

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Sixty-fifth meeting of the Standing Committee
Geneva (Switzerland), 7-11 July 2014

Interpretation and implementation of the Convention

Compliance and enforcement

NATIONAL LAWS FOR IMPLEMENTATION OF THE CONVENTION

1. This document has been prepared by the Secretariat.

Background

2. The National Legislation Project (NLP) is a compliance and technical assistance process unique to CITES, which was established in 1992. Its primary aim is the analysis of Parties' enabling and implementing legislation against the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15) on *National laws for implementation of the Convention*.
3. Indicator 1.1.1 of the *CITES Strategic Vision: 2008-2020* is the number of Parties that are in Category 1 under the NLP, reflecting the importance that Parties have placed on the enactment of legislation for effective implementation of the Convention.

Progress made since SC63 and CoP16

4. Following discussion of the agenda item on national laws for implementation of the Convention at the 63rd meeting of the Standing Committee (SC63, Bangkok, March 2013), and on a proposal from the Chair, the Standing Committee requested the Secretariat "to produce a summary of the progress made and problems faced by each of the Parties involved, for consideration at SC65". The Secretariat has adjusted its legislative status chart to include this information and the updated chart is contained in the Annex to this document.
5. During Committee II discussions on national legislation at the 16th meeting of the Conference of the Parties (CoP16, Bangkok, 2013), it was suggested that Parties which have been party to the Convention for 20 years or more should have appropriate CITES-implementing measures in place by now and that increased emphasis needed to be placed on the enactment of legislation as it is an essential precursor to effective compliance with and enforcement of the Convention. The Conference thereafter adopted Decisions 16.33 – 16.38 on National laws for implementation of the Convention as follows:

Directed to Parties

- 16.33 *By the 66th meeting of the Standing Committee (SC66), Parties whose legislation is in Category 2 or 3 under the National Legislation Project and which have been party to the Convention for more than five years as of March 2013 should submit to the Secretariat, in one of the working languages of the Convention, appropriate measures that have been adopted for effective implementation of the Convention.*
- 16.34 *For any Party affected by Decision 16.33 that has been party to the Convention for less than 20 years, should exceptional circumstances prevent them from adopting appropriate measures for effective implementation of the Convention, that Party should advise the Secretariat in writing of those exceptional circumstances by SC66.*

16.35 Parties whose legislation is in Category 1 under the National Legislation Project are encouraged to provide technical or financial assistance to one or more Parties whose legislation is in Category 2 or 3 under the National Legislation Project.

Directed to the Standing Committee

16.36 The Standing Committee shall review at its 65th, 66th and 67th meetings the progress of Parties in adopting appropriate measures for effective implementation of the Convention.

16.37 At its 66th meeting, the Standing Committee shall recommend a suspension of commercial trade in specimens of CITES-listed species with those Parties affected by Decision 16.33 that have failed to adopt appropriate measures for the effective implementation of the Convention. This recommendation shall take effect 60 days after the conclusion of SC66. Should exceptional circumstances prevent any Party affected by Decision 16.33 that has been party to the Convention for less than 20 years from enacting legislation by SC66, the Standing Committee shall review the situation and determine appropriate measures for addressing it. Any Party affected by Decision 16.33 that has been party to the Convention for 20 years or more and has failed to adopt appropriate measures or agree an appropriate legislative timetable with the Secretariat by SC66 will be subject to recommendations to suspend trade. The Standing Committee will not make recommendations to suspend trade where a Party has submitted its final draft or an appropriate legislative timetable to the Secretariat by the SC66 deadline and it is pending action from the Secretariat.

Directed to the Secretariat

16.38 The Secretariat shall:

- a) compile and analyse the information submitted by Parties on measures adopted before the 17th meeting of the Conference of the Parties (CoP17) to fulfil the requirements laid down in the text of the Convention and Resolution Conf. 8.4 (Rev. CoP15);
- b) subject to external funding, provide legal advice and assistance to Parties on the development of appropriate measures for effective implementation of the Convention, including legislative guidance for and training of CITES authorities, legal drafters, policymakers, the judiciary, parliamentarians and other relevant government officials responsible for the formulation and adoption of CITES-related legislation;
- c) cooperate, in the provision of legislative assistance, with the legal programmes of United Nations bodies and intergovernmental organizations, such as the Food and Agriculture Organization of the United Nations, UNEP, the World Bank and regional development banks, as well as regional organizations such as the Africa, Caribbean and Pacific Secretariat, the Amazon Cooperation Treaty Organization, the Association of South East Asian Nations, the League of Arab States, the Organization of American States and the Pacific Regional Environment Programme;
- d) report at the 65th, 66th and 67th meetings of the Standing Committee on Parties' progress in adopting appropriate measures for effective implementation of the Convention and, if necessary, recommend the adoption of appropriate compliance measures, including recommendations to suspend trade;
- e) identify for the Standing Committee any countries that require attention as a priority under the National Legislation Project; and
- f) report at CoP17 on progress made with regard to the implementation of Resolution Conf. 8.4 (Rev. CoP15) and Decisions 16.33-16.38.

Compilation and analysis of legislative information

6. The updated legislative status chart contained in the Annex to this document provides a summary of the legislative information compiled and analysed by the Secretariat to date. The chart is divided into the following six sections: (i) Parties with legislation in Category 1; (ii) countries identified as requiring attention as a priority; (iii) Parties with legislation in Category 2 or 3, which have been party to the Convention for 20 years or more; (iv) Parties with legislation in Category 2 or 3, which have been party to the Convention for

- less than 20 years but more than five years; (v) Parties which have been party to the Convention for 5 years or less; and (vi) dependent territories.
7. The following information provided for each Party or dependent territory whose legislation has not yet been placed in Category 1: Party or dependent territory; entry into force of the Convention (only for Parties); Category; Plan; Draft; Submitted for enactment; Progress summary; and Next steps/needs.
 8. Parties identified as requiring attention as a priority have been included not only in section (ii) but also section (iii) or (iv), as appropriate, in order to clearly indicate how they are affected by Decision 16.37. The Secretariat has not identified for the Standing Committee, at this time, any additional countries that require attention as a priority.
 9. In accordance with Decisions 16.33 and 16.37, Parties included in section (iii) or (iv) will be subject to recommendations to suspend commercial trade in specimens of CITES-listed species if they have failed to adopt appropriate measures or agree an appropriate legislative timetable with the Secretariat by the 66th meeting of the Standing Committee (SC66, Geneva, August 2015). In accordance with Decision 16.34, a Party included in section (iv) should advise the Secretariat in writing before SC66 of any exceptional circumstances which prevent it from adopting appropriate measures for effective implementation of the Convention.
 10. Parties included in section (v) are not affected by Decision 16.37.
 11. Shortly after the present meeting, the Secretariat will write to individual Parties affected by compliance measures under Decisions 16.33, 16.34 and 16.37 urging them to submit information to the Secretariat, at least 60 days before SC66, on the adoption of appropriate measures for effective implementation of the Convention, an appropriate legislative timetable which might be agreed with the Secretariat or, where relevant, any exceptional circumstances which prevent them from adopting appropriate measures for effective implementation of the Convention.

Legislation and enforcement

12. Legislation is key to effective wildlife trade controls. The significance of ensuring timely legislative incorporation of amendments to the CITES Appendices was recently drawn to the attention of many Parties and the Secretariat in connection with the legal authority needed to tackle (in source, transit and destination countries) the illegal trade in rosewood from Madagascar. As indicated in document SC65 Doc. 48.1 on Malagasy ebonies and rosewoods [see paragraphs 15-17 and the recommendation contained in paragraph 23 e)], the Secretariat has asked a number of Parties whether they have yet incorporated into their legislation the amendments to the Appendices adopted at CoP16. These consultations have shown that such incorporation has not yet occurred in some jurisdictions and therefore adequate legal authority is lacking to prevent illegal movements of rosewood, to seize illegal shipments and to prosecute relevant offenders. This gap has highlighted the importance of including in CITES-implementing legislation a mechanism for incorporating within the relevant 90-day period amendments to Appendices I and II adopted by the Conference of the Parties (pursuant to Article XV of the Convention) or species submitted by an individual Party for inclusion in Appendix III (pursuant to Article XVI of the Convention).
13. In addition to the above, the Secretariat has highlighted in paragraphs 8 and 30 of document SC65 Doc. 38 on Asian big cats the crucial necessity for Parties to have appropriate legislation in place to enforce the provisions of the Convention.

Legal advice and assistance

Secretariat

14. With external funds provided by the European Union and Japan, the Secretariat was able to undertake legislative assistance missions to Dominica, Grenada, Lesotho, Mozambique, Saint Lucia, Saint Vincent and the Grenadines, Swaziland and Trinidad and Tobago. The Secretariat is grateful to the Management Authorities in each of these countries for their technical and logistical support and kind hospitality. During each mission, the CITES Management Authority organized individual or joint meetings with government lawyers, sectoral agencies, law enforcement officials or other interested stakeholders. The Secretariat was particularly glad for the opportunity to meet with legislative drafters and public prosecutors in a number of countries. A similar mission has been requested by and is planned in Angola, with external funds provided by the United Kingdom of Great Britain and Northern Ireland. Such missions to Lusophone countries also

seem to be of interest to Guinea-Bissau, Cape Verde and Sao Tome and Principe, if funds can be identified.

15. The availability of external funds from the European Union and Japan also enabled the Secretariat to conclude small scale funding agreements (SSFAs) with the CITES Management Authorities in the Maldives, Mozambique and Suriname under which a local legal consultant could assist them with a review of existing legislation, the preparation of draft legislation, the organization of consultations with relevant agencies or other stakeholders and the incorporation of comments into revised draft legislation. Similar SSFAs are under discussion with Lesotho and Saint Vincent and the Grenadines and will soon be initiated with Saint Lucia, Trinidad and Tobago, Dominica and Grenada, as well as other Parties which have made formal requests for legislative assistance. Although every government has the in-house expertise to prepare legislation, the legal drafting offices in many governments are understaffed and burdened with a large number of pending requests. A modest amount of financial assistance can help these governments secure the services of known local lawyers with drafting expertise. Following the preparation of finalized draft legislation under an SSFA, CITES Management Authorities usually have the human resources to submit and promote such legislation for enactment.
16. National legislation is one of the priority matters addressed in the Secretariat's communications with and provision of implementation assistance to new Parties.
17. To date, regional legislative workshops or a series of country missions have been organized for francophone Africa, Anglophone Africa, North and Sahelian Africa, Latin America, the Caribbean and Southeast Asia. Two CITES workshops in the Himalayan region on medicinal plant trade offered an opportunity to address CITES legislation. Most recently, the Secretariat was able to discuss CITES legislation with national government officials during a regional workshop in Central America on strengthening the capacity of CITES authorities (Panama City, May 2013), in the margins of a regional workshop in Central Africa on anti-money laundering and financial crime tools to combat poaching organized by the World Bank (Libreville, August 2013) and a national seminar on boosting legal capacity to tackle different types of illicit trade organized by INTERPOL (Asuncion, June 2014).
18. Oral and written comments on draft or enacted legislation continue to be provided by the Secretariat and discussed with requesting Parties. A number of bilateral discussions on revised legislative analyses, which could result in legislation being placed in Category 1, are underway.
19. The Regulatory Services and Knowledge Management and Outreach Services teams in the Secretariat are collaborating on the use of electronic and other technological means to enhance and monitor the delivery of legislative assistance. Secretariat is planning to hire a short-term consultant to assist it with finalizing a website section on legislation, concluding additional small scale funding agreements for legislative assistance and progressing bilateral legislative consultations with Parties more rapidly. If any Party has the ability to second a lawyer to the Secretariat to assist with implementation of the NLP, preferably someone with English and French language skills, this would be much appreciated.

Bilateral assistance

20. In the context of a training programme on "Prevention of Illegal Wildlife Trade in Oceania", New Zealand provided CITES legislation assistance to Samoa, the Solomon Islands and Palau during the period 2010-2013. The United States of America has provided recent support to Chile and Gabon in their efforts to develop CITES-implementing legislation. The Secretariat supplied the donor and recipient countries mentioned above with technical backstopping help (e.g. copies of earlier draft legislation or examples of other CITES legislation).

UN and IGO assistance

21. Earlier this year, UNODC advised the Secretariat that it wished to contract a local consultant and an international consultant to assist it with the review of wildlife and forest crime legislation and enforcement structures in the United Republic of Tanzania. The Secretariat sought UNEP's assistance in identifying a local legal expert in the United Republic of Tanzania and his contact details were shared with UNODC. UNDP is also initiating a wildlife law enforcement project in the United Republic of Tanzania, and the Secretariat provided a briefing on the National Legislation Project when a UNDP representative visited its offices. UNDP, UNODC and CITES will continue to liaise and coordinate on their legislation-related work in the country. In December 2013, in the margins of an ICCWC workshop, UNODC briefed the Secretariat on its SHERLOC (SHaring Electronic Resource and Laws against Organized Crime) database and mentioned

that wildlife and forest crime was one of the crime types covered by the system. The Secretariat indicated that it would be very interested in sharing relevant legislative and case law information for inclusion in SHERLOC and identified other potential sources of such information that might be tapped by UNODC.

22. CITES legislation is a key item for analysis and potential follow-up action in countries which have implemented the ICCWC Wildlife and Forest Crime Analytic Toolkit (e.g Bangladesh) or plan to implement the ICCWC Toolkit (e.g. Angola, Gabon, Nepal). Part one of the ICCWC Toolkit addresses legislation relevant to wildlife and forest offences and other illicit activities.
23. Discussions are ongoing with the Food and Agriculture Organization of the United Nations (FAO) on how best to join forces with their Development Law Service in countries of mutual interest or concern regarding legislative drafting assistance. The aim is to identify opportunities to take advantage of each other's work or to plan joint work in specific countries, using available funds.
24. The Asian Development Bank (ADB) is undertaking wildlife law enforcement projects in several interested countries and has agreed to support, where needed, efforts to develop CITES-implementing legislation. In this context, the Secretariat briefed two ADB colleagues on the National Legislation Project during a recent teleconference and provided suggestions about possible local and regional legal consultants.

Other assistance

25. The Secretariat understands that IUCN has been providing legislative drafting assistance to Afghanistan, Pakistan and Palau.
26. A representative of the 'Stop Ivory' initiative visited the Secretariat and was given a briefing on the National Legislation Project, in connection with their planned work to provide interested Parties with certain legislative assistance.

Support to prosecutors and the judiciary

27. As mentioned above, the Secretariat has been able to discuss CITES with prosecutors during many of its recent country missions.
28. In addition, the Secretariat has participated in the following events: a workshop on legal standards for forensic use of DNA barcode data (Pretoria, June 2013); an Amazonian Cooperation Treaty Organization (ACTO) workshop for judges and prosecutors (Lima, July 2013); the regional workshop in Central Africa on anti-money laundering and financial crime tools to combat poaching mentioned in paragraph 17 above; a meeting of South America environmental prosecutors (Buenos Aires, August 2013) organized by the Secretariat of the Basel Convention; a meeting of Eurojust (European prosecutors' network) which considered wildlife crime for the first time (The Hague, November 2013); a meeting of the Central America prosecutors' network (San José, December 2013); an ICCWC workshop on enforcement indicators, including the legal and legislative aspects of such work (Vienna, December 2013); and the INTERPOL seminar on boosting legal capacity to tackle different types of illicit trade mentioned in paragraph 17 above. The Secretariat was unfortunately unable to participate in a meeting on CITES for Central America judges organized with support from the United States Department of Interior (Panama City, June 2014).
29. The Gabon and Paraguay meetings mentioned in paragraphs 17 and 31 above showed the importance of ensuring that wildlife crime is treated as serious crime, with associated penalties, and is expressly included in legislation related to organized crime, financial crime, asset recovery and special investigative techniques such as controlled deliveries, the use of undercover agents and communication surveillance. In this connection, on 25 July 2013, the United Nations Economic and Social Council (ECOSOC) adopted Resolution 2013/40 which encourages Member States to make illicit trafficking in protected species of wild fauna and flora involving organized crime groups a serious crime. The adoption of the Resolution (which stemmed from a recommendation adopted at the 22nd meeting of the UN Crime Commission held in Vienna during April 2013) was an important step in ensuring that the full force of the UN Convention against Transnational Organized Crime can be applied to effectively tackle transnational organized wildlife and forest crime.

Recommendations

30. The Secretariat recommends that the Standing Committee take note of the information contained in this report and a related oral update at the present meeting.
31. The Standing Committee may wish to stress the need for individual Parties affected by compliance measures under Decisions 16.33, 16.34 and 16.37 to submit information to the Secretariat, at least 60 days before SC66, on the adoption of appropriate measures for effective implementation of the Convention.
32. The Secretariat further recommends that the Standing Committee encourage donor States and organizations to direct any technical or financial assistance they might be able to provide to Parties which are shown in the Annex to have an identified or possible need for drafting assistance, and that a preference be given to enabling the relevant CITES Management Authority to contract a local legal expert for such work.

**STATUS OF LEGISLATIVE PROGRESS FOR IMPLEMENTING CITES
(UPDATED ON 30 JUNE 2014)**

PARTIES WITH LEGISLATION IN CATEGORY 1

Argentina	Lithuania
Australia	Luxembourg
Austria	Madagascar
Bahamas	Malaysia
Barbados	Malta
Belgium	Mexico
Brazil	Monaco
Brunei Darussalam	Namibia
Bulgaria	Netherlands
Cambodia	New Zealand
Cameroon	Nicaragua
Canada	Nigeria
China	Norway
Colombia	Panama
Costa Rica	Papua New Guinea
Croatia	Peru
Cuba	Poland
Cyprus	Portugal
Czech Republic	Qatar
Democratic Republic of the Congo	Republic of Korea
Denmark	Romania
Dominican Republic	Russian Federation
Egypt	San Marino
El Salvador	Saudi Arabia
Equatorial Guinea	Senegal
Estonia	Singapore
Ethiopia	Slovakia
Fiji	Slovenia
Finland	South Africa
France	Spain
Germany	Sweden
Greece	Switzerland
Guatemala	Thailand
Honduras	Turkey
Hungary	Ukraine
Iceland	United Arab Emirates
Indonesia	United Kingdom of Great Britain and Northern Ireland
Iran (Islamic Republic of)	United States of America
Ireland	Uruguay
Italy	Vanuatu
Jamaica	Viet Nam
Japan	Yemen
Latvia	Zimbabwe
Liechtenstein	

Table 1: Parties requiring attention as a priority

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Algeria	21.02.1984	2	Yes	Yes		Draft legislation prepared; revised draft legislation soon to be submitted to Secretariat	Finalization and submission of draft legislation
Belize	21.09.1981	3	Yes	Yes		Draft legislation prepared; draft now being revised with input from SA	Finalization and submission of draft legislation
Bolivia (Plurinational State of)	04.10.1979	2	Yes	Yes		Comprehensive draft legislation prepared but no recent information on status	Finalization and submission of draft legislation; possible need for drafting assistance
Comoros	21.02.1995	3	Yes	Yes		Draft legislation prepared; earlier legal drafting team was dissolved due to personnel changes and is being re-established in relevant Ministry; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Djibouti	07.05.1992	3	Yes	No		Previous engagement with Permanent Mission in Geneva and Ministry of Environment but not MA; offer of Secretariat mission accepted but no date set and no recent information on status	Preparation of draft legislation; additional political engagement and drafting assistance needed
Guinea-Bissau	14.08.1990	3	Yes	Yes		Draft legislation prepared; current priority seems given to forest policy and legislation; formal request for assistance; Secretariat mission planned earlier, as part of support to Lusophone countries, but MZ and AO placed ahead	Finalization and submission of draft legislation, drafting assistance needed
Kazakhstan	19.04.2000	2				Enabling and implementing legislation enacted	Agreement between KZ and Secretariat on revised legislative analysis, including possible Category 1 status
Kenya	13.03.1979	2				Enabling wildlife legislation enacted	Agreement between KE and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status; internal or external drafting assistance seems available

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Liberia	09.06.1981	3	Yes	Yes	Yes?	New wildlife legislation reported in media as submitted to Parliament - no copy yet provided to Secretariat	Provision of any submitted legislation to Secretariat; enactment, followed by agreement between LR and Secretariat on revised legislative analysis
Mauritania	11.06.1998	3	Yes	No		Commitment to prepare draft legislation; formal request for assistance	Preparation of draft legislation; drafting assistance needed
Mozambique	23.06.1981	2	Yes			CITES-specific legislation enacted; text reviewed by MZ and Secretariat; subsequent written Secretariat comments identified gaps; small-scale funding agreement concluded between MZ and Secretariat	Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation
Pakistan	19.07.1976	3				CITES law enacted at federal level; implementing regulations under development with external assistance	Agreement between PK and Secretariat on revised legislative analysis
Paraguay	13.02.1977	2				Enabling and implementing legislation enacted; texts reviewed by PY and Secretariat	Agreement between PY and Secretariat on revised legislative analysis, including need for penalization and confiscation provisions to achieve Category 1 status
Rwanda	18.01.1981	3	Yes	Yes		Wildlife Policy adopted; earlier draft legislation was to be aligned with Policy and submitted in late 2013 but no recent information on status	Finalization and submission of final draft legislation
Somalia	02.03.1986	3	Yes	No		List of CITES-relevant legislation provided earlier; formal request for assistance	Preparation of draft legislation; drafting assistance needed
United Republic of Tanzania	27.02.1980	2	Yes	Yes		CITES legislation enacted for Tanzania mainland; comprehensive draft legislation prepared for Zanzibar; draft reviewed by TZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; related consultations scheduled between officials from TZ mainland and Zanzibar	Finalization and submission of draft legislation for Zanzibar; internal and external drafting assistance seems available, if needed

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Venezuela (Bolivarian Republic of)	22.01.1978	2	Yes	Yes		Draft CITES-implementing legislation to have been enacted in December 2013 but no recent information on status	Submission of draft legislation or enactment, followed by agreement between VZ and Secretariat on revised legislative analysis

Table 2: Parties with legislation in Category 2 or 3, which had been party to the Convention for twenty years or more as of March 2013

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Afghanistan	28.01.1986	3	Yes	Yes		Enabling legislation (environmental) enacted; implementing regulations were prepared and later revised but no recent information on status	Finalization and submission of draft legislation; external drafting assistance seems available, if needed
Algeria	21.02.1984	2	Yes	Yes		Draft legislation prepared; revised draft legislation should be submitted to Secretariat soon	Finalization and submission of draft legislation
Bangladesh	18.02.1982	2				Enabling legislation enacted; implementing regulations under consideration	Agreement between BD and Secretariat on revised legislative analysis, including need for implementing regulations to achieve Category 1 status
Belize	21.09.1981	3	Yes	Yes		Draft legislation prepared earlier; text now being revised with input from SA	Finalization and submission of draft legislation
Benin	28.05.1984	2	Yes	Yes		Draft legislation prepared but no recent information on status	Finalization and submission of draft legislation; drafting assistance possibly needed
Bolivia (Plurinational State of)	04.10.1979	2	Yes	Yes		Comprehensive draft legislation prepared but no recent information on status	Finalization and submission of draft legislation; possible need for drafting assistance
Botswana	12.02.1978	2	Yes	Yes		CITES legislation for terrestrial wildlife and for plants enacted; draft amendments to wildlife act, covering fish species, shared with Secretariat for comments	Review by BW and Secretariat of fish-related provisions of draft wildlife legislation and agreement on any needed revisions; finalization and submission of draft legislation
Burkina Faso	11.01.1990	2	Yes	No		Commitment to draft legislation but no recent information on status	Preparation of draft legislation; drafting assistance possibly needed
Burundi	06.11.1988	3				CITES legislation enacted	Agreement between BI and Secretariat on revised legislative analysis
Central African Republic	25.11.1980	3	Yes	No		Commitment to draft legislation but no recent information on status	Preparation of draft legislation; drafting assistance possibly needed
Chad	03.05.1989	2	Yes	Yes		Draft legislation prepared; information on status requested during recent visit of TD delegation to Secretariat	Finalization and submission of draft legislation; drafting assistance possibly needed

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Chile	01.07.1975	2	Yes	Yes	Yes	Draft legislation prepared and submitted to Parliament; parliamentary status recently reviewed and decision taken to revise/update bill before progressing it to enactment	Revision of submitted legislative bill and enactment, followed by agreement between CL and the Secretariat on revised legislative analysis
Congo	01.05.1983	2	Yes	Yes		Draft legislation prepared but no recent information on status; copies of CITES-relevant legislation provided to Secretariat (protected domestic species, wildlife and protected areas, forests)	Finalization and submission of draft legislation; drafting assistance possibly needed
Djibouti	07.05.1992	3	Yes	No		Previous engagement with Permanent Mission in Geneva and Ministry of Environment but not MA; offer of Secretariat mission accepted but no date set and no recent information	Preparation of draft legislation; additional political engagement and drafting assistance needed
Ecuador	01.07.1975	2	Yes	Yes		Draft legislation prepared; CITES workshop organized in the country and follow-up underway	Finalization and submission of draft legislation; additional political engagement needed, particularly as constitution now under review/revision and this may affect progress
Gabon	14.05.1989	2	Yes	No		Commitment to draft legislation; existing legislation reviewed by GA and Secretariat; legislative guidance material and examples provided by Secretariat	Preparation of draft legislation; internal and external drafting assistance seems available
Gambia	24.11.1977	2	Yes	Yes		Draft legislation prepared but no recent information on status	Finalization and submission of draft legislation; drafting assistance possibly needed
Ghana	12.02.1976	3	Yes	Yes		Comprehensive draft and revised draft legislation prepared; personnel changes have caused delay in submission for enactment; media article indicates government is reviewing forest and wildlife policy, which may have implications for draft legislation	Finalization and submission of draft legislation; drafting assistance possibly needed

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Guinea	20.12.1981	2	Yes	Yes		Draft legislation prepared but no recent information on status; enactment of legislation is key element in current CITES compliance matter concerning Guinea	Finalization and submission of draft legislation; drafting assistance possibly needed
Guinea-Bissau	14.08.1990	3	Yes	Yes		Draft legislation prepared but current priority on forest policy and legislation; formal request for assistance; Secretariat mission planned earlier, as part of support to Lusophone countries, but MZ and AO placed ahead	Finalization and submission of draft legislation, drafting assistance needed
Guyana	25.08.1977	2	Yes	Yes		Comprehensive draft and revised draft legislation prepared; recent enactment of domestic wildlife management and conservation regulations (under environmental protection law); enactment of CITES legislation is to follow	Finalization and submission of draft legislation
India	18.10.1976	2				Enabling and implementing legislation enacted	Agreement between IN and Secretariat on revised legislative analysis, including possible Category 1 status
Israel	17.03.1980	2				Enabling and implementing legislation enacted	Agreement between IL and Secretariat on revised legislative analysis, including possible Category 1 status
Jordan	14.03.1979	2				CITES legislation enacted; text reviewed by JO and Secretariat and agreed that an expert legal translation into English would be prepared to allow clarification of several matters	Agreement between JO and Secretariat on revised legislative analysis, including possible Category 1 status
Kenya	13.03.1979	2				Enabling wildlife legislation enacted	Agreement between KE and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status; internal or external drafting assistance seems available

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Liberia	09.06.1981	3	Yes	Yes	Yes?	New wildlife legislation reported in media as submitted to Parliament - no copy yet provided to Secretariat	Provision of any submitted legislation to Secretariat; enactment, followed by agreement between LR and Secretariat on revised legislative analysis
Malawi	06.05.1982	2	Yes	Yes		Comprehensive draft legislation prepared but no recent information on status	Finalization and submission of draft legislation
Mauritius	27.07.1975	2	Yes	Yes		Comprehensive draft legislation prepared; text reviewed by MU and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; further development underway	Finalization and submission of draft legislation
Morocco	14.01.1976	2	Yes	Yes		Comprehensive CITES enabling legislation enacted; implementing legislation under development	Finalization and submission of draft implementing legislation
Mozambique	23.06.1981	2	Yes			CITES-specific legislation enacted; text reviewed by MZ and Secretariat; subsequent written Secretariat comments identified gaps; small-scale funding agreement concluded between MZ and Secretariat	Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation
Nepal	16.09.1975	3	Yes	Yes	Yes	Draft legislation prepared and submitted several years ago but still pending enactment	Review by NP and Secretariat and possible revision/updating of submitted legislation might facilitate its enactment
Niger	07.12.1975	3	Yes	Yes		Comprehensive draft legislation prepared; text reviewed by NE and Secretariat; no recent information on status	Finalization and submission of draft legislation; drafting assistance possibly needed
Pakistan	19.07.1976	3				CITES law enacted at federal level; implementing regulations under development with external assistance	Agreement between PK and Secretariat on revised legislative analysis
Paraguay	13.02.1977	2				Enabling and implementing legislation enacted; texts reviewed by PY and Secretariat	Agreement between PY and Secretariat on revised legislative analysis, including need for penalization and confiscation provisions to achieve Category 1 status

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Philippines	16.11.1981	2				CITES enabling and implementing legislation enacted	Agreement between PH and Secretariat on revised legislative analysis, including possible Category 1 status
Rwanda	18.01.1981	3	Yes	Yes		Wildlife Policy adopted; earlier draft legislation was to be aligned with Policy and submitted in late 2013 but no recent information on status	Finalization and submission of final draft legislation
Saint Lucia	15.03.1983	2	Yes	Yes		CITES enabling legislation enacted; implementing legislation still under development; legislative status and gaps reviewed by LC and Secretariat; formal request for assistance	Finalization and submission of draft implementing legislation; drafting assistance needed
Saint Vincent and the Grenadines	28.02.1989	2	Yes	Yes		Comprehensive draft legislation prepared; existing and draft legislation reviewed by VC and Secretariat; formal request for assistance; draft small scale funding agreement under review by VC	Under draft SSFA local legal consultant to review existing legislation, prepare new draft legislation to address gaps, assist with national consultative process and prepare final draft legislation
Seychelles	09.05.1977	3	Yes	Yes		A number of draft legislative texts have been prepared; most recently, CITES was to be included in draft biodiversity legislation but no recent information on status	Finalization and submission of draft legislation
Somalia	02.03.1986	3	Yes	No		List of CITES-relevant legislation provided earlier; formal request for assistance	Preparation of draft legislation; drafting assistance needed
Sri Lanka	02.08.1979	3	Yes	Yes		Draft and comprehensive revised draft legislation prepared and provided to legal drafters; text reviewed by LK and Secretariat and points for clarification identified; no recent information on status	Finalization and submission of draft legislation
Sudan	24.01.1983	2	Yes	Yes	Yes	Draft legislation submitted to parliament several years ago but no recent information on status; personnel changes have occurred in MA and situation needs to be revisited	Review by SD and Secretariat and possible revision/updating of submitted legislation might facilitate its enactment

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Suriname	15.02.1981	2	Yes	Yes		Final draft legislation developed under small scale funding agreement between SR and Secretariat; under consideration for submission	Enactment, followed by agreement between SR and Secretariat on revised legislative analysis
Togo	21.01.1979	2				Enabling and implementing legislation enacted	Agreement between TG and Secretariat on revised legislative analysis
Trinidad and Tobago	18.04.1984	2	Yes	Yes		Comprehensive draft legislation prepared; existing and draft legislation reviewed by TT and Secretariat; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Tunisia	01.07.1975	2	Yes	Yes		Comprehensive draft and revised draft legislation prepared; text reviewed by TN and Secretariat; government legal advisor now reviewing text	Finalization and submission of draft legislation
Uganda	16.10.1991	3	Yes	Yes	Yes	Wildlife Policy adopted; draft legislation aligned with policy and submitted to Cabinet	Enactment, followed by agreement between UG and Secretariat on revised legislative analysis
United Republic of Tanzania	27.02.1980	2	Yes	Yes		CITES legislation enacted for Tanzania mainland; comprehensive draft legislation prepared for Zanzibar; draft reviewed by TZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; related consultations scheduled between officials from TZ mainland and Zanzibar	Finalization and submission of draft legislation for Zanzibar; internal and external drafting assistance seems available, if needed
Venezuela (Bolivarian Republic of)	22.01.1978	2	Yes	Yes		Comprehensive draft CITES-implementing legislation to have been enacted in December 2013 but no recent information on status	Submission of draft legislation or enactment, followed by agreement between VZ and Secretariat on revised legislative analysis
Zambia	22.02.1981	2	Yes	No		CITES legislation enacted; subsequent review by ZM and Secretariat identified certain legislative gaps; ZM has been developing draft legislation to address gaps but no recent information on status	Finalization and submission of draft supplemental legislation

Table 3: Parties with legislation in Category 2 or 3, which had been party to the Convention for less than twenty years and more than five years as of March 2013

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Albania	25.09.2003	3				CITES legislation enacted; no English translation provided to Secretariat	Translation of legislation into one of CITES working languages (assistance possibly needed) and agreement between AL and Secretariat on revised legislative analysis
Antigua and Barbuda	06.10.1997	3	Yes	Yes		Comprehensive draft legislation prepared but no recent information on status	Finalization and submission of draft legislation; drafting assistance possibly needed
Armenia	21.01.2009	3				CITES legislation enacted; no English translation provided to Secretariat	Translation of legislation into one of CITES working languages (assistance possibly needed) and agreement between AL and Secretariat on revised legislative analysis
Azerbaijan	21.02.1999	2				CITES legislation enacted; English translation provided to Secretariat	Agreement between AZ and Secretariat on revised legislative analysis, including possible Category 1 status
Belarus	08.11.1995	2				Enabling and implementing legislation enacted; English translation provided to Secretariat	Agreement between BY and Secretariat on revised legislative analysis, including possible Category 1 status
Bhutan	13.11.2002	3	Yes	Yes		Enabling legislation (forest and wildlife) enacted; review by BT and Secretariat of existing and planned legislation; draft implementing legislation was to be developed but personnel changes have prompted additional internal review in BT	Agreement by BT and Secretariat on legislative analysis of existing legislation and need for supplemental legislation
Bosnia and Herzegovina	21.04.2009	3	No	No		Commitment to prepare draft legislation; formal request for assistance	Preparation of draft legislation; drafting assistance needed
Cape Verde	08.11.2005	3	Yes	No		Commitment to prepare draft legislation; formal request for assistance; one of target countries for Secretariat assistance to Lusophone countries	Preparation of draft legislation; drafting assistance needed
Comoros	21.02.1995	3	Yes	Yes		Draft legislation prepared; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Côte d'Ivoire	19.02.1995	3	Yes	Yes		Draft legislation prepared; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Dominica	02.11.1995	3	Yes	Yes		Draft legislation prepared; existing and draft legislation reviewed by DM and Secretariat; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Eritrea	22.01.1995	2	Yes	Yes		Draft legislation prepared several years ago but no recent information on status	Finalization and submission of draft legislation
Georgia	12.12.1996	2				Enabling and implementing legislation enacted	Agreement between GE and Secretariat on revised legislative analysis, including possible Category 1 status
Grenada	28.11.1999	3	Yes	Yes		Draft legislation prepared; existing and draft legislation reviewed by GD and Secretariat; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Kazakhstan	19.04.2000	2				Enabling and implementing legislation enacted	Agreement between KZ and Secretariat on revised legislative analysis, including possible Category 1 status
Kuwait	10.11.2002	2	Yes	Yes		CITES legislation enacted; subsequent review by KW and Secretariat identified certain gaps; additional legislation developed; subsequent written Secretariat comments on draft and supporting material provided; currently in process of enactment	Enactment, followed by agreement between KW and Secretariat on revised legislative analysis including possible Category 1 status
Kyrgyzstan	02.09.2007	2				CITES legislation enacted	Agreement between KG and Secretariat on revised legislative analysis, including possible Category 1 status
Lao People's Democratic Republic	30.05.2004	3				CITES legislation enacted	Agreement between LA and Secretariat on revised legislative analysis

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Lesotho	30.12.2003	3	Yes	No		Enabling legislation (environmental) enacted; external legislative assessment; review by LS and Secretariat of existing CITES-related legislation; formal request for assistance; draft outline of small scale funding agreement under consideration by LS	Conclusion of SSFA for legal consultant (local, if possible) to update past reviews of existing legislation, prepare new draft legislation to address gaps, assist with national consultative process and prepare final draft legislation
Libya	28.04.2003	3	Yes	Yes		Draft legislation prepared but no English translation yet provided to Secretariat	Upon receipt of English translation, LY and Secretariat should review draft and agree on any revisions needed to finalize it for submission
Mali	16.10.1994	2	Yes	No		Commitment to prepare draft legislation but no recent information on status	Preparation of draft legislation; drafting assistance possibly needed
Mauritania	11.06.1998	3	Yes	No		Commitment to prepare draft legislation; formal request for assistance	Preparation of draft legislation; drafting assistance needed
Mongolia	04.04.1996	2				CITES legislation enacted; text reviewed by MN and Secretariat and points for clarification as well as need for implementing legislation were identified; no recent information on status	Agreement by MN and Secretariat on revised legislative analysis, including need for implementing legislation to achieve Category 1 status
Montenegro	03.06.2006	2				CITES legislation enacted	Agreement between ME and Secretariat on revised legislative analysis
Myanmar	11.09.1997	3				CITES legislation enacted	Agreement between MM and Secretariat on revised legislative analysis
Oman	17.06.2008	3	Yes	No		Commitment to prepare draft legislation but no recent information on status	Preparation of draft legislation
Palau	15.07.2004	3	Yes	Yes		Comprehensive revised draft legislation recently shared with Secretariat for comments	Provision of Secretariat comments; finalization and submission of draft legislation; internal and external drafting assistance seems available
Republic of Moldova	27.06.2001	2				CITES legislation enacted	Agreement between MK and Secretariat on revised legislative analysis, including possible Category 1 status

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Saint Kitts and Nevis	15.05.1994	2				CITES legislation enacted	Agreement between KN and Secretariat on revised legislative analysis, including possible Category 1 status
Samoa	07.02.2005	3	Yes	No		Draft legislation prepared and in process of submission; formal request for assistance	Finalization and submission of draft legislation; drafting assistance needed
Sao Tome and Principe	07.11.2001	3	Yes	No		Commitment to prepare draft legislation; formal request for assistance; one of target countries for Secretariat assistance to Lusophone countries	Preparation of draft legislation; drafting assistance needed
Serbia	03.06.2006	2				CITES legislation enacted	Agreement between RS and Secretariat on revised legislative analysis, including possible Category 1 status
Sierra Leone	26.01.1995	3	Yes	Yes		Wildlife Policy and Forest Policy adopted; amendments to related laws and regulations underway	Review/revision of draft legislation by SL and Secretariat; finalization and submission of draft legislation
Solomon Islands	24.06.2007	3	Yes	No		Commitment to prepare draft legislation; review of existing legislation underway	Preparation of draft legislation; drafting assistance possibly needed
Swaziland	27.05.1997	3	Yes	Yes		Comprehensive draft and revised draft legislation prepared; text reviewed by SZ and Secretariat; subsequent written Secretariat comments identified points for clarification and possible gaps; further development of legislation, with legal drafter, underway	Finalization and submission of draft legislation
Syrian Arab Republic	29.07.2003	3	Yes	Yes		Comprehensive draft legislation prepared and submitted to Prime Minister for discussion; English translation provided to Secretariat	Review/revision of draft legislation by SY and Secretariat; finalization and submission of draft legislation
The former Yugoslav Republic of Macedonia	02.10.2000	2				CITES legislation enacted	Agreement between MK and Secretariat on revised legislative analysis, including possible Category 1 status

Party	Entry into force of the Convention	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Uzbekistan	08.10.1997	2	Yes	Yes		Draft legislation prepared; currently under discussion; no English translation yet provided to Secretariat	Finalization and submission of draft legislation

**Table 4: Parties which had been party to the Convention
for five years or less as of March 2013**

Party	Entry into force	Category	Plan	Draft	Submitted for enactment	Progress summary	Next steps/needs
Angola	31/12/2013	p				Formal request for assistance; Secretariat legislative and technical assistance mission planned in 2014	Review by AO and Secretariat of existing CITES-related legislation; preparation of draft legislation elements to address any gaps; external funds available for review, drafting and internal consultation assistance
Bahrain	17/11/2012	p				Comprehensive draft legislation prepared and under internal discussion	Finalization and submission of draft legislation
Iraq	06/05/2014	p				Consultations between IQ and Secretariat are in early stages	Review by IQ and Secretariat of existing CITES-related legislation
Lebanon	26/05/2013	p				Consultations between LB and Secretariat are in early stages; possible Secretariat technical assistance mission for new Party	Review by LB and Secretariat of existing CITES-related legislation and agreement on legislative analysis
Maldives	12/03/2013	p				Legislation discussed during national CITES workshop; formal request for assistance; small scale funding agreement concluded between MV and Secretariat	Under SSFA, local legal consultant reviewing existing legislation, preparing new draft legislation to address gaps, assisting with national consultative process and preparing final draft legislation

Table 5: Dependent territories

Dependent territory	Category	Plan	Draft	Submitted for enactment	Dep.	Progress summary	Next steps/needs
American Samoa	1				US		
Anguilla	1				GB		
Aruba	2				NL	CITES legislation enacted	Agreement between NL and Secretariat on revised legislative analysis, including possible Category 1 status
Bailiwick of Guernsey	3	Yes	Yes		GB	Comprehensive enabling and implementing legislation prepared; submitted for Secretariat comments	Review by GB and Secretariat before finalization and submission of draft legislation
Bailiwick of Jersey	1				GB		
Bermuda	2	Yes	No		GB	Commitment to prepare draft legislation; CITES workshop for OTs and CDs held earlier this year; draft text should soon be submitted to the Secretariat for comments	Preparation of draft legislation
British Indian Ocean Territory	1				GB		
British Virgin Islands	2	Yes	Yes		GB	Draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway	Preparation and submission of final draft legislation
Cayman Islands	2	Yes	Yes		GB	Comprehensive draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway	Preparation and submission of final draft legislation
French Guiana	1				FR		
French Polynesia	2				FR	CITES legislation enacted	Agreement between FR and Secretariat on revised legislative analysis, including possible Category 1 status
Gibraltar	1				GB		

Dependent territory	Category	Plan	Draft	Submitted for enactment	Dep.	Progress summary	Next steps/needs
Greenland	2				DK	Comprehensive CITES legislation enacted; review by Greenland and Secretariat identified possible gaps; Greenland and Secretariat now reviewing the matter again	Agreement by Greenland and Secretariat on revised legislative analysis, including possible Category 1 status
Guadeloupe	1				FR		
Guam	1				US		
Hong Kong SAR	1				CN		
Isle of Man	1				GB		
Macao SAR	2	Yes	Yes	Yes	CN	Comprehensive draft legislation prepared and submitted for enactment but no recent information on status	Enactment, followed by agreement between Macao SAR and Secretariat on revised legislative analysis
Martinique	1				FR		
Montserrat	2	Yes	Yes		GB	Draft legislation prepared and under further development; CITES workshop for OTs and CDs held earlier this year; follow-up underway	Preparation and submission of final draft legislation
Netherlands Antilles	2				NL	CITES legislation enacted	Agreement between NL and Secretariat on revised legislative analysis, including possible Category 1 status
New Caledonia	2	Yes	Yes		FR	Draft legislation prepared but no recent information on status	Finalization and submission of draft legislation
Northern Mariana Islands	1				US		
Pitcairn Islands	1				GB		
Puerto Rico	1				US		
Réunion	1				FR		
Saint Helena, Tristan de Cunha and the Ascension Islands	2	Yes	Yes		GB	Comprehensive draft legislation prepared for Ascension Island; text submitted for Secretariat comments; draft legislation for Saint Helena soon to be submitted for Secretariat comments	Preparation of draft legislation for Saint Helena and Tristan de Cunha Island; finalization and submission of draft legislation for Ascension Island
Saint Pierre and Miquelon	1				FR		

Dependent territory	Category	Plan	Draft	Submitted for enactment	Dep.	Progress summary	Next steps/needs
Virgin Islands of the United States	1				US		
Wallis and Futuna Islands	2				FR	CITES legislation enacted	Agreement between FR and Secretariat on revised legislative analysis, including possible Category 1 status

Note: Turks and Caicos have developed draft legislation. The Convention will be extended to cover this dependent territory of the United Kingdom once it has enacted legislation which qualifies for Category-1 status.

Keys

- Category:**
- 1: legislation that is believed generally to meet all four requirements for effective implementation of CITES
 - 2: legislation that is believed generally to meet one to three of the four requirements for effective implementation of CITES
 - 3: legislation that is believed generally not to meet any of the four requirements for effective implementation of CITES
 - p: pending submission of legislation to the Secretariat
- shaded text:** changes since CoP16
- ***: translation required
- Plan:** CITES legislation plan submitted to the Secretariat
- Draft:** Draft legislation submitted to the Secretariat for comments
- Submitted for enactment:** Draft legislation submitted to Cabinet or parliament for enactment
- Entry into force of the Convention:** Date on which Party's adherence to the Convention took effect
- Dep.:** Two-letter ISO code of the State of which the territory is a dependency