the Standing Committee to undertake a regular review of the enforcement measures taken by the Parties in eliminating the illicit trade in Tibetan antelope products on the basis of the Secretariat's report, and to report the results at each meeting of the Conference of the Parties;</i></p> <p><b>Completed. See document SC61 Summary record item 43. SC62 endorsed a proposal to propose at CoP16 that the reporting requirement be deleted.</b></p>
<p>Conf. 13.3</p> <p>Cooperation and synergy with the Convention on the Conservation of Migratory Species of Wild Animals (CMS)</p>	<p><i>DIRECTS the Standing Committee to keep under regular review the Memorandum of Understanding concluded between the Secretariats of CITES and CMS on 18 September 2002, in particular with a view to:</i></p> <p>a) <i>seeking reports from the CITES Secretariat on steps taken to implement a more detailed work programme to be developed jointly with CMS; and</i></p> <p>b) <i>ensuring that CITES initiatives in respect of the following species or taxonomic groups complement, reinforce and, as far as possible, benefit from the regional collaboration already being undertaken or envisaged in the framework of CMS:</i></p> <p>i) <i>the saiga antelope (Saiga tatarica), the snow leopard (Uncia uncia) and the west and central African populations of the African elephant (Loxodonta</i></p>

	<p>africana);</p> <p>ii) <i>marine turtles of the Atlantic coast of Africa, the Indian Ocean, Southeast Asia and the Pacific Ocean;</i></p> <p>iii) <i>the whale shark (Rhincodon typus) of south and Southeast Asia, as well as the great white shark (Carcharodon carcharias); and</i></p> <p>iv) <i>sturgeons (Acipenseriformes)</i></p> <p>Completed. See MoU and joint CMS-CITES work programme 2012-2014. See Annex to document SC62 Doc. 14.3.</p>
<p>Conf. 14.2</p> <p>CITES Strategic Vision: 2008-2013</p>	<p><i>INSTRUCTS the Standing Committee to review the progress in implementation of the CITES Strategic Vision: 2008-2013, and in achievement of the Objectives, at each of its ordinary meetings during the term of the Vision, and to report at the 15th and 16th meetings of the Conference of the Parties</i></p> <p>Completed. See document SC61 Summary record item 14. SC62 requested the Secretariat to forward a revised Annex to document SC62 Doc. 13 to CoP16.</p>
<p>Conf. 15.1</p> <p>Financing and the costed programme of work for the Secretariat for the biennium 2012-2013</p>	<p><i>INSTRUCTS the Standing Committee, in close cooperation with the Secretariat, to explore options to mitigate the potential impact on the core budget for the triennium 2014-2016</i></p> <p><i>INSTRUCTS the Standing Committee to review the Terms of Reference for the Administration of the Trust Fund for any necessary updates</i></p> <p><i>INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members</i></p> <p>Completed. See document SC61 Summary record item 10.1 and document SC62 Sum. 9 item 9.</p>

## TASKS REQUIRING REPORTS TO THE STANDING COMMITTEE

Resolution	Instruction
<p>Conf. 8.4 (Rev. CoP15)</p> <p>National laws for implementation of the Convention</p>	<p>On the basis of reports from the Secretariat ...</p> <p><i>INSTRUCTS the Standing Committee to determine which Parties have not adopted appropriate measures for effective implementation of the Convention and to consider appropriate compliance measures, which may include recommendations to suspend trade, in accordance with Resolution Conf. 14.3;</i></p> <p>[NB linked to Decisions 15.38 to 15.41]</p> <p>Completed. See document SC61 Summary record item 23 and document SC62 Sum. 5 item 23.</p>
<p>Conf. 9.24 (Rev. CoP15)</p> <p>Criteria for amendment of Appendices I and II</p>	<p>In cases where a species is transferred from Appendix I to Appendix II subject to an export quota or special measure approved by the CoP, and where problems have arisen and the Secretariat has been unable to resolve them:</p> <p>1. <i>Where the Plants Committee, the Animals Committee or a Party becomes aware of problems in compliance with the management measures and export quotas of another Party, the Secretariat shall be informed and, if the Secretariat fails to resolve the matter satisfactorily, it shall inform the Standing Committee which</i></p>

	<p>may, after consultation with the Party concerned, recommend to all Parties that they suspend trade with that Party in specimens of CITES-listed species, and/or request the Depository Government to prepare a proposal to transfer the population back to Appendix I.</p> <p>2. If, on review of a quota and its supporting management measures, the Animals or Plants Committee encounters any problems with compliance or potential detriment to a species, the relevant Committee shall request the Depository Government to prepare a proposal for appropriate remedial action.</p> <p>No cases required action by the Standing Committee.</p>
<p>Conf. 10.9 Consideration of proposals for the transfer of African elephant populations from Appendix I to Appendix II</p>	<p>c) the Standing Committee, after consultation as appropriate with UNEP, IUCN, TRAFFIC International, the affected range State and the region concerned, shall nominate the members of the Panel of Experts, which should not exceed six in number;</p> <p>d) the selection should take into account the need for appropriate geographical representation;</p> <p>e) the proponent State should appoint a representative to facilitate the work of the Panel and to act as an adviser;</p> <p>f) the Standing Committee shall direct the CITES Secretariat to convene the Panel of Experts;</p> <p>Pending. See document SC61 Summary record item 44.6.</p>
<p>Conf. 10.21 (Rev. CoP14)  Transport of live specimens</p>	<p>c) the regular communication of the CITES Secretariat and the Standing Committee with IATA's Live Animals and Perishables Board and with the board of directors of the Animals Transportation Association (ATA) be continued and that a relationship with the International Animal Health Organisation (OIE) and the International Plants Protection Convention (IPPC) be developed;</p> <p>d) for as long as the CITES Secretariat and the Standing Committee agree, the Live Animals Regulations (for animals) and the Perishable Cargo Regulations (for plants) be deemed to meet CITES air transport requirements;</p> <p>Completed. AC/PC joint working group and cooperation with other organizations. Draft amendments to Resolution 10.21 presented to Cop16.</p>
<p>Conf. 11.3 (Rev. CoP15)  Compliance and enforcement</p>	<p>Regarding application of Article XIII</p> <p>d) if it does not appear a solution can be readily achieved, the Secretariat bring the matter to the attention of the Standing Committee, which may pursue the matter in direct contact with the Party concerned with a view to helping to find a solution; and</p> <p>Problems of implementation of the Convention in Nigeria had previously been discussed by the Committee. Nigeria had meanwhile made excellent progress, which was reported at SC61, where the Committee withdrew its recommendation that Parties suspend trade with Nigeria (see SC61 Doc. 30.)</p> <p>Problems of implementation of the Convention in Guinea were identified and brought to the attention of the Standing Committee (see SC61 Doc. 30, Notification to the Parties 2011/040, and SC62 Doc. 29). A further report is requested for SC63.</p> <p>Regarding communication of information and coordination</p> <p>g) the Secretariat, in consultation with the Standing Committee, establish ad hoc CITES enforcement task forces as needed focusing initially on species included in Appendix I;</p> <p>The CITES Ivory and Rhino Enforcement Task Force met, and the results were reported at SC61. See documents SC61 Doc. 44.1 and SC61 Doc. 45.1.</p> <p>Regarding additional actions to promote enforcement</p> <p>INSTRUCTS the Secretariat to:</p>

	<p>b) <i>submit a report on enforcement matters at each Standing Committee meeting and each regular meeting of the Conference of the Parties</i></p> <p>Completed. See documents SC61 Doc. 30 and SC62 Doc. 29 and the summary records.</p>
<p>Conf. 11.4 (Rev. CoP12)</p> <p>Conservation of cetaceans, trade in cetacean specimens and the relationship with the International Whaling Commission</p>	<p><i>Regarding illegal trade in whale meat</i></p> <p><i>ENCOURAGES the IWC to keep CITES Parties fully informed through the CITES Secretariat and the Standing Committee between meetings of the Conference of the Parties on all related developments regarding the illegal trade in whale products;</i></p> <p>Nothing reported.</p>
<p>Conf. 11.17 (Rev. CoP14)</p> <p>National reports</p>	<p><i>DECIDES that:</i></p> <p>a) <i>failure to submit an annual report by 31 October of the year following the year for which the report was due constitutes a major problem with the implementation of the Convention, which the Secretariat shall refer to the Standing Committee for a solution in accordance with Resolution Conf. 11.3 (Rev. CoP15); and</i></p> <p>b) <i>the Secretariat may approve a valid request from a Party for a reasonable extension of time to the 31 October deadline for the submission of annual or biennial reports provided the Party submits to the Secretariat a written request, containing adequate justification, before that deadline;</i></p> <p><i>INSTRUCTS the Standing Committee to determine, on the basis of reports presented by the Secretariat, which Parties have failed, for three consecutive years and without having provided adequate justification, to provide the annual reports required under Article VIII, paragraph 7 (a), of the Convention within the deadline (or any extended deadline) provided in the present Resolution</i></p> <p>Completed. See document SC61 Summary record item 24-25, and document SC62 Sum. 5 item 24.</p>
<p>Conf. 11.19</p> <p>Identification Manual</p>	<p><i>DIRECTS the Secretariat to:</i></p> <p>g) <i>inform each meeting of the Standing, Animals and Plants Committees on the progress made</i></p> <p>Completed. See document SC61 Summary record item 37 and document SC62 Sum. 6 item 38.</p>
<p>Conf. 11.21 (Rev. CoP15)</p> <p>Use of annotations in Appendices I and II</p>	<p><i>DIRECTS:</i></p> <p>a) <i>the Secretariat to report to the Standing Committee, for at least four years following the adoption of a proposal to transfer species from Appendix I to Appendix II subject to a substantive annotation, any credible information it receives indicating a significant increase in the illegal trade in or poaching of such species; and</i></p> <p>b) <i>the Standing Committee to investigate any such reports of illegal trade and to take appropriate action to remedy the situation, which may include calling on the Parties to suspend commercial trade in the affected species, or inviting the Depositary Government to submit a proposal to amend the annotation or to retransfer the species to Appendix I;</i></p> <p>Pending. Will be completed to CoP17.</p>
<p>Conf. 12.5 (Rev. CoP15)</p> <p>Conservation of and trade in tigers and other Appendix-I</p>	<p><i>INSTRUCTS the Secretariat to report to the Standing Committee and the Conference of the Parties on the status of Asian big cats in the wild, their conservation, and trade controls in place in Parties, using information provided by the range States on measures taken to comply with this Resolution and related relevant Decisions and any relevant additional information provided by relevant countries;</i></p> <p>Completed. See document SC61 Summary record item 41 and document</p>

Asian big cat species	SC62 Sum. 6 item 43.
Conf. 12.7 (Rev. CoP14) Conservation of and trade in sturgeons and paddlefish	<p><i>RECOMMENDS, with regard to regulating trade in sturgeon products, that:</i></p> <p><i>k) the Secretariat shall submit a written progress report at each meeting of the Standing Committee on the operation of the UNEP-WCMC caviar trade database;</i></p> <p><i>DIRECTS the Animals Committee to provide to the Standing Committee its recommendations on actions to be taken based upon the above-mentioned monitoring of progress and three-year cycle evaluation;</i></p> <p>Completed. See document SC61 Summary record item 48.1 and document SC62 Sum. 9 (Rev. 1) item 50. The Secretariat shall submit to CoP16 a draft revision of Resolution Conf. 12.7 (Rev. CoP14).</p>
Conf. 12.8 (Rev. CoP13) Review of Significant Trade in specimens of Appendix-II species	<p><b><u>Measures to be taken regarding the implementation of recommendations</u></b></p> <p><i>q) the Secretariat shall, in consultation with the Chairman of the Animals or Plants Committee, determine whether the recommendations referred to above have been implemented and report to the Standing Committee accordingly;</i></p> <p><i>r) where the recommendations have been met, the Secretariat shall, following consultation with the Chairman of the Standing Committee, notify the Parties that the species was removed from the process;</i></p> <p><i>s) when the Secretariat, having consulted with the Chairman of the Animals or Plants Committee, is not satisfied that a range State has implemented the recommendations made by the Animals or Plants Committee in accordance with paragraph n) or o), it should recommend to the Standing Committee appropriate action, which may include, as a last resort, a suspension of trade in the affected species with that State. On the basis of the report of the Secretariat, the Standing Committee shall decide on appropriate action and make recommendations to the State concerned, or to all Parties;</i></p> <p><i>t) the Secretariat shall notify the Parties of any recommendations or actions taken by the Standing Committee;</i></p> <p><i>u) a recommendation to suspend trade in the affected species with the State concerned should be withdrawn only when that State demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with Article IV, paragraph 2 (a), 3 or 6 (a); and</i></p> <p><i>v) the Standing Committee, in consultation with the Secretariat and the Chairman of the Animals or Plants Committee, shall review recommendations to suspend trade that have been in place for longer than two years and, if appropriate, take measures to address the situation;</i></p> <p>Completed. See document SC61 Summary record item 28 and document SC62 Sum. item 27.1</p>

<p>Conf. 12.10 (Rev. CoP14)</p> <p>Registration of operations that breed Appendix-I animal species in captivity for commercial purposes</p>	<p>With regard to registered captive-breeding operations:</p> <p>i) <i>any Party believing that a registered operation does not comply with the provisions of Resolution Conf. 10.16 (Rev.) may, after consultation with the Secretariat and the Party concerned, propose to the Standing Committee that this operation be deleted from the Register. At its following meeting, the Standing Committee shall, considering the concerns raised by the objecting Party and any comments from the Registering Party and the Secretariat, determine whether the operation should be deleted from the register. If so deleted, such an operation may only be reinstated in the Register by satisfying the procedure outlined in Annex 2.</i></p> <p>If there is an objection to the registration of a captive-breeding operation:</p> <p>4. <i>If the objection is not withdrawn or the identified problem(s) not resolved within the 30-day period, the application shall be submitted to the Standing Committee at its following regular meeting.</i></p> <p>a) <i>If the Committee considers the objection trivial or ill-founded, it shall reject it and the application shall be accepted.</i></p> <p>b) <i>If the Committee considers the objection justified, it shall review the response of the applying Party and decide whether or not to accept the application.</i></p> <p>Completed. See document SC61 Summary record item 39 and document SC62 Sum. 7 item 41.</p>
<p>Conf. 13.4</p> <p>Conservation of and trade in great apes</p>	<p><i>DIRECTS the Secretariat to:</i></p> <p>c) <i>report to the Standing Committee on the implementation of this Resolution at each of its regular meetings</i></p> <p><i>DIRECTS the Standing Committee to:</i></p> <p>a) <i>review the implementation of this Resolution at each of its regular meetings on the basis of the Secretariat's reports;</i></p> <p>b) <i>consider other measures such as technical missions, organized in cooperation with GRASP and other appropriate partnerships, followed by political missions if necessary; and</i></p> <p>c) <i>report at each meeting of the Conference of the Parties on the implementation of this Resolution, with any recommendations for further action;</i></p> <p><i>URGES the Secretariat, the Standing Committee and the Animals Committee to work closely with GRASP, and to explore and implement other measures through which the Convention can contribute to the conservation of great apes and to the promotion of public awareness of the threat posed to great ape populations by illegal trade;</i></p> <p>Completed. See document SC61 Summary record item 40 and document SC62 Sum. 7.item 42. The Secretariat will report to CoP16 on its technical mission to gorilla range States.</p>
<p>Conf. 13.7 (Rev. CoP14)</p> <p>Control of trade in personal and household effects</p>	<p><i>DIRECTS the Standing Committee to consider ways of assisting any Party which informs the Committee of difficulties in the application of this Resolution;</i></p> <p>Completed. See document SC61 Summary record item 38 and document SC62 Sum. 7 item 40.</p>
<p>Conf. 14.3</p> <p>CITES compliance</p>	<p>NB This Resolution specifies the role of the Standing Committee in dealing with compliance procedures.</p>

procedures	<b>Completed. Addressed by the SC at every meeting.</b>
Conf. 14.5 Dialogue meetings	<p>a) <i>a dialogue meeting may be called for either by the Conference of the Parties or by the Standing Committee;</i></p> <p><b>Completed. See document SC61 Summary record item 55.</b></p>
Conf. 14.8 Periodic review of the Appendices	<p>f) <i>Taking these comments into account, the Animals and Plants Committees will inform the Standing Committee about the finalized selection of taxa to be reviewed.</i></p> <p>g) <i>The Chairmen of the Animals and Plants Committees shall keep the Standing Committee informed about the conduct of periodic reviews of taxa included in the Appendices. A list of species previously reviewed, including dates of the review and links to the appropriate documents, shall be maintained by the Secretariat.</i></p> <p>h) <i>The Animals and Plants Committees shall conduct or organize the reviews, seeking information, participation and support from the range States. The regional representatives of the Animals, Plants and Standing Committees shall seek assistance from range States within their region to support the taxon reviews.</i></p> <p><b>Completed. See document SC62 Sum. item 53.2</b></p>
Conf. 15.1 Financing and the costed programme of work for the Secretariat for the biennium 2012-2013	<p><i>INSTRUCTS the Standing Committee, in close cooperation with the Secretariat, to explore options to mitigate the potential impact on the core budget for the triennium 2014-2016</i></p> <p><i>INSTRUCTS the Standing Committee to review the Terms of Reference for the Administration of the Trust Fund for any necessary updates</i></p> <p><i>INSTRUCTS the Finance and Budget Subcommittee of the Standing Committee to report at meetings of the Standing Committee and of the Conference of the Parties on recommendations on all financial and budgetary documents and proposals developed by its members</i></p> <p><b>Completed. See document SC61 Summary record item 10.2 (Rev. 1) and document SC62 Sum. 9 (Rev. 1) item 9.2.</b></p>

## **DECISIONS DIRECTED TO THE STANDING COMMITTEE OR REQUIRING ITS INVOLVEMENT**

(Associated decisions to the Parties, other committees and organizations and the Secretariat are included for information.)

### **Non-payment of contributions**

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#### ***Directed to the Standing Committee***

15.2 The Standing Committee is mandated to monitor the payments of contributions from Parties in arrears and to assist the Secretariat in ensuring that future contributions are paid by the due date.

Completed. See document SC61 Summary record item 10.3.

#### ***Directed to the Secretariat***

15.3 The Secretariat shall continue to monitor Parties that are in arrears of the payment of their financial contributions to the Convention by:

- a) sending reminders twice yearly, with copies to the permanent missions in Geneva;
- b) visiting the permanent missions in Geneva of the Parties in arrears for three or more years; and
- c) seeking assistance from regional representatives to the Standing Committee in following up with Parties concerned.

### **Cooperation between Parties and promotion of multilateral measures**

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#### ***Directed to the Standing Committee***

14.29 The Standing Committee shall continue the Working Group on Multilateral Measures, established at its (Rev. 57th meeting, which, operating by electronic means, should: CoP15)

- a) review and, if necessary, revise any consultancy report produced under Decision 14.30 (Rev. CoP15);
- b) organize, with the help of the Secretariat, a meeting with representation from all CITES regions to discuss the above report; and
- c) based on the report of the meeting mentioned above, consider the need to draft for consideration at the 16th meeting of the Conference of the Parties any revised or new resolutions.

Underway. See document SC62 Sum. 4 item 15. The Committee recommends that the working group continues until CoP17.

#### ***Directed to the Secretariat***

14.30 The Secretariat shall, if external funds are made available for the purpose: (Rev. CoP15)

- a) hire a consultant to prepare a report on ways to assess whether:
  - i) the Resolutions of the Conference of the Parties are implemented by all Parties as consistently as possible and whether there is a need to clarify, revise or repeal them; and
  - ii) the scope for multilateral CITES processes that reduce the need by Parties for recourse to stricter domestic measures and reservations should be further developed; and

- b) assist the Standing Committee in organizing the meeting mentioned in Decision 14.29 (Rev. CoP15).

## **CITES and livelihoods**

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### ***Directed to the Standing Committee***

- 15.5 The Standing Committee shall continue the operation of its Working Group on CITES and Livelihoods so that it can:
- a) revise the draft resolution contained in Annex 1 to document CoP15 Doc. 14 and make specific recommendations at the 16th meeting of the Conference of the Parties;
  - b) finalize the toolkit for the rapid assessment at the national level of the positive and negative impacts of implementing CITES listing decisions on the livelihoods of the poor, in conformity with Resolution Conf. 8.3 (Rev. CoP13);
  - c) finalize the voluntary guidelines for Parties to address the negative impacts, in order to assist Parties in developing local, national and regional initiatives that take account of the impacts of implementing CITES listing decisions on the livelihoods of the poor; and
  - d) prepare a final version of the tools and guidelines for review and endorsement by the Standing Committee at its 62nd meeting.

The Working Group shall continue to work electronically through a forum available on the CITES website. If funding is available, consideration may be given to holding one or more meetings, if possible in regions more likely to be affected by the outcome.

The process shall not include consideration of the criteria for amendment of the Appendices or the requirement to make non-detriment findings.

- 15.6 The Standing Committee shall present a report at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Decision 15.5.

**Completed. See document SC61 Summary record item 18 and document SC62 Sum. 10 (Rev. 1) item 16.**

### ***Directed to the Secretariat***

- 15.7 On completion of the draft guidelines and toolkit by the Working Group, the Secretariat shall make the draft documents available on its website for comments by Parties, stakeholders and interested organizations, and shall invite such comments via a Notification to the Parties. The comments shall be made available to the Working Group for consideration in the development of revised draft documents to be submitted for approval at the 61st meeting of the Standing Committee.

## **National wildlife trade policy reviews**

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### ***Directed to the Secretariat***

- 15.8 The Secretariat shall report at the 62nd meeting of the Standing Committee and at the 16th meeting of the Conference of the Parties on the progress made with regard to the implementation of Resolution Conf. 15.2 on *Wildlife trade policy reviews*.

## **Rules of Procedure of the Animals and Plants Committees**

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### ***Directed to the Standing Committee***

- 15.9 Considering that members of the Animals and Plants Committees serve in a personal capacity, the Standing Committee shall review the need for the Rules of Procedure of those Committees to deal

with potential conflicts of interest of its members relating to their activities in the Committees, and shall report on this matter at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 8. The Committee agreed that there was no need for the rules to address the issue.

## Post-2010 biodiversity targets

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### *Directed to the Standing Committee*

15.10 The Standing Committee shall review the adopted post-2010 biodiversity targets and, if necessary, make adjustments to the *CITES Strategic Vision: 2008-2013* as appropriate.

Completed. See document SC61 Summary record item 14.1 and document SC62 Sum. 2 (Rev. 1) item 13. The committee requested to forward the revised document to CoP16.

## Biodiversity Indicators Partnership

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### *Directed to the Secretariat*

15.11 The Secretariat shall continue to provide its services as a key indicator partner in the Biodiversity Indicators Partnership, consulting the Animals, Plants and Standing Committees where necessary, and shall report on its work in this regard at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Sum 3 item 15.2

## Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES)

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### *Directed to the Animals and Plants Committees and the Secretariat*

15.12 Without taking a position about the necessity for, or nature of, such a Platform, the Chairs of the Animals and Plants Committees and the Secretariat shall, subject to external funding, participate in discussions concerning a possible IPBES, to provide all necessary input into the process of IPBES and to ensure that the role of CITES receives due recognition. The Chairs of the Animals and Plants Committees and the Secretariat shall report to the Standing Committee to seek additional guidance.

### *Directed to the Secretariat*

15.13 The Secretariat shall work with the United Nations Environment Programme to identify possible sources of external funding to support the participation called for in Decision 15.12.

### *Directed to the Standing Committee*

15.14 The Standing Committee shall report at the 16th meeting of the Conference of the Parties concerning the IPBES.

Completed. See document SC61 Summary record item 15.6 (Rev. 1) and document SC62 Sum. 10 (Rev. 1) item 14.5.

## Climate change

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### *Directed to the Animals and Plants Committees*

15.15 Given the implications of climate change for science-based decision-making, the Animals and Plants Committees shall identify the scientific aspects of the provisions of the Convention and of Resolutions

of the Conference of the Parties that are actually or likely to be affected by climate change, report their findings, and make recommendations for further action in relation to the Convention and to Resolutions of the Conference of the Parties as appropriate, at the 62nd meeting of the Standing Committee.

***Directed to the Secretariat***

15.16 The Secretariat shall request from the secretariats of other multilateral environmental agreements information on their activities that may be linked to climate change and CITES, and report to the Animals and Plants Committees and the Standing Committee.

***Directed to the Standing Committee***

15.17 The Standing Committee shall consider the reports of the Animals and Plants Committees and the Secretariat and report at the 16th meeting of the Conference of the Parties.

Completed. See document SC62 Sum. 8 item 18.

## **Food and Agriculture Organization of the United Nations (FAO)**

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***Directed to the Standing Committee***

15.18 The Standing Committee shall analyse the current Memorandum of Understanding (MoU) between CITES and FAO, determine the cooperative scheme between CITES and FAO on forestry issues, and ensure that future cooperation between FAO and CITES is carried out under the framework of the MoU.

Underway. See document SC62 Sum. 2 (Rev. 1) item 14.4. Working group established to report at the 63<sup>rd</sup> meeting of the Standing Committee.

## **Review of Resolutions**

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***Directed to the Standing Committee***

14.19 The Standing Committee should review any proposals from the Secretariat to correct non-substantive errors or minor editorial faults in current Resolutions and decide whether they should be referred to the Conference of the Parties. In cases where the Committee agrees with the proposals and considers that they need not be referred to the Conference, it may instruct the Secretariat to republish the Resolutions with the necessary corrections.

Completed. See document SC61 Summary record item 22 and document SC62 Sum. 5 item 22.

## **Criteria for the inclusion of species in Appendices I and II**

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***Directed to the Secretariat***

15.28 The Secretariat shall:

- a) prepare a report that will summarize its experience in applying criterion Annex 2 a B and the introductory text to Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to some or all of the commercially exploited aquatic species that were proposed for inclusion on Appendix II at the 13th, 14th and 15th meetings of the Conference of the Parties, highlighting any technical difficulties or ambiguous issues encountered, including, where appropriate, illustrations of these matters by comparison with application of the criteria to other species;
- b) request IUCN/TRAFFIC and the Food and Agriculture Organization of the United Nations to each prepare a report, subject to the availability of external funding, with the same requirements as the report referred to in paragraph a) above; and

- c) submit its report and any received under paragraph b) above for consideration at the 25th meeting of the Animals Committee.

#### ***Directed to the Animals Committee***

15.29 The Animals Committee shall:

- a) on receipt of any or all of the reports referred to in Decision 15.28, and having sought the participation of representative(s) of the Plants Committee, IUCN, TRAFFIC, the Food and Agriculture Organization of the United Nations and other appropriate experts, develop guidance on the application of criterion B and the introductory text of Annex 2 a of Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species proposed for inclusion on Appendix II;
- b) recommend the best way to incorporate the guidance for use when applying Resolution Conf. 9.24 (Rev. CoP15) to commercially exploited aquatic species, without affecting the application of Resolution Conf. 9.24 (Rev. CoP15) to other taxa; and
- c) submit its conclusions and recommendations at the 62nd meeting of the Standing Committee.

#### ***Directed to the Standing Committee***

15.30 The Standing Committee shall:

- a) consider the report and recommendations submitted by the Animals Committee under Decision 15.29 together with comments or other input that the Food and Agriculture Organization of the United Nations may wish to submit; and
- b) submit the Animals Committee's recommendations, together with its comments, at the 16th meeting of the Conference of the Parties.

Completed. See document SC62 Sum. 8 item 39. The Committee supported the proposal to maintain the criteria, while Parties should explain their rationale when referring to the criteria.

### **Review of Significant Trade**

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13.67 The Conference of the Parties has adopted the *Terms of reference for an evaluation of the Review of Significant Trade* contained in Annex 1 to these Decisions. (Rev. CoP14) [NB: Annex 1 includes the statement, "The Chairman of the Animals or Plants Committee may submit an interim report to the Standing Committee when appropriate and considered useful."]

Completed. See document SC61 Summary record item 28 and document SC62 Sum. 8 item 27.

### **National laws for implementation of the Convention**

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#### ***Directed to Parties***

- 15.38 Parties should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures which have been adopted for effective implementation of the Convention.
- 15.39 Any Party which has not adopted appropriate measures for effective implementation of the Convention should submit to the Secretariat a justification for not having done so.

#### ***Directed to the Standing Committee***

15.40 The Standing Committee shall review at its 61st and 62nd meetings the progress of Parties in adopting appropriate measures for the effective implementation of the Convention.

Completed. See document SC61 Summary record item 23 and document SC62 Sum. 5 item 23.

### ***Directed to the Secretariat***

15.41 The Secretariat shall:

- a) compile and analyse the information submitted by Parties on measures adopted before the 16th meeting of the Conference of the Parties (CoP16) to fulfil the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15);
- b) provide, to the extent resources are available, legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention, including legislative guidance for and training of CITES authorities, legal drafters, policy makers, the judiciary, parliamentarians and other relevant government officials responsible for the formulation and adoption of CITES-related legislation;
- c) cooperate, in the provision of legislative assistance, with the legal programmes of United Nations bodies and intergovernmental organizations such as UNEP, the Food and Agriculture Organization, the World Bank and the Organization of American States;
- d) report at the 61st and 62nd meetings of the Standing Committee on Parties' progress in adopting adequate measures and, if necessary, recommend the adoption of appropriate compliance measures, including suspension of trade;
- e) identify for the Standing Committee any countries that require attention as a priority under the National Legislation Project; and
- f) report at CoP16 on the progress made with regard to the implementation of Resolution Conf. 8.4 (Rev. CoP15) and Decisions 15.38, 15.39, 15.40 and 15.41.

## **National reports**

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### ***Directed to the Standing Committee***

14.37 The Standing Committee, with the assistance of its Working Group on Special Reporting (Rev. Requirements and the Secretariat, shall:  
CoP15)

- a) continue its review of the recommendations to Parties to provide special reports under the Convention, assess whether they have been or might be effectively incorporated into the annual and biennial reports, and consider how the biennial report format might be revised to facilitate such incorporation;
- b) revise the standard biennial report format to gather information from Parties on incentive measures for implementation of the Convention, conservation measures for Appendix-I listed species and case studies on user fees;
- c) by its 61st meeting (SC61), follow up on how the reporting required in the indicators for the *CITES Strategic Vision: 2008-2013* would be undertaken and, by SC62, begin applying the indicators; and
- d) report at the 16th meeting of the Conference of the Parties on its conclusions and recommendations.

Completed. See document SC61 Summary record item 24 (Rev. 1) and document SC62 Sum. 5 item 24. The working group has also been mandated to continue its work until CoP17.

### ***Directed to the Secretariat***

14.38 The Secretariat shall:  
(Rev.  
CoP15)

- a) continue to collaborate with the secretariats of other conventions, UNEP and other bodies in order to facilitate the harmonization of knowledge management and reporting;

- b) identify additional ways to reduce the reporting burden on Parties, *inter alia*, in the context of Party-led initiatives, its ongoing review of the Resolutions and Decisions of the Conference of the Parties, its support to the Standing Committee on electronic permitting and its work with partners to compile and analyse CITES-related reports; and
- c) report at the 16th meeting of the Conference of the Parties on the results of this work.

## Reporting on trade in artificially propagated plants

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### ***Directed to the Secretariat***

14.39 The Secretariat shall, subject to available funding, in consultation with the UNEP World Conservation (Rev. Monitoring Centre: CoP15)

- a) conduct a survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II, e.g. regarding the degree of completeness and detail;
- b) identify cases where the compilation of trade data pertaining to artificially propagated plants of taxa included in Appendix II has contributed to a significant extent to the detection of illegal trade or to any other analysis related to the conservation of wild flora;
- c) taking into consideration the results of paragraphs a) and b) above, analyse the Convention text and Resolutions in order to identify binding and non-binding elements of reporting, with special emphasis on artificially propagated plants of taxa included in Appendix II. The Secretariat shall list options for streamlining such reporting; and
- d) report on its findings to the Plants Committee prior to its 20th meeting.

### ***Directed to the Plants Committee***

14.40 The Plants Committee shall, after considering the report of the Secretariat: (Rev. CoP15)

- a) determine whether there are any taxa of Appendix-II plants artificially propagated for which detailed reporting is less valuable; and
- b) report its findings to the Standing Committee at its 62nd meeting.

### ***Directed to the Standing Committee***

14.41 The Standing Committee shall: (Rev. CoP15)

- a) taking into consideration the findings of the Plants Committee, determine whether it is possible to streamline the reporting of trade in artificially propagated Appendix-II plants; and
- b) report on its findings at the 16th meeting of the Conference of the Parties and submit draft wording to amend Resolutions concerned, where appropriate.

Completed. Work continue through revised or new decisions by CoP16.

## Gathering and analysis of data on illegal trade

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### ***Directed to the Secretariat***

15.42 The Secretariat shall establish an illegal trade database working group to:

- a) design and implement a database to be used by the Parties and the Secretariat for the gathering and analysis of data related to illegal trade in specimens of CITES-listed species:

- b) seek external funding to enable the group to conduct its activities, assisted by a relevant consultant if appropriate; and
- c) report to the Standing Committee at its 61st and 62nd meetings on the progress of the working group.

#### ***Directed to the Standing Committee***

15.43 The Standing Committee shall consider the reports of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); or
- b) requesting the Secretariat to prepare a report for consideration at CoP16.

Completed. At SC61 the SC agreed that it was impracticable for the Secretariat to maintain an illegal trade database. See Summary Record, item 31. The Working Group on Special Reporting Requirements was asked to consider this issue and has produced a report for CoP16.

## **Illegal trade in great apes**

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#### ***Directed to the Secretariat***

15.44 The Secretariat shall seek external funding to undertake, in conjunction with GRASP, ICPO-INTERPOL and the World Customs Organization, technical missions to a limited number of gorilla range States. The Secretariat shall report to the Standing Committee on the outcome of the missions.

#### ***Directed to the Standing Committee***

15.45 The Standing Committee shall consider the report of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); and
- b) requesting the Secretariat to prepare a report for consideration at CoP16.

Completed. See document SC61 Summary record item 40 and document SC62 Sum. 7 item 42.

## **Asian big cats**

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#### ***Directed to Parties, especially tiger range States***

15.46 All Parties, but particularly tiger range States, should submit, by 30 June 2010, information relating to incidents of poaching of and illegal trade in tigers that have occurred within their territory since the beginning of 2007. Information should be submitted using the Ecomessage format distributed in Notification to the Parties No. 2009/028 of 22 July 2009. Ecomessage forms for each incident should be submitted to the CITES Secretariat or to the General Secretariat of ICPO-INTERPOL via INTERPOL National Central Bureaus.

#### ***Directed to the Secretariat***

15.47 The Secretariat shall collaborate with ICPO-INTERPOL to undertake an analysis of the information received from Parties. Two reports, one for public consumption and the other solely for the law enforcement community, should be prepared. The public document will be posted on the CITES website, whilst the other will be circulated in a restricted fashion to relevant enforcement agencies. The Secretariat shall report on this matter at the 61st meeting of the Standing Committee and make any relevant recommendations as a result of the analysis.

- 15.48 The Secretariat shall seek funds to convene, as soon as possible, a seminar involving senior-level Customs and police officers from tiger range States, to brief them on the threatened status of this species, particularly the impact wildlife crime has upon it. The officials shall also be briefed by the Secretariat regarding the Global Tiger Summit, planned under the Global Tiger Initiative, so that the law enforcement community throughout tiger range States is prepared to engage in efforts to safeguard this species and respond to measures adopted at the Summit. The Secretariat shall collaborate with ICPO-INTERPOL, the United Nations Office on Drugs and Crime and the World Customs Organization in preparing the seminar. The Secretariat shall report on the outcomes of the seminar at the 61st meeting of the Standing Committee.

***Directed to the Standing Committee***

- 15.49 At its 61st meeting, the Standing Committee shall consider the report of the Secretariat and determine what actions are necessary as a result of the analysis and the seminar. This could include instructing the Secretariat to convene the CITES Tiger Enforcement Task Force or CITES Enforcement Expert Group to consider further action.

Completed. See document SC61 Summary record item 41 and document SC62 Sum. 6 item 43.

## **Introduction from the sea**

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***Directed to the Standing Committee***

14.48 The Standing Committee shall:

(Rev.

- CoP15) a) extend operation of the Working Group on Introduction from the Sea, established at SC57, with the understanding that it shall continue to work primarily through electronic means, to consider a definition for 'transportation into a State', clarification of the term 'State of introduction' and the process for issuing a certificate of introduction from the sea as well as other issues identified for further consideration in the final report of the CITES Workshop on Introduction from the Sea Issues (Geneva, 30 November – 2 December 2005) and the final report of the meeting of the working group held in Geneva from 14 to 16 September 2009;
- b) include in the working group representatives of CITES authorities and fishery authorities from each of the six CITES regions and request the participation of and input from the United Nations Division for Ocean Affairs and the Law of the Sea, the Food and Agriculture Organization of the United Nations, regional fishery bodies, the fishing industry, and intergovernmental organizations and non-governmental organizations with CITES and fishery expertise; and
- c) ask the working group to prepare a discussion paper and draft revised resolution for consideration by the Standing Committee at its 62nd meeting and for consideration at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 32 and document SC62 Sum. 6 item 31.

***Directed to the Secretariat***

- 15.50 The Secretariat shall, contingent on the availability of external funding, convene two meetings of the working group before the 62nd meeting of the Standing Committee.

## **Electronic permitting**

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***Directed to the Standing Committee***

- 15.55 The Standing Committee shall extend the mandate of its Working Group on Information Technologies and Electronic Systems in order for it to perform the following tasks:

- a) gather information from Parties and relevant organizations and initiatives on new developments related to electronic permitting systems, and submit such information to the Secretariat for possible inclusion in the toolkit;
- b) collaborate with the Secretariat in updating the toolkit with new information related to the use of common information exchange formats, protocols and standards and electronic signatures;
- c) promote the development and use of electronic permitting systems among Parties;
- d) examine how electronic permitting can contribute to ensuring legal electronic commerce in specimens of CITES-listed species;
- e) invite relevant organizations with knowledge about electronic permitting systems to join the Working Group; and
- f) report to the Standing Committee at its regular meetings on the results of its work.

Completed. See document SC61 Summary record item 33 and document SC62 Sum. 6 item 32.

#### ***Directed to the Secretariat***

- 15.56 In collaboration with the Working Group on Information Technologies and Electronic Systems, the Secretariat shall, subject to external funding:
- a) update the CITES electronic toolkit according to new electronic permitting standards and norms;
  - b) work with relevant international organizations and initiatives related to electronic permitting systems to raise awareness of CITES business procedures and permitting requirements; and
  - c) organize capacity-building workshops to assist Parties in using the CITES electronic permitting toolkit to develop, implement or update electronic permitting systems.

### **Purpose codes on CITES permits and certificates**

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#### ***Directed to the Standing Committee***

- 14.54 (Rev. CoP15) The Standing Committee shall re-establish an intersessional joint working group to review the use of purpose-of-transaction codes by Parties, with the following terms of reference:
- a) the working group shall be composed of Parties from as many of the six CITES regions as possible, and appropriate intergovernmental and non-governmental organizations, with expertise in the issuance of CITES documents and use of purpose-of-transaction codes for evaluation within the permit issuance process and trade data analysis;
  - b) the working group shall, communicating through electronic media, focus on clearly defining purpose-of-transaction codes to encourage their consistent use, and consider the possible elimination of current codes or the inclusion of new ones;
  - c) in evaluating the use and definition of purpose-of-transaction codes, the working group should take into account any difficulties of implementation by Parties and the potential resource implications of inclusion of any new codes or deletion of current purpose-of-transaction codes; and
  - d) the working group should submit a report and any recommendations for amendments to Resolution Conf. 12.3 (Rev. CoP15), or to any revision thereof, at the 62nd meeting of the Standing Committee, which shall report, with its recommendations, at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 34 and document SC62 Sum. 33.

## Physical inspection of timber shipments

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### *Directed to the Standing Committee*

14.61 The Standing Committee, with the support of the Secretariat, and in consultation with Parties and (Rev. relevant intergovernmental organizations, shall consider the outcome of the working group on physical CoP15) inspection of timber shipments at its 61st meeting, to identify best practices and capacity-building measures that may be implemented with technical and/or financial support from donors, and report at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Sum 10 (Rev. 1) item 35 and document SC62 Sum. 6 (Rev. 1) item 36.

## Use of taxonomic serial numbers

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### *Directed to the Standing Committee*

15.68 The Standing Committee shall, at its 61st meeting (SC61), establish a working group, in consultation with the nomenclature experts of the Animals and Plants Committees and the UNEP World Conservation Monitoring Centre, to:

- a) investigate the usefulness and feasibility of incorporating taxonomic serial numbers as an element of CITES data sets;
- b) report its findings at SC62; and
- c) if necessary, prepare a draft resolution for submission and consideration at the 16th meeting Conference of the Parties.

Completed. See document SC61 Summary record item 36.1 and document SC62 Sum. 6 (Rev. 1) item 37.

## Personal and household effects

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### *Directed to the Standing Committee*

14.64 The Standing Committee shall extend the operation of its Working Group on Personal and Household (Rev. Effects until the 16th meeting of the Conference of the Parties (CoP16) and oversee the Group's work CoP15) in fulfilling the following terms of reference:

- a) clarify the relationship between 'tourist souvenirs', 'hunting trophies' and 'personal and household effects';
- b) clarify the interpretation of Article VII, paragraph 3 (b), of the Convention;
- c) assess whether there are specific species or types of personal or household effects which, in view of conservation concerns, would require different treatment under Resolution Conf. 13.7 (Rev. CoP14);
- d) collate information about how each Party has implemented Resolution Conf. 13.7 (Rev. CoP14), particularly with regard to requirements for export permits, and assess whether this indicates the need to amend the Resolution; and
- e) report at each regular meeting of the Standing Committee until CoP16 and at CoP16.

Completed. See document SC61 Summary record item 38 and document SC62 Sum. 7 item 40.

## **Conservation of and trade in tigers and other Appendix-I Asian big cat species**

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### ***Directed to the Standing Committee***

15.70 The Standing Committee shall review and update the form and guidance contained in Annexes 1 to 3 in Resolution Conf. 12.5 (Rev. CoP15) and report on this matter at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 41 and document SC62 Sum. 6 (Rev. 1) item 43.

## **Conservation of and trade in African and Asian rhinoceroses**

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### ***Directed to the Secretariat***

15.71 The Secretariat shall:

- a) examine the implementation of Resolution Conf. 9.14 (Rev. CoP15) in those range States where illegal killing of rhinoceros poses a significant threat to populations of rhinoceros, particularly Zimbabwe and South Africa;
- b) examine progress with regards to curtailing illegal trade in rhinoceros parts and derivatives by implicated States, particularly Viet Nam; and
- c) report on the implementation of Resolution Conf. 9.14 (Rev CoP15) at the 61st, 62nd and 63rd meetings of the Standing Committee.

15.72 The Secretariat shall:

- a) facilitate, as a matter of urgency, and with others partners as appropriate, bilateral exchanges between key rhinoceros range States and rhinoceros horn consumer States, to improve wildlife enforcement cooperation efforts;
- b) report at the 61st and 62nd meetings of the Standing Committee (SC61 and SC62) on these efforts;
- c) seek funds to convene a joint CITES Ivory and Rhinoceros Enforcement Task Force. Besides the Secretariat, members should include the ASEAN Wildlife Enforcement Network Programme Coordination Unit, INTERPOL, the Lusaka Agreement Task Force, the United Nations Office on Drugs and Crime, the World Customs Organization and those Parties in Africa and Asia that are currently most affected by the smuggling of ivory and rhinoceros specimens. Priority should be given to including the following Parties: Cameroon, China, Ethiopia, Kenya, the Lao People's Democratic Republic, Mozambique, Nepal, the Philippines, South Africa, Thailand, the United Arab Emirates, the United Republic of Tanzania, Viet Nam and Zimbabwe. The Task Force should undertake an exchange of intelligence regarding smuggling of ivory and rhinoceros specimens and develop strategies for combating illegal trade; and
- d) report on the work of the Task Force at SC61.

### ***Directed to the Standing Committee***

15.73 At its 61st and 62nd meetings, the Standing Committee shall consider the reports of the Secretariat requested under Decision 15.72 and determine what further actions, if any, are necessary.

Completed. See document SC61 Summary record item 45.2 and document SC62 Sum. 7 (Rev. 1) item 47.

## Elephant conservation

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13.26 The Conference of the Parties adopted the *Action plan for the control of trade in elephant ivory* (Rev. attached as Annex 2 to these Decisions. [NB: Implementation of the *Action plan* requires consultation CoP15) with the Standing Committee and reporting at each regular meeting.]

### ***Directed to Parties, intergovernmental organizations and non-governmental organizations***

14.76 Parties, trading countries, the ivory carving industry, intergovernmental organizations, non-governmental organizations and other donors are called upon to contribute significantly to the African Elephant Fund for the implementation of the *African elephant action plan* and the programme for Monitoring the Illegal Killing of Elephants (MIKE) to ensure their establishment and maintenance.

### ***Directed to the Standing Committee***

14.77 The Standing Committee, assisted by the Secretariat, shall propose for approval at the latest at the 16th meeting of the Conference of the Parties a decision-making mechanism for a process of trade in ivory under the auspices of the Conference of the Parties.

Underway. See document SC61 Summary record item 44.4 and document SC62 Sum. item 46.4.

### ***Directed to the Secretariat***

14.78 In preparation for the 61st and 62nd meetings of the Standing Committee, pending the necessary (Rev. external funding, the Secretariat shall:  
CoP15)

- a) produce an updated analysis of MIKE data, pending the availability of adequate new MIKE data;
- b) invite TRAFFIC to submit an updated analysis of ETIS data and UNEP-WCMC to provide an overview of the latest elephant trade data;
- c) invite the IUCN/SSC African and Asian Elephant Specialist Groups to submit any new and relevant information on the conservation status of elephants, and on pertinent conservation actions and management strategies; and
- d) invite the African elephant range States to provide information on progress made in the implementation of the *African elephant action plan*.

On the basis of the information specified above, the Secretariat shall recommend actions for consideration by the Standing Committee.

14.79 The Secretariat shall establish an African Elephant Fund that will be applied to the implementation of (Rev. the *African elephant action plan*.  
CoP15)

The Secretariat shall establish a steering committee consisting of representatives of the range States and donors to govern the African Elephant Fund and to support and advise African elephant range States on the implementation of the Action Plan.

The Steering Committee shall decide on the organization of the administration of the fund.

## Trade in elephant specimens

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### ***Directed to the Standing Committee***

15.74 The Standing Committee shall, in consultation with African and Asian elephant range States and the Secretariat, evaluate the need to revise Resolution Conf. 10.10 (Rev. CoP15) and present a summary of the consultations and its proposals in this regard at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 44.5 and document SC62 Sum. 4 item 46.5.

## Snake trade and conservation management

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### ***Directed to the Secretariat***

- 15.75 The Secretariat shall, subject to available funds, convene a technical workshop to consider the conservation priorities and management and enforcement needs related to the trade of snakes in Asia, with a particular focus on the markets and commercial trade in East, South, and Southeast Asia.
- a) The Secretariat shall invite the members of the Animals and Standing Committees, representatives from range, exporting and consumer states, and relevant inter-governmental and non-governmental organizations to participate in this workshop, to be held within 12 months of the conclusion of the 15th meeting of the Conference of the Parties.
  - b) The Secretariat shall contract with appropriate technical experts to prepare documents on Asian snake conservation, trade management, enforcement, and biology for the workshop and shall invite workshop participants to submit the same.
  - c) The findings and recommendations of this workshop should be reported by the Secretariat to the Animals and Standing Committees.

### ***Directed to the Animals Committee***

- 15.76 The Animals Committee shall consider the results of this workshop and provide their recommendations to the Standing Committee for its consideration.

### ***Directed to the Standing Committee***

- 15.77 The Standing Committee shall consider the recommendations by the Animals Committee and make its own recommendations at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 46.1 and document SC62 Sum. 9 (Rev. 1) item 48.

### ***Directed to Parties, non-governmental organizations, commercial traders, and donors***

- 15.78 Parties and non-Parties, inter-governmental organizations, non-governmental organizations, commercial traders, and donors are encouraged to provide funding to the Secretariat for this technical workshop.

## Tortoises and freshwater turtles

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### ***Directed to the Animals Committee***

- 15.79 The Animals Committee, at its 25th meeting, shall review the final study from the IUCN Species Survival Commission, Tortoise and Freshwater Turtle Specialist Group (IUCN-TFTSG) mentioned in Decision 14.128<sup>1</sup> and make recommendations to the Standing Committee and/or at the 16th meeting of the Conference of the Parties as appropriate.

### ***Directed to the Standing Committee***

- 15.80 The Standing Committee, taking into account the recommendations of the Animals Committee, shall review the pertinent sections, conclusions, and recommendations of the final study mentioned in Decision 14.128<sup>3</sup> and make its own recommendations at the 16th meeting of the Conference of the Parties.

Completed. See document SC61 Summary record item 47 (Rev. 2) and document SC62 Sum. 9 item 49.

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<sup>1</sup> Note from the Secretariat: Decision 14.128 was deleted at the 15th meeting of the Conference of the Parties. It read as follows: "The Secretariat shall, subject to external funding, contract the Tortoise and Freshwater Turtle Specialist Group of the IUCN Species Survival Commission to undertake a study which would assist in the implementation of Resolution Conf. 11.9 (Rev. CoP13)."

## Humphead wrasse

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### *Directed to Parties*

15.86 All Parties are urged to:

- a) consider adopting appropriate stricter domestic measures under the remit of the legislation of the Party, including limiting the international trade in humphead wrasse to be conducted by air only, to strengthen the control and enforcement of the Appendix-II listing for the species;
- b) improve monitoring of trade in humphead wrasse, especially through inspections of boxes of mixed live reef fish by exporting, re-exporting and importing countries;
- c) exchange law enforcement information of relevance to humphead wrasse with other relevant Parties, and regularly compile and forward to the Secretariat for distribution a comprehensive summary of Convention violations for the species;
- d) increase awareness of the CITES listing for humphead wrasse, including by improving identification capacity among law enforcement officers through the use of training and educational materials;
- e) facilitate discussion on practicable and acceptable options for action to be taken in case of illegally imported/confiscated live fish; and
- f) provide information to the Secretariat on actions taken to implement this Decision, in order to inform the work of the Humphead Wrasse Working Group to be established by the Standing Committee pursuant to Decision 15.87.

### *Directed to the Standing Committee*

15.87 The Standing Committee shall, contingent on the availability of external funding, establish a Humphead Wrasse Working Group, which is to:

- a) review the actions taken by relevant Parties to implement this decision;
- b) develop and recommend to the Standing Committee further options for improving control and enforcement in relation to the international trade in humphead wrasse, and ensuring the effectiveness of the Appendix-II listing of the species; and
- c) report back and recommend appropriate follow-up actions at the 16th meeting of the Conference of the Parties to support this initiative.

Completed. See document SC61 Summary record item 49 and document SC62 Sum. 9 (Rev. 1) item 51.

### *Directed to the Secretariat*

15.88 The Secretariat is requested:

- a) to assist Parties in the capacity-building activities outlined in paragraph d) of Decision 15.86; and
- b) where necessary, to provide assistance or advice to Parties to address the handling of confiscated live humphead wrasse.

## Bushmeat

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### *Directed to the Central Africa Bushmeat Working Group*

14.73 The Central Africa Bushmeat Working Group is encouraged to collaborate with the Convention on Biological Diversity and the Food and Agriculture Organization of the United Nations in its work and is

invited to draw to the attention of the Standing Committee and/or the Conference of the Parties to any matters relating to the implementation of Resolution Conf. 13.11.

14.74 The Central Africa Bushmeat Working Group is encouraged to continue its work, also by collaborating with the Convention on Biological Diversity Liaison Group on non-timber forest resources, and to report to the Standing Committee on progress made in implementing national action plans relating to the trade in bushmeat and other initiatives it takes regarding this subject. A report on the subject of bushmeat should be submitted at the 16th meeting of the Conference of the Parties.