

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Sixteenth meeting of the Conference of the Parties
Bangkok (Thailand), 3-14 March 2013

Interpretation and implementation of the Convention

Compliance and enforcement

NATIONAL REPORTS

1. This document has been prepared by the Standing Committee Working Group on Special Reporting Requirements¹, and the Secretariat, and has been approved by the Chair of the Standing Committee for submission to the present meeting. To facilitate its consideration by Parties, the document is presented in four parts:
 - Part 1 provides information on the submission and analysis of annual and biennial reports;
 - Part 2 provides information on implementation of Decision 14.37 (Rev. CoP15) – i.e. on special reporting requirements and Strategic Vision indicators² as well as the decision taken at the 61st meeting of the Standing Committee (SC61, Geneva, August 2011) to extend the remit of the Working Group on Special Reporting Requirements to include the gathering and analysis of data on illegal trade (pursuant to Decisions 15.42 and 15.43) and revised guidelines for the preparation and submission of annual reports;
 - Part 3 provides information on implementation of Decision 14.38 (Rev. CoP15) – i.e. on harmonisation of reporting and reduction of the reporting burden, and
 - Part 4 provides information on implementation of Decision 14.39 (Rev. CoP15) – i.e. on trade in artificially propagated plants of Appendix-II species.
2. This document proposes revisions to Resolution Conf. 4.6 (Rev. CoP14) (see Annex 1) and Resolution Conf. 11.17 (Rev. CoP14) (see Annex 2), revision of a number of previous Decisions (see Annex 3) and a number of new draft decisions (see Annex 4) for consideration at the present meeting based on work undertaken so far by the Working Group on Special Reporting Requirements and the Secretariat. It also requests comments and policy guidance on a proposal for a new report format (see Annex 5) to replace the existing biennial report.

Part 1. Submission, analysis and use of annual and biennial reports

3. Somalia has been subject to a recommendation to suspend trade for the non-submission of reports since 2002 (see Notification to the Parties No. 2006/074³ of 14 December 2006). The Secretariat and relevant authorities in Somalia have exchanged correspondence on this issue. The Secretariat is awaiting the submission of some additional information from Somalia, which would enable it to issue a Notification withdrawing the recommended trade suspension.

¹ *The geographical designations employed in this document do not imply the expression of any opinion whatsoever on the part of the CITES Secretariat or the United Nations Environment Programme concerning the legal status of any country, territory, or area, or concerning the delimitation of its frontiers or boundaries. The responsibility for the contents of the document rests exclusively with its author.*

² <http://www.cites.org/eng/disc/E-SV-indicators.pdf>

³ <http://www.cites.org/eng/notif/2006/E074.pdf>

4. In accordance with Resolution Conf. 11.17 (Rev. CoP14)⁴, and on the basis of reports provided by the Secretariat, the Standing Committee has continued to monitor Parties' submission of their national reports. In documents SC61 Doc. 24⁵ and SC62 Doc. 24.1⁶, the Secretariat provided information on the late submission and non-submission of annual reports to the 61st and 62nd meetings of the Standing Committee (SC61, Geneva, August 2011 and SC62, Geneva, July 2012). One Party was affected by a Standing Committee recommendation to suspend trade following SC61 and returned to compliance several months later. Following SC62, the Parties that were potentially affected by a Standing Committee recommendation to suspend trade had returned to compliance at the time of writing (October 2012).
5. In collaboration with the Secretariat and under its contract with the Secretariat to assist with trade monitoring, UNEP-WCMC is developing an Annual Report Upload Facility which would allow Parties to directly upload their national trade data into the CITES Trade Database⁷. Parties which take advantage of the Facility would be able to view the data they upload but not the data uploaded by other Parties. Nevertheless, all uploaded data would be incorporated into the CITES Trade Database which is accessible and searchable by all Parties. The Facility should assist Parties with their submission of annual reports and has been created to allow for all three CITES working languages. Ongoing work to develop an online prototype of a revised report format, to facilitate the submission of Parties' reports on their implementation of the Convention, is described in Part 2 of this document.
6. With external funds provided by the European Commission, the Secretariat contracted UNEP-WCMC to prepare a review and analysis of data extracted from the CITES Trade Database. The review is tentatively entitled "*Recent trends in international trade in Appendix II species*" and is expected to be made available to Parties by the present meeting.
7. For the 14th and 15th meetings of the Conference of the Parties (CoP14, The Hague, 2007 and CoP15, Doha, 2010), the Secretariat used external funds to contract UNEP-WCMC to prepare analyses of the biennial reports submitted by Parties (see documents CoP14 Inf. 15⁸ and CoP15 Inf. 43⁹). In view of the current low level of biennial report submission, no such analysis has been sought for the present meeting. Future analyses of the Parties' biennial reports are likely, however, once a revised report format has been agreed.

Part 2. Implementation of Decision 14.37 (Rev. CoP15)

8. At CoP15, the Conference adopted the following decision regarding reporting under the Convention and the Strategic Vision indicators:

Directed to the Standing Committee

14.37 *The Standing Committee, with the assistance of its Working Group on Special Reporting (Rev. Requirements and the Secretariat, shall:*
CoP15)

- a) *continue its review of the recommendations to Parties to provide special reports under the Convention, assess whether they have been or might be effectively incorporated into the annual and biennial reports, and consider how the biennial report format might be revised to facilitate such incorporation;*
- b) *revise the standard biennial report format to gather information from Parties on incentive measures for implementation of the Convention, conservation measures for Appendix-I listed species and case studies on user fees;*
- c) *by its 61st meeting (SC61), follow up on how the reporting required in the indicators for the CITES Strategic Vision: 2008-2013 would be undertaken and, by SC62, begin applying the*

⁴ <http://www.cites.org/eng/res/11/11-17R14C15.php>

⁵ <http://www.cites.org/eng/com/sc/61/E61-24.pdf>

⁶ <http://www.cites.org/eng/com/SC/62/E62-24-01.pdf>

⁷ <http://www.unep-wcmc-apps.org/citestrade/trade.cfm>

⁸ <http://www.cites.org/common/cop/14/inf/E14i-15.pdf>

⁹ <http://www.cites.org/common/cop/15/inf/E15i-43.pdf>

indicators; and

d) report at the 16th meeting of the Conference of the Parties on its conclusions and recommendations.

9. Parts (a) and (c) of Decision 14.37 (Rev. CoP15) have been implemented through the work reported below, and the results incorporated into a draft proposed report format, which focuses on collecting information for the Strategic Vision Indicators. Part (b) of the Decision has been implemented by integrating questions on these topics into the draft report format. The latest version of the draft report format is contained in Annex 5 of this paper. The present paper fulfils part (d) of the Decision.
10. The Working Group's mandate was extended at SC61 to include work on revising the *Guidelines for the preparation and submission of CITES annual reports* (SC61 Doc 25¹⁰) and on the gathering and analysis of data on illegal trade (SC61 Doc 31¹¹). Work undertaken on these topics was reported at SC62, and is summarised below.
11. The Standing Committee Working Group on Special Reporting Requirements began its work later than anticipated because of initial difficulties in agreeing on membership and a chair of the Group. At SC61, the Standing Committee agreed to the recommendation in a discussion document on national reports (document SC61 Doc 24¹²) and re-established the Working Group, chaired by the United Kingdom of Great Britain and Northern Ireland, with the following membership: Australia, Canada, China, Colombia, Kenya, Switzerland, UNEP-WCMC, the Species Survival Network, and Secretariat. Germany and the Environmental Investigation Agency joined the Working Group at SC62.
12. The Working Group has focused on the need to keep reporting simple and targeted, to ensure the burden on Parties is minimised. It has considered that reports should assist with implementation of and compliance with the Convention, and that they should be used - by Parties, Convention bodies, or the Secretariat - to take forward the Convention's mandate. In particular, reported information should be used to assist decision making at specific meetings of the Conference of the Parties, Standing Committee, Animals Committee or Plants Committee. The Working Group has also recognised linkages with other Working Groups – including the Working Group on Revision of the CITES Strategic Vision, the Working Group on the Gathering and Analysis of Data on Illegal Trade, and the Working Group on Information Technologies and Electronic Systems.
13. The Working Group on Special Reporting Requirements had its first meeting in the margins of SC61¹³, and a second meeting in the margins of SC62¹⁴, followed by a face-to-face and virtual meeting of a subset of the Group on 12 September 2012. These meetings enabled the Group to take forward work and to develop this paper for the present meeting, including the review and identification of ways to revise the report format for biennial reports. The Working Group has also conducted its business by email.
14. This paper follows on from the report of the Working Group to SC62 (see SC62 Doc. 24.2¹⁵, which was supported by six annexes). That report documented findings, recommendations and proposed next steps with respect to each of following four issues:
 - A. Review of reporting requirements and potential for revision of the biennial report format;
 - B. Strategic Vision indicators;
 - C. Reporting on illegal trade;
 - D. Annual report guidelines.
15. The same structure is used for this part of this paper, together with two further sections:
 - A. Timing and delivery of CITES reports;
 - B. Next steps for the Working Group.

¹⁰ <http://www.cites.org/eng/com/sc/61/E61-25.pdf>

¹¹ <http://www.cites.org/eng/com/sc/61/E61-31.pdf>

¹² <http://www.cites.org/eng/com/sc/61/E61-24.pdf>

¹³ <http://www.cites.org/eng/com/sc/61/com/E61-Com-03.pdf>

¹⁴ <http://www.cites.org/eng/com/SC/62/com/E62-Com-04.pdf>

¹⁵ <http://www.cites.org/eng/com/SC/62/E62-24-02.pdf>

A. Reporting requirements and the format of the biennial report

16. In advance of the first meeting of the Working Group, the United Kingdom of Great Britain and Northern Ireland developed a spreadsheet of reporting requirements contained within the text of the Convention and associated Resolutions, Decisions and Notifications. This was intended to serve as a resource to help the group see the breadth of requests for information that Parties face and to show the types of special reporting requests which have arisen over the years. The 42 reporting requirements described in the spreadsheet (e.g. their legal status, level of submission, etc.) were reviewed by the Working Group and presented as Annex 1 of document SC62 Doc. 24.2¹⁶. Further work since SC62 has identified a few requirements that were missing from the previous version of the spreadsheet – the total number identified is now 51. It is anticipated that the Working Group will make available the latest version of the spreadsheet as an information document at the present meeting.

17. With respect to reporting requirements and the format of the biennial report, the Working Group made the following recommendations (paragraph 40 of document SC62 Doc 24.2):

a) *The Plants Committee, Animals Committee, and relevant enforcement authorities, should be asked to review the spreadsheet of reporting requirements developed by the Working Group on special reporting requirements and provide advice on whether any of the requirements have now become outdated or otherwise unnecessary and can be considered for deletion.*

b) *The Secretariat should be requested to consolidate a list of reporting requirements currently valid after each Conference of the Parties (and possibly after each Animals Committee / Plants Committee / Standing Committee). The Secretariat should advise each Standing Committee on options to meet the reporting requirements – for example by:*

- i. making them part of the biennial report format;*
- ii. asking for information from specific Parties; or*
- iii. seeking resources for a contract to collate the information requested.*

c) *While recognising the primacy of the Conference of Parties, Standing Committee should consider taking an overview of reporting requirements at each of its meetings and identify if the reports requested are a good use of Convention (Party or Secretariat) resources, including if any of the reporting requirements listed in the spreadsheet of reporting requirements have become outdated or otherwise unnecessary and can be recommended for deletion. Standing Committee should also consider whether it can take a decision or if it should remit the discussion to the following Conference of Parties for decision.*

d) *Standing Committee should consider the opportunities making CITES reports online would offer, including the opportunities that this offers for questions to be focussed to particular Parties, and decide whether further work on this subject should be undertaken by the Working Group on special reporting requirements.*

18. At SC62, the Standing Committee agreed¹⁷ to the recommendations, noting the need to avoid any unnecessary burden on Parties and the Secretariat. As paragraph 15. f) of document SC62 Doc 24.2 underpinned some of the recommendations, the Standing Committee amended it to read:

When making special reporting requirements, consideration should be given to making those time-limited, where this is appropriate, to avoid the potential for increasing unnecessarily reporting burdens.

19. The concept of making special reporting requirements time limited is to ensure that the list of topics on which Parties are expected to report is kept current, and that the list of requirements is managed. Taking an overview of reporting requirements on a regular basis would allow the biennial report to become a more relevant document, with some issues being deleted and others added each time it is issued. Such an ongoing review and revision process would allow the report to serve the changing needs of the Convention and remain relevant to decision making processes and support the discussions at Standing Committee / Animals Committee / Plants Committee.

¹⁶ <http://www.cites.org/common/com/SC/62/E62-24-02-A1.pdf>

¹⁷ Item 24.2 in <http://www.cites.org/eng/com/SC/62/sum/E62-ExSum05.pdf>

20. After SC62, the Working Group discussed further the potential for the biennial report format to be revised to consolidate identified special reporting requirements (see Annex 1 to document SC62 Doc. 24.2) and to provide a link with data collection for the Strategic Vision indicators. This has led to the revised report format presented in Annex 5. The spreadsheet of reporting requirements is being extended to facilitate the review of requirements recommended by the Working Group at SC62, and to identify possible links between individual requirements and the Strategic Vision indicators, though it should be noted that some of these links require more consideration. Based on a preliminary analysis of the 51 reporting requirements, it is suggested that 28 may need to be reviewed by Animals Committee, 11 by Plants Committee, and 22 by Standing Committee. For some of the requirements it is necessary to request more than one of the committees to undertake a review, as the subject matter is relevant to the expertise and mandates of all of the committees. An illustrative set of reporting requirements has been listed under indicator 1.3.1 in the proposed new reporting format in Annex 5 to show the breadth of reporting requirements that Parties have agreed, and to show how the new format can act as a prompt to Parties to provide the information requested.
21. Consideration has also been given to how reporting could be facilitated by an online reporting tool. Such a tool could potentially make the links with the Strategic Vision easily accessible via a simple icon that could display the indicator relevant to each question. Equally, there are a number of questions which would benefit from a "help" section, to assist Parties in interpreting the questions and perhaps provide definitions or examples where necessary. This could also be easily achieved through an online system. It is hoped that a demonstration of such a tool will be possible as a side-event at the present meeting.
22. Further thought is needed on the potential for streamlining of regional reports to Plants, Animals and Standing Committees, and whether a single standard reporting format (or even a single report) would suffice. Thought could also be given to the necessity for such reports as they do not appear to support any CITES decision making process. It is anticipated that the Working Group will be able to provide further advice on this to the 65th meeting of the Standing Committee in 2014 (SC65).
23. It is suggested by the Working Group that the text amended by Standing Committee (see paragraph 18) should be incorporated into the proposed revision of Res. Conf 11.17 (Rev. CoP14) in Annex 2. Draft Decisions to take forward the review of reporting requirements recommended by the Working Group are proposed in Annex 4, together with further work for the Working Group.

B. Strategic Vision indicators

24. With respect to the Strategic Vision indicators, the Working Group made the following recommendations (see paragraph 41 of document SC62 Doc 24.2).
 - a) *The biennial report format should be rewritten by the Working Group on Special Reporting Requirements to focus more closely on the CITES Strategic Vision and data collection for the Strategic Vision Indicators.*
 - b) *Standing Committee should recommend to the Conference of the Parties how the Strategic Vision Indicators could be implemented; the Conference of the Parties should allocate appropriate resources for an annual or biennial update and publication process.*
25. At SC62, the Standing Committee agreed¹⁸ with these recommendations. The Working Group has subsequently rewritten the biennial report format under the structure of the Strategic Vision Indicators and presents it to CoP for consideration and comment (Annex 5 of this document). Note that changes to some of the Strategic Vision indicators have been proposed to make them fit better with circumstances which have changed since they were suggested, and to take account of changes in context – such as the development of the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit. These changes are highlighted by the use of coloured text and comments in Annex 5.
26. In Resolution Conf. 11.17 (Rev. CoP15), the Standing Committee is empowered to agree on changes to formats and guidelines for the preparation and submission of annual and biennial reports. The Working Group is therefore seeking input and policy guidance from the Conference, which will enable it to further develop and improve the outputs it has produced thus far and to submit them for consideration and possible adoption at SC65.

¹⁸ Item 13 in <http://www.cites.org/eng/com/SC/62/sum/E62-ExSum02.pdf>

27. At this meeting, the Conference is invited to consider the resources needed by the Secretariat for compilation, analysis and graphic presentation of information on the implementation of the Strategic Vision indicators. There is work to be done to establish what the scale of costs will be to go from the current list of indicators, to graphs and explanations of what those graphs mean. The graphic presentation of the Strategic Vision indicators is likely to involve a number of steps, from countries providing the raw information via their reports, to someone doing the necessary collation and analysis, and then making the results publicly available – either in a report or through a dedicated web page on the section on the CITES website dedicated to national reporting. As someone does that work, he or she is likely to come across definitional issues – such as how to represent the number of Parties that did not respond. Essentially, for each indicator a recipe to go from the ingredients to the finalised graph and interpretation is needed. The cost of doing this is likely to be greater the first time the indicators are published than subsequently, as not all of the definitional stages will need to be repeated. The Conference will also need to decide how this will be managed, taking account of capacity within the Secretariat and the relative priority of other work.
28. Further work is necessary to make linkages to the Aichi Targets within the Strategic Plan for Biodiversity 2011-2020¹⁹ (which was adopted at the 10th meeting of the Conference to the Parties of the Convention on Biological Diversity (Nagoya, 2010), and global biodiversity indicators. The Working Group intends to present a paper about this, including further proposals for amendment of the annual or biennial reports, at SC65.

C. Reporting illegal trade

29. At CoP15, the Conference adopted Decisions 15.42 and 15.43 (on gathering and analysis of data on illegal trade) which provide:

15.42 The Secretariat shall establish an illegal trade database working group to:

- a) design and implement a database to be used by the Parties and the Secretariat for the gathering and analysis of data related to illegal trade in specimens of CITES-listed species;*
- b) seek external funding to enable the group to conduct its activities, assisted by a relevant consultant if appropriate; and*
- c) report to the Standing Committee at its 61st and 62nd meetings on the progress of the working group.*

15.43 The Standing Committee shall consider the reports of the Secretariat and also consider:

- a) endorsing any relevant recommendation or measure that could be implemented prior to the 16th meeting of the Conference of the Parties (CoP16); or*
- b) requesting the Secretariat to prepare a report for consideration at CoP16.*

30. The Working Group on the Gathering and Analysis of Data on Illegal Trade envisaged by Decision 15.42 was established by the Secretariat, under the chairmanship of France. The Secretariat reported to SC61 on the Working Group's implementation of Decision 15.42 (see document SC61 Doc.31²⁰). On the basis of this report and related discussions, the Standing Committee agreed that its Working Group on Special Reporting Requirements should consider the issue and that the Secretariat would request the Chair of the Working Group on the Gathering and Analysis of Data on Illegal Trade to postpone its further deliberations.
31. With respect to reporting illegal trade, the Working Group on Special Reporting Requirements made the following recommendations (see paragraph 42 of SC62 Doc 24.2).
- a) The annual report format could be used more effectively with respect to illegal trade; Standing Committee should stipulate that illegal trade data should be a mandatory annual reporting requirement for all Parties, rather than optional as it is presently. This will enable analyses to be undertaken on species traded illegally, and potentially will reduce the number of requests for special species specific reports.*
 - b) Standing Committee should decide to incorporate a new status code field into the annual report to ensure that captures and seizures are recorded effectively, and this should be consolidated into the revision of the Guidelines for the preparation and submission of annual reports.*

¹⁹ <http://www.cbd.int/sp/>

²⁰ <http://www.cites.org/eng/com/sc/61/E61-31.pdf>

c) *Increased use of electronic permitting will allow trade data to be submitted to the CITES trade database online in close to real time. The potential scope to include collection of illegal trade information in the data collected through e-permitting should be considered by the Working Group on e-permitting.*

32. Following clarification from the Chair of the Working Group on Special Reporting Requirements at SC62 as to how e-permitting might allow early detection of fraudulent permits and on the timing of collection of illegal trade information, the Standing Committee agreed²¹ to the recommendations of the Working Group.

33. The Working Group on Special Reporting Requirements agreed at its sub-group meeting in September 2012 that more work was needed to bring forward proposals on how to report illegal trade through the annual report, including how to handle the data collated, and the relationship between the fields of the annual report and the contents of INTERPOL Ecomessages. The Working Group agreed that this work should lead to proposals for consideration at SC65.

D. Guidelines for the annual report

34. With respect to the *Guidelines for the preparation and submission of CITES annual reports*, the Working Group recommended (see paragraph 43 of document SC62 Doc 24.2) that certain issues be considered (e.g. regarding illegal trade and a new source code for specimens of CITES-listed species taken in the marine environment not under the jurisdiction of any State proposed by the Working Group on Introduction from the Sea), and that the revised guidelines and sample report be presented at the 63rd meeting of the Standing Committee (SC63, Bangkok, 2 March 2013).

35. At SC62, the Standing Committee noted²² that "it had the authority under Resolution Conf. 11.17 (Rev. CoP14) to adopt new formats for annual and biennial reports". It invited Parties to send comments to the Secretariat by 1 September 2012 on the draft amendments to the *Guidelines for the preparation and submission of CITES annual reports* and on the draft sample annual report contained in Annexes 4 and 5 to document SC62 Doc. 24.2, respectively.

36. At the meeting of a sub-group of the Working Group in mid-September 2012 the Working Group noted that no more comments had been received by the Secretariat. The Group agreed that UNEP-WCMC would produce a clean version of the revised guidelines by the end of that month taking account of comments provided at SC62. The Working Group was concerned whether Parties had had enough time to review the revised guidelines. It therefore agreed that the Secretariat would issue a Notification to the Parties requesting that Parties review and comment on the revised guidelines with a deadline of early December 2012. Any further comments could then be incorporated into the draft revised guidelines before the document deadline for SC 63, at which time it is hoped that the revised guidelines can be adopted.

E. Timing and delivery of CITES reports

37. Since SC62, the Working Group on Special Reporting Requirements has given some thought to the way in which CITES reporting requirements might be implemented. Essentially, there appear to be two main options:

38. Option 1 would consist of four reports:

- a) An annual report (including both legal & illegal trade);
- b) A very short biennial report (1-2 pages on main legal, regulatory and administrative measures);
- c) A separate (annual, biennial or triennial) report process to collect information to support the Strategic Vision indicators;
- d) Separate reminders for special reporting requirements to Plants Committee / Animals Committee / Standing Committee / Conference of the Parties as appropriate.

39. The advantages of option 1 would be that it reduces the biennial report to a minimal information requirement, thereby reducing the effort required to complete it; and clarifies very clearly the sorts of reports that now occur under the Convention.

²¹ Item 24.2 in <http://www.cites.org/eng/com/SC/62/sum/E62-ExSum05.pdf>

²² Item 24.2 in <http://www.cites.org/eng/com/SC/62/sum/E62-ExSum05.pdf>

40. The disadvantages of option 1 would be that it could be perceived to increase the reporting burden by creating four reporting streams, although in reality they already exist. It also reduces the legally-required biennial report to an irrelevance and it raises questions on whether Parties will respond to requests for information for the Strategic Vision indicators and special reporting requirements if these are outside the legally-mandated reporting processes of the Convention. It would also be more work to manage for the Secretariat.
41. Option 2 would be to maintain the current two reports:
 - a) An annual report (including both legal & illegal trade);
 - b) A somewhat longer biennial report including collection of data for the Strategic Vision indicators and a reminder of the special reporting requirements.
42. The advantages of option 2 would be that it brings all reporting within the legally mandated reports under the Convention (Art VIII, paragraph 7); it focuses the biennial report on collection of information to support Convention processes; and it would allow the Secretariat to focus work on the deadlines for the annual and biennial reports.
43. The disadvantages of option 2 would be a longer biennial report than under option 1, but it would be much more focussed and useful than at present.
44. The conclusion of the Working Group is that option 2 (maintaining two reports) is a better way forward, but that reminders for reporting requirements should also be sent to Parties before the reports are due.
45. Annual reports are currently due to be delivered by the end of October in the year following that which they refer to, but this seems quite a long time for delivery of the information. Such a late deadline also means that any synthesis or analysis of the trade data will lag significantly behind the trade happening, especially if reports are late. This does not seem to serve the information needs of the Convention well. Given modern technology, it seems possible to move this forward and perhaps make it three or six months after the calendar year end – e.g. end of March or end of June. The Working Group considers that the end of June is the preferred option.
46. The same deadline; of 31 October in the year following after the second year it covers, applies to the biennial report. This again seems like a long time to deliver what is essentially a tick-box exercise. In addition, the biennial report is out of synchrony with the cycle of meetings of the Conference of the Parties. It might be more useful if these ‘implementation reports’ were submitted in synchrony with meetings of the CoP so that up-to-date information on implementation of the Convention is gathered in advance of Parties’ discussions. A submission date a year in advance of the next meeting of the CoP would be more flexible and follow the approach taken by some of the other biodiversity-related conventions: six months is written into the text of the Convention on Migratory Species (Art. VI (3)²³); and is the timescale used by the Convention on Wetlands of International Importance (Ramsar, based on several resolutions). The Convention on Biological Diversity (CBD) tends to ask for reports about a year in advance of the relevant CoP (Art. 26²⁴ allows CBD CoPs to determine the intervals for the reports; they have tended to be on a four year cycle, with CoPs every two years). A year is suggested to allow the information gathered to be analysed and used in the development of papers to Conferences of the Parties via the preceding Standing Committee meeting, and in advance of the deadline for papers for CoP.
47. Article VIII, paragraph 7, of the Convention provides for an annual report and a ‘biennial report’ (i.e. every two years), but similar text for the CoP cycle (Article XI, paragraph 2) has been ‘interpreted’ to allow a change to a three year CoP cycle. It seems both a good streamlining initiative to move to a three year report cycle to match the CoP, and is also an opportunity to make the reports more useful.
48. As a result of these considerations the Working Group on Special Reporting Requirements suggests that Resolution Conf. 4.6 (Rev. CoP14) and Resolution Conf. 11.17 (Rev. CoP14) be amended to bring forward the timescale for submission of the annual report, and to align the biennial report with the CoP cycle. Draft Resolutions to do this are presented in Annex 1 and Annex 2.

²³ http://www.cms.int/documents/convtxt/cms_convtxt.htm

²⁴ <http://www.cbd.int/convention/articles/?a=cbd-26>

F. Next steps for the Working Group on Special Reporting Requirements

49. At SC62, the Standing Committee agreed the Working Group should continue its work until the 17th meeting of the Conference of the Parties (2016). This will allow the Working Group to complete a number of ongoing pieces of work referred to in paragraphs 20-22, 28, 33 and 36 above and in the draft Decisions in Annex 4.

Part 3. Implementation of Decision 14.38 (Rev. CoP15).

50. At CoP15, the Conference adopted the following Decisions regarding harmonisation and streamlining of reporting:

Directed to the Secretariat

14.38 *The Secretariat shall:*
(Rev.

CoP15) a) *continue to collaborate with the secretariats of other conventions, UNEP and other bodies in order to facilitate the harmonisation of knowledge management and reporting;*

b) *identify additional ways to reduce the reporting burden on Parties, inter alia, in the context of Party-led initiatives, its ongoing review of the Resolutions and Decisions of the Conference of the Parties, its support to the Standing Committee on electronic permitting and its work with partners to compile and analyse CITES-related reports; and*

c) *report at the 16th meeting of the Conference of the Parties on the results of this work.*

51. The Secretariat contributed to a report, published in April 2012, entitled *Promoting synergies within the cluster of biodiversity-related multilateral environmental agreements*²⁵, which was prepared by UNEP-WCMC with the support of the Ministry of the Environment of Finland. One of the four key areas identified for the development of practical options to achieve synergies among the biodiversity-related MEAs was national reporting. Several side events were organised in other fora to present and discuss the report, but the Secretariat was unable to participate in them due to limited financial and human resources.
52. Partners in the MEA Information and Knowledge Management Initiative (IKM), co-chaired by UNEP and the CITES Secretariat, are examining online platforms, and sharing experience in the development of such platforms, which would allow Parties to report better and more easily. They are also discussing the possible development of tools to 'mine' the reports across all partner MEAs for information. IKM partners have taken a policy decision not to work on the harmonisation of reporting because it is seen as a policy rather than a technical issue, which should be driven by States.
53. Parties in the Oceania remain interested in working to harmonise reporting across the biodiversity-related conventions and to reduce the reporting burden on Parties. In this connection, Australia has been an active member of the Standing Committee Working Group on Special Reporting Requirements. The ongoing work under Decisions 14.39 (Rev. CoP15) – 14.41 (Rev. CoP15) on artificially propagated plants of Appendix-II species is expected to contribute to reducing the burden of reporting on Parties.
54. Several discussion documents prepared for the present meeting have proposed that certain special reporting requirements, which are deemed unnecessary, not be continued past the present meeting. The status of work on electronic permitting is described in document CoP16 Doc. 34 and the Secretariat's work with partners to compile and analyse CITES-related reports is described in paragraph 6 above.

Part 4. Implementation of Decision 14.39 (Rev. CoP15)

55. At CoP15, the Conference adopted the following Decisions regarding reporting on trade in artificially propagated plants of Appendix-II species:

Directed to the Secretariat

²⁵ <http://www.unep-wcmc.org/harmonization-of-national-reporting--key-papers.580.html>

14.39 *The Secretariat shall, subject to available funding, in consultation with the UNEP World Conservation Monitoring Centre:*

(Rev. CoP15)

- a) *conduct a survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II, e.g. regarding the degree of completeness and detail;*
- b) *identify cases where the compilation of trade data pertaining to artificially propagated plants of taxa included in Appendix II has contributed to a significant extent to the detection of illegal trade or to any other analysis related to the conservation of wild flora;*
- c) *taking into consideration the results of paragraphs a) and b) above, analyse the Convention text and Resolutions in order to identify binding and non-binding elements of reporting, with special emphasis on artificially propagated plants of taxa included in Appendix II. The Secretariat shall list options for streamlining such reporting; and*
- d) *report on its findings to the Plants Committee prior to its 20th meeting.*

Directed to the Plants Committee

14.40 *The Plants Committee shall, after considering the report of the Secretariat:*

(Rev.

CoP15)

- a) *determine whether there are any taxa of Appendix-II plants artificially propagated for which detailed reporting is less valuable; and*
- b) *report its findings to the Standing Committee at its 62nd meeting.*

Directed to the Standing Committee

14.41 *The Standing Committee shall:*

(Rev.

CoP15)

- a) *taking into consideration the findings of the Plants Committee, determine whether it is possible to streamline the reporting of trade in artificially propagated Appendix-II plants; and*
- b) *report on its findings at the 16th meeting of the Conference of the Parties and submit draft wording to amend Resolutions concerned, where appropriate.*

56. The Secretariat described its implementation of Decision 14.39 (Rev. CoP15) in an oral report to the 20th meeting of the Plants Committee (Dublin, March 2012) and a written report to SC62 (see document SC62 Doc. 24.3²⁶). The latter report states that the Secretariat, with external funds provided by Switzerland, contracted UNEP-WCMC in February 2012 to conduct the study envisaged in the Decision.

57. Following completion of the study, UNEP-WCMC produced a draft report which it is reviewing with the Secretariat. In order to allow time for the Plants Committee to consider the report of the study and to formulate its own findings in accordance with Decision 14.40 (Rev. CoP15), the Secretariat requested SC62 to note document SC62 Doc. 24.3 and include the item in its agenda for SC65. The Secretariat pointed out that this timing would not allow the preparation of a document for consideration at the present meeting of the Conference of the Parties, but the Chair of the Standing Committee would be able to report on progress.

58. As Decisions 14.39 (Rev. CoP15), 14.40 (Rev. CoP15) and 14.41 (Rev. CoP15) have not been fully implemented yet, the Secretariat and the Chair of the Working Group on Special Reporting Requirements suggest that they be continued and updated to reflect new dates for reporting.

Recommendations

59. The Standing Committee recommends that the Conference of the Parties:

- a) Adopt the draft Resolution containing proposed amendments to Resolution Conf. 4.6 (Rev. CoP15) contained in Annex 1;

²⁶ <http://www.cites.org/eng/com/SC/62/E62-24-03.pdf>

- b) Adopt the draft Resolution containing proposed amendments to Resolution Conf. 11.17 (Rev. CoP14) contained in Annex 2;
- c) Adopt the proposed amendments to Decisions 14.39 (Rev. CoP15), 14.40 (Rev. CoP15) and 14.41 (Rev. CoP15), contained in Annex 3;
- d) Adopt the draft Decisions contained in Annex 4;
- e) Provide comments and policy guidance on the proposal for a new CITES national report format under Article VIII, paragraph 7 (b), of the Convention, which is based on the structure of the Strategic Vision indicators and contained in Annex 5.

COMMENTS FROM THE SECRETARIAT

- A. The Secretariat recommends that the Conference of the Parties adopt the draft amendments to existing Resolutions and the draft decisions contained in Annexes 1, 2, 3 and 4 to the present document.
- B. The Secretariat notes that various documents for the present meeting contain suggestions for additional special reporting requirements. Such suggestions should be considered in the context of Resolution Conf. 4.6 (Rev. CoP15) and the present document. They reflect the need for future guidance from the extended Standing Committee's Working Group on Special Reporting Requirements if it is continued after CoP16.
- C. The Secretariat believes that Decisions 14.37 (Rev. CoP15), 14.38 (Rev. CoP15), 15.42 and 15.43 have been implemented and can therefore be deleted.

PROPOSED AMENDMENTS TO RESOLUTION CONF. 4.6 (REV. COP14)

*SUBMISSION OF DRAFT RESOLUTIONS AND OTHER DOCUMENTS
FOR MEETINGS OF THE CONFERENCE OF THE PARTIES*

NB: Text to be deleted is ~~crossed out~~. Proposed new text is underlined.

...

THE CONFERENCE OF THE PARTIES

...

RECOMMENDS that:

...

- d) when drafting resolutions and decisions which require the gathering of information, a Party consider whether such information could be sought via the annual or biennial reports required under the provisions of Article VIII, paragraph 7, of the Convention, or if a special report is needed, and generally ensure that the reporting burden is kept to a minimum;

...

PROPOSED AMENDMENTS TO RESOLUTION CONF. 11.17 (REV. COP14)

NATIONAL REPORTS

NB: Text to be deleted is ~~crossed out~~. Proposed new text is underlined.

RECALLING Resolution Conf. 9.4 (Rev.), adopted by the Conference of the Parties at its ninth meeting (Fort Lauderdale, 1994) and amended at its 10th meeting (Harare, 1997), relating to annual reports and monitoring of trade;

CONSIDERING the obligation of Parties to submit periodic reports on their implementation of the Convention under the provisions of Article VIII, paragraph 7, of the Convention;

RECOGNIZING the importance of the annual national reports and ~~biennial reports~~ as ~~the only available means of a tool for~~ monitoring the implementation of the Convention and the level of legal and illegal international trade in specimens of species included in the Appendices;

ACKNOWLEDGING the necessity for the annual national reports and ~~biennial reports~~ of the Parties to be as complete as possible and to be comparable;

CONSIDERING that the provisions of Article XII, paragraph 2 (d), of the Convention require the Secretariat to study the periodic reports of Parties;

APPRECIATING the valuable assistance in meeting this responsibility provided by the UNEP World Conservation Monitoring Centre under contract to the Secretariat;

NOTING that the use of computers can help to ensure that trade statistics and information on Convention implementation are dealt with more effectively;

~~CONCERNED that many Parties have not followed the recommendations of the Conference of the Parties and of the Secretariat that the annual reports be submitted by 31 October following the year for which they are due and following the guidelines for the preparation of such reports;~~

~~FURTHER CONCERNED that many Parties have not regularly submitted biennial reports;~~

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

URGES all Parties to submit their annual reports required under the provisions of Article VIII, paragraph 7 (a), by 30 June following the year for which they are due and in accordance with the most recent version of the *Guidelines for the preparation and submission of CITES annual reports* distributed by the Secretariat and as may be amended with the concurrence of the Standing Committee;

URGES all Parties to submit their ~~biennial~~ reports required under the provisions of Article VIII, paragraph 7 (b) one year before each meeting of the Conference of the Parties, beginning with the 17th meeting of the Conference of the Parties, by 31 October following the year for which they are due and in accordance with the *Biennial report format* distributed by the Secretariat, as may be amended by the Secretariat from time to time with the concurrence of the Standing Committee;

~~ALSO URGES all Parties to submit biennial reports covering the same two year periods beginning with the period from 1 January 2001 to 31 December 2004;~~

~~FURTHER~~ ALSO URGES Parties with multiple Management Authorities to submit a coordinated ~~annual and biennial national~~ reports to the extent possible;

ACKNOWLEDGES that the Conference of the Parties may request that Parties provide special reports not required by the Convention, if additional information is needed that cannot be sought via the ~~annual or biennial~~ national reports required under Article VIII, paragraph 7, of the Convention;

RECOGNIZES that the Conference of the Parties, when requesting special reports, should give consideration to making those special reports time-limited, where this is appropriate, to avoid the potential for increasing unnecessarily reporting burdens;

RECOMMENDS that each Party to the Convention, if a member of a regional trade agreement within the meaning of Article XIV, paragraph 3, of the Convention, include in its annual reports information on trade in specimens of species included in Appendices I, II and III with other member States of that regional trade agreement, unless the record-keeping and reporting duties of Article VIII are in direct and irreconcilable conflict with the provisions of the regional trade agreement;

RECOMMENDS that, when compiling their annual reports in accordance with Article VIII, paragraph 7, of the Convention and this Resolution, Parties pay particular attention to the reporting of trade in specimens of species subject to annual export quotas. For these species, the report should indicate the level of the quota and the amount actually exported. In cases where trade is authorized in the reporting year in specimens obtained under a quota for the previous year, this should be reflected in the annual report;

URGES every Party to consider whether the preparation of its ~~statistical and implementation~~ national reports could be computerized and the submission of such reports made in electronic format;

FURTHER URGES Parties experiencing problems with the regular preparation and submission of ~~annual or biennial~~ national reports to seek assistance from the Secretariat to produce those reports;

RECOMMENDS that Parties studying or developing computer programmes for licensing and reporting trade as well as managing other information under the Convention consult with each other, and with the Secretariat, in order to ensure optimal harmonization and compatibility of systems;

DECIDES that:

- a) failure to submit an annual report by ~~31 October~~ 30 June of the year following the year for which the report was due constitutes a major problem with the implementation of the Convention, which the Secretariat shall refer to the Standing Committee for a solution in accordance with Resolution Conf. 11.3 (Rev. CoP15)²⁷; and
- b) the Secretariat may approve a valid request from a Party for a reasonable extension of time to the ~~31 October~~ deadline for the submission of ~~annual or biennial~~ national reports provided the Party submits to the Secretariat a written request, containing adequate justification, before that deadline;

INSTRUCTS the Standing Committee to determine, on the basis of reports presented by the Secretariat, which Parties have failed, for three consecutive years and without having provided adequate justification, to provide the annual reports required under Article VIII, paragraph 7 (a), of the Convention within the deadline (or any extended deadline) provided in the present Resolution;

RECOMMENDS that Parties not authorize trade in specimens of CITES-listed species with any Party that the Standing Committee has determined has failed, for three consecutive years and without having provided adequate justification, to provide the annual reports required under Article VIII, paragraph 7 (a), of the Convention within the deadline (or any extended deadline) provided in the present Resolution;

APPEALS to all Parties, and to intergovernmental organizations and non-governmental organizations interested in furthering the objectives of the Convention, to make financial contributions to the Secretariat to support the trade and other monitoring work of the Secretariat and that of the UNEP World Conservation Monitoring Centre undertaken under contract to the Secretariat; and

REPEALS Resolution Conf. 9.4 (Rev.) (Fort Lauderdale, 1994, as amended at Harare, 1997) – *Annual reports and monitoring of trade*.

²⁷ Corrected by the Secretariat following the 15th meeting of the Conference of the Parties: originally referred to Resolution Conf. 11.3 (Rev. CoP14).

PROPOSED AMENDMENTS TO DECISIONS 14.39, 14.40 AND 14.41

REPORTING ON TRADE IN ARTIFICIALLY PROPAGATED PLANTS

NB: Text to be deleted is ~~crossed out~~. Proposed new text is underlined.

Directed to the Secretariat

14.39 (Rev. ~~CoP15~~ CoP16)

The Secretariat shall, subject to available funding, in consultation with the UNEP World Conservation Monitoring Centre:

- a) conduct a survey of reporting practices of Parties relating to trade in artificially propagated plants of taxa included in Appendix II, e.g. regarding the degree of completeness and detail;
- b) identify cases where the compilation of trade data pertaining to artificially propagated plants of taxa included in Appendix II has contributed to a significant extent to the detection of illegal trade or to any other analysis related to the conservation of wild flora;
- c) taking into consideration the results of paragraphs a) and b) above, analyse the Convention text and Resolutions in order to identify binding and non-binding elements of reporting, with special emphasis on artificially propagated plants of taxa included in Appendix II. The Secretariat shall list options for streamlining such reporting; and
- d) report on its findings to the Plants Committee prior to its ~~20th~~ 21st meeting.

Directed to the Plants Committee

14.40 (Rev. ~~CoP15~~ CoP16)

The Plants Committee shall, after considering the report of the Secretariat:

- a) determine whether there are any taxa of Appendix-II plants artificially propagated for which detailed reporting is less valuable; and
- b) report its findings to the Standing Committee at its ~~62nd~~ 65th meeting.

Directed to the Standing Committee

14.41 (Rev. ~~CoP15~~ CoP16)

The Standing Committee shall:

- a) taking into consideration the findings of the Plants Committee, determine whether it is possible to streamline the reporting of trade in artificially propagated Appendix-II plants; and
- b) report on its findings at the ~~46th~~ 17th meeting of the Conference of the Parties and submit draft wording to amend Resolutions concerned, where appropriate.

DRAFT DECISIONS OF THE CONFERENCE OF THE PARTIES

Directed to Parties

16.AA Parties should:

- a) Submit the report for 2013-2014 using the revised format agreed at the 65th meeting of the Standing Committee (SC65) for reporting under the provisions of Article VIII, paragraph 7 (b), of the Convention;
- b) Submit a special report by 30 June 2014, using a format provided by the Secretariat, which provides statistical information for the calendar year 2013 on: administrative measures (e.g. fines, bans, suspensions) imposed for CITES-related violations; significant seizures, confiscations and forfeitures of CITES specimens; criminal prosecutions or other court actions; and disposal of confiscated specimens.

Directed to the Standing Committee

16.BB The Standing Committee, with the assistance of its Working Group on Special Reporting Requirements and the Secretariat, shall undertake the following actions at its 65th meeting or, if necessary, its 66th meeting (SC65 and SC66):

- a) adopt a revised format for reporting under Article VIII, paragraph 7 (b) and ensure distribution of the revised format through a Notification to the Parties;
- b) review identified special reporting requirements and the results of related reviews undertaken by the Animals and Plants Committees in accordance with Decision 16.CC;
- c) assess whether each special reporting requirement identified in paragraph b) above is still current and valid, or whether it is outdated or otherwise unnecessary and can be considered for deletion, taking account of advice from Animals and Plants Committees as appropriate;
- d) consider how best to assist Parties with the preparation and submission of national reports, including the opportunities offered for using information technology to capture information using online or electronic report formats;
- e) consider appropriate means for collecting statistical information on illegal trade through the annual report, taking into account the data fields contained in the INTERPOL Ecomessage or other relevant reporting formats;
- f) consider the linkages between the CITES Strategic Vision and its indicators and the Aichi Targets, including how best to report CITES input to achievement of the Strategic Plan for Biodiversity 2011-2020, its Aichi Targets and related global biodiversity indicators;
- g) consider whether any CITES Strategic Vision indicators should be amended, deleted or added;
- h) provide advice to the Secretariat on the publication of the outcomes resulting from implementation of the CITES Strategic Vision Indicators; and
- i) prepare a report to the 17th meeting of the Conference of the Parties on the results of this work and its future recommendations, if any.

Directed to the Animals and Plants Committees

16.CC The Animals and Plants Committees, with the assistance of the Standing Committee Working Group on Special Reporting Requirements and the Secretariat, shall undertake the following actions at their 27th meeting (AC27) and 21st meeting (PC21), respectively:

- a) review the special reporting requirements identified by the Standing Committee Working Group on Special Reporting Requirements which relate to animals and plants, respectively;
- b) assess whether any of these reporting requirements are still current and valid, or whether they are outdated or otherwise unnecessary and can be considered for deletion; and
- c) advise the Standing Committee Working Group on Special Reporting Requirements of the results of the review and assessments mentioned in paragraphs a) and b) above, in a timely manner, so it can provide a collated report on valid reporting requirements to the 65th meeting of the Standing Committee (SC65).

Directed to the Secretariat

16.DD The Secretariat shall:

- a) continue to collaborate with the secretariats of other conventions, UNEP and other bodies in order to facilitate the harmonisation of knowledge management and streamlining of reporting, including ways to reduce the burden of reporting on Parties;
- b) identify potential financial and other resources for publishing the outcomes resulting from implementation of the CITES Strategic Vision and its indicators;
- c) support the work of the Standing Committee and the Animals and Plants Committees, including their efforts to ensure that the graphic presentation of information on implementation of the Strategic Vision indicators is published on the CITES website by the end of 2015 and then updated as resources allow, and as new information becomes available;
- d) develop a special report format for use by Parties, under Decision 16.AA in providing statistical information for the calendar year 2013 on: administrative measures (e.g. fines, bans, suspensions) imposed for CITES-related violations; significant seizures, confiscations and forfeitures of CITES specimens; criminal prosecutions or other court actions; and disposal of confiscated specimens.
- e) collate for the 66th meeting of the Standing Committee a list of the currently valid reporting requirements under the Convention and advise on appropriate mechanisms for their implementation; and
- f) report at the 65th and 66th meetings of Standing Committee and at the 17th meeting of the Conference of the Parties on the results of this work.

PROPOSAL FOR A NEW NATIONAL REPORT FORMAT UNDER ARTICLE VIII, PARAGRAPH 7 (B)

The following is a proposal for a new CITES national report format under Article VIII, paragraph 7 (b), of the Convention developed by the Standing Committee Working Group on Special Reporting Requirements to replace the existing biennial report. The proposed new format has been presented in the structure of the CITES Strategic Vision (as presented in document SC62 Doc 13²⁸) to make the input of the report to the Strategic Vision indicators more obvious. If further changes to the CITES Strategic Vision are made as a result of deliberations at CoP16, they will need to be reflected in this document.

Questions in the existing biennial report format that do not seem to contribute to collection of information for the Strategic Vision indicators have not been brought into this new format. The questions which have been transferred from that existing format may have been amended to ask for information more directly linked to the indicators. The 21 questions (of 109) that have been transferred are labelled in parentheses under the new question numbers in this version to help with read-across from old to new. For a majority of the Strategic Vision indicators, new questions were needed to ensure data for the Strategic Vision Indicators are collected. Overall, compared to the current biennial report, the size of the report format has been reduced by just over a quarter.

In a few places, revisions to the Strategic Vision indicators are suggested – these are to take account of changes to the context in which the indicators were proposed – for example, under Strategic Vision Objective 1.7 on enforcement, the development of the International Consortium on Combating Wildlife Crime Wildlife and Forest Crime Analytic Toolkit, or to make the indicators more focused.

Further changes may be needed when work has been undertaken to look at the potential for CITES to contribute to the Aichi targets within the Strategic Plan for Biodiversity 2011-2020, as measured by the global indicators. In addition, new questions are likely to be needed if new special reporting requirements are agreed by Parties, or existing requirements are deleted (see indicator 1.3.1).

If the report can be implemented as an online format, it may be possible for previous responses to be pre-populated into the form, thereby speeding up the completion of the report by Parties, which would then only need to check previous responses and make changes if circumstances have changed. Whichever way the report is implemented, further work on layout of the questions will be necessary to ensure the format is easy to read and complete, including ensuring that sufficient space is allowed for textual answers to be provided.

Guidance to provide more support on how to interpret the questions may be worth considering. This could be a stand alone document, footnotes in this document, or if an online report format is produced, could be drop-down windows as required.

Explanation of text colours and formatting used:

- Text in green has been used to distinguish the Strategic Vision Indicators from the questions being asked in the report format.
- Text in purple underlined has been used to denote proposals for changes to the Strategic Vision Indicators. The reasons for changes are explained in comments.
- Text in ~~green strikethrough~~ and grey highlight has been used to show deleted text from the previous definition of an indicator.
- Text in *blue italics* has been used to provide comment on where information would be sourced if not from this report format, or to provide comment on issues to be resolved.

The proposed format is 20 pages long, however most of the questions are in check-box format and should therefore be relatively easy for Parties to complete. If Parties feel the format is too long then they are invited to suggest which indicators or questions should be deleted.

²⁸ <http://www.cites.org/eng/com/SC/62/E62-13.pdf>

Draft National Report structure

The format below follows the structure of the CITES Strategic Vision and aims to collect information to enable the [Strategic Vision Indicators](#) to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily computerised, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report (Article VIII paragraph 7 (a), whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period from [xx to yy²⁹]. The report should be submitted to the Secretariat by 30 June of the year following the reporting period.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
International Environment House
11 Chemin des Anémones
CH-1219 Châtelaine, Geneva
Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40

Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report please contact the CITES Secretariat at the address above.

²⁹ Edit required when report format issued: i.e. from the submission date of the last report, to the submission deadline of the next report.

Party	
Period covered in this report	
Department or agency preparing this report	
Contributing departments, agencies and organisations	

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 *Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.*

Indicator 1.1.1 *The number of Parties that are in category 1 under the national legislation project.*
Note: Secretariat determines category, in consultation with the concerned Party, and reports regularly to the Standing Committee.

1.1.1a (B1)	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project?	Yes <input type="checkbox"/>	Partly <input type="checkbox"/>	No <input type="checkbox"/>	No information / unknown <input type="checkbox"/>		
1.1.1b	Has any more legislation been drafted, enacted, amended or reviewed during this reporting period? If Yes, please submit details to the Secretariat.	Yes <input type="checkbox"/>	No <input type="checkbox"/>				
1.1.1c (B5)	Have you adopted or removed any stricter domestic measures within your country since the last biennial report? If yes, which of the following issues are now addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable	Yes <input type="checkbox"/>	No <input type="checkbox"/>				
		The conditions for:			The complete prohibition of:		
	Issue	Yes	No	No information	Yes	No	No information
	Import	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Export	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If you have any other measures please specify here						
	Additional comments						

Indicator 1.1.2 *The number of Parties that have designated Management Authorities and Scientific Authorities.*
Note: Information to be sourced from the CITES directory.

1.1.2a	Have any changes in the designations of the Management Authority(ies) and Scientific Authority(ies) been communicated to the Secretariat (Article IX)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information / unknown <input type="checkbox"/>
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Indicator 1.1.3 The number of Parties subject to CITES recommendations on trade.

Note: Information to be provided by Secretariat.

1.1.3a	Have steps been taken to obtain the withdrawal of any recommended trade suspension?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information / unknown	<input type="checkbox"/>
	If Yes, please provide a brief summary of action taken:		

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Indicator 1.2.1 The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

1.2.1a	Do you have transparent procedures in place for the timely issuance of permits in accordance with Article VI of the Convention?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
1.2.1b (D5 Q1)	Have you changed your permit format or security paper during this reporting period?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
	If yes, please provide a copy or confirm that it has already been sent to the Secretariat:				
1.2.1c	Do you use phytosanitary certificates for artificially propagated specimens?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
1.2.1d	Do you have a computerised permit / certificate system?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
	Do you currently have an electronic permitting ³⁰ system?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
	If yes, please provide information on challenges faced or issues overcome:				
	If no, do you have any plans to move towards e-permitting?				
	Please explain what might help you to move in that direction:	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
1.2.1e	Does the Management Authority consult the Scientific Authority on the following activities (as listed in Res. 10.3)?	No		Sometimes	Always
	The issuance of export permits or of certificates for introduction from the sea for Appendix-I or -II species for them to determine whether or not the proposed trade would be detrimental to the survival of the species in question;	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	The issuance of permits for the import of specimens of Appendix-I species for them to determine whether or not the import will be for purposes not detrimental to the survival of the species;	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	The suitability of the intended recipient to house and care for live specimens of Appendix-I species being imported or introduced from the sea, prior to issuance of permits or certificates;	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	Whether or not scientific institutions seeking registration for the purpose of being issued labels for scientific exchange meet the criteria established in Resolution Conf. 11.15 (Rev. CoP12) , and other standards or any stricter national requirements;	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
	Whether applications submitted for consideration under Article VII, paragraph 4 or 5, meet the criteria for producing specimens considered to be bred in captivity or artificially propagated in accordance with the Convention and relevant Resolutions;	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>

³⁰ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, MA-SA consultations, permit issuance, notification to customs and reporting.

If No to any of the above, please explain why not:

Indicator 1.2.2 The number of Parties making use of the simplified procedures provided for in [Resolution Conf. 12.3 \(Rev. CoP15\)](#).

1.2.2a (D5 Q2)	Has your country developed written permit procedures for any of the following?					
	Tick all applicable					
					Are these	
		Yes	No	No information	procedures available to the public?	simplified procedures as provided for in Resolution 12.3?
	Permit issuance/acceptance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Registration of producers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 1.2.3³¹ [The number of Parties using incentive measures as part of their implementation of the Convention.](#)

1.2.3a	Do you use incentive measures to implement the Convention? Yes <input type="checkbox"/> No <input type="checkbox"/>
	If yes, please provide a summary or link to further information

Objective 1.3 Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.

Indicator 1.3.1 The number of Parties that have implemented relevant Resolutions and Decisions of the Conference of the Parties.

1.3.1	Have you provided information to the Secretariat in accordance with the following special reporting requirements ³² ?		Tick if applicable				
	Reporting Requirement	Subject	Yes	Partly	No	Not Applicable	No Information
	Res. Conf. 13.2 (Rev. CoP14)	Addis Ababa principles	<input type="checkbox"/>				
	Article XVI Res. Conf. 9.25 (Rev. CoP15)	Appendix III	<input type="checkbox"/>				
	Res. Conf. 12.5 (Rev. CoP15) Decision 15.46	Asian big cats	<input type="checkbox"/>				

³¹ New indicator proposed to meet the WG SRR mandate on incentive measures. Definition of Incentive Measures needed.

³² This is a preliminary list as the WG SRR are still determining which requirements should be included here, and which have already been picked up elsewhere under other indicators.

Res. Conf. 11.4 (Rev. CoP12)	cetaceans	<input type="checkbox"/>				
Article XIII						
Res. Conf. 11.3 (Rev. CoP15)	compliance	<input type="checkbox"/>				
Res. Conf. 14.3						
Res. Conf. 11.3	convicted traders	<input type="checkbox"/>				
Decision 15.57	e-commerce	<input type="checkbox"/>				
Res. Conf. 10.10 (Rev. CoP15)	elephants	<input type="checkbox"/>				
Decision 15.86	humphead wrasse	<input type="checkbox"/>				
Res. Conf. 11.19	identification manual	<input type="checkbox"/>				
Res. Conf. 9.20 (Rev. CoP15)	marine turtles	<input type="checkbox"/>				
Res. Conf. 9.19 (Rev. CoP15)	nurseries	<input type="checkbox"/>				
Article VII paragraph 3	personal and household effects	<input type="checkbox"/>				
Notification 2006/041						
Res. Conf. 10.20	pets	<input type="checkbox"/>				
Notification 2006/041						
Res. Conf. 11.11 (Rev. CoP14)	plants	<input type="checkbox"/>				
Res. Conf. 11.16 (Rev. CoP15)	ranching	<input type="checkbox"/>				
Res. Conf. 11.1 (Rev. CoP15)	regional reports	<input type="checkbox"/>				
Article VII paragraph 6	registered institutions	<input type="checkbox"/>				
Res. Conf. 12.10 (Rev. CoP15)	registered operations	<input type="checkbox"/>				
Article XV paragraph 3	reservations	<input type="checkbox"/>				
Res. Conf. 9.14 (Rev. CoP15)	rhino	<input type="checkbox"/>				
Decision 14.93 (Rev. CoP15)	saiga	<input type="checkbox"/>				
Decision 14.95	saiga	<input type="checkbox"/>				
Res. Conf. 12.6 (Rev. CoP15)	sharks	<input type="checkbox"/>				
Res. Conf. 12.7 (Rev. CoP14)	sturgeon	<input type="checkbox"/>				
Notification 2010/034	sturgeon certificates	<input type="checkbox"/>				
Res. Conf. 11.8 (Rev. CoP13)	Tibetan antelope	<input type="checkbox"/>				
Notification 2004/44	tortoise	<input type="checkbox"/>				
Decision 15.83	turtles / tortoise	<input type="checkbox"/>				
Res. Conf. 15.2	wildlife trade policy reviews	<input type="checkbox"/>				
1.3.1b (D8 Q2)	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	<input type="checkbox"/>				
(D8 Q3)	If Yes, which one(s), and what difficulties were / are being encountered?					

Objective 1.4 The Appendices correctly reflect the conservation needs of species.

Indicator 1.4.1 The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

Note: This would include number of proposals that have been adopted to amend the Appendices since CoP10 (when Conf. Res.9.24 would have been implemented) and number of species that have been assessed under the in periodic review since CoP10. Secretariat will have information available in CoP and AC/PC records and reports.

Indicator 1.4.2³³ ~~The number of taxonomic groups subject to systematic reviews to determine which species might qualify for listing on the CITES Appendices~~ ~~unlisted species subject to significant levels of international trade, for which the trade and biological information is evaluated via a transparent mechanism including IUCN Red List and other data to identify species that would benefit from inclusion in the Appendices and the number of such species subsequently included in the Appendices.~~

1.4.2a	Have you undertaken, or taken part in, any systematic reviews of taxonomic groups to determine if any species qualify for listing on the CITES Appendices?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If Yes, please list the taxonomic group(s) and the outcomes?		

Objective 1.5 *Best available scientific information is the basis for non-detriment findings.*

Indicator 1.5.1 The number of surveys undertaken by exporting countries of:
a) the population status as well as the trends and impact of trade upon Appendix-II species; and
b) the status of and trend in Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys been undertaken <u>in your country</u> in relation to:	Yes	No	No Information
	- the population status of Appendix I species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the population status of Appendix II species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and/or impact of trade on Appendix I species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- the trends and/or impact of trade on Appendix II species	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes for any of the above, please provide	A brief summary of the results of the survey (e.g. population status, decline / stable / increase, off-take levels etc, or provide links to published reference material.		
	Species Name			
1.5.1b	Does your Scientific Authority monitor the status of native Appendix-II species and export data, and recommend, if necessary, suitable remedial measures to limit the export of specimens in order to maintain each species throughout its range at a level consistent with its role in the ecosystem and well above the level at which the species might become eligible for inclusion in Appendix I: If No or Partly, please explain why:	Yes		<input type="checkbox"/>
		Partly		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
1.5.1c	Do you have specific conservation measures or recovery plans for Appendix-I listed species? If yes, please provide a brief summary, including, if possible, an evaluation of their impact	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>

³³ Proposed change to indicator to make a clearer distinction from indicator 1.4.1, and to focus on the practical effort likely to have been undertaken by Parties

Indicator 1.5.2³⁴ The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a	Do you have standard procedures for making non-detriment findings?	Yes <input type="checkbox"/>
		No <input type="checkbox"/>
		Partly? <input type="checkbox"/>
1.5.2b	If Yes or Partly, please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:	
1.5.2c	How often do you review and/or change your non-detriment findings?	Annually <input type="checkbox"/>
		Every two years <input type="checkbox"/>
		Less frequently <input type="checkbox"/>

Indicator 1.5.3³⁵ The number ~~and proportion~~ of annual export quotas based on population surveys.

1.5.3a	Do you use annual export quotas?	Yes (all CITES exports) <input type="checkbox"/>
		Yes (some CITES exports) <input type="checkbox"/>
		No <input type="checkbox"/>
	If yes, on what basis are these quotas calculated or decided ³⁶ ? (please tick all that apply)	
		All Some None
	Surveys of species / population abundance	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Surveys of species extent / distribution	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Administrative regions / regimes	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Other: please specify	

Indicator 1.5.4 The number of Appendix-II species for which trade is determined to be non-detrimental to the survival of the species as a result of implementing recommendations from the Review of Significant Trade.

Note: Secretariat will have information on which species / countries are included in the Review of Significant Trade, but a prompt is included for Countries to remind them of action needed, and to allow Parties to indicate if there are problems.

1.5.4a	During this reporting period, have any populations of species in your territory been subject to recommendations under the Review of Significant Trade? Yes <input type="checkbox"/> No <input type="checkbox"/>																
	If yes, were those recommendations implemented to the satisfaction of Secretariat and Plants Committee Chair / Animals Committee Chair? (please answer for each species subject to recommendations)																
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">Species</th> <th style="width: 16.6%;">Yes</th> <th style="width: 16.6%;">Partly</th> <th style="width: 6.2%;">No</th> </tr> </thead> <tbody> <tr> <td> </td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td> </td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td> </td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>	Species	Yes	Partly	No		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Species	Yes	Partly	No														
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>														
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>														
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>														
	If Partly or No for any of the species above, please explain why not, or indicate what support is needed from Secretariat or others:																

³⁴ Depending on how the discussions go at CoP16 on making non-detriment findings it is possible that this indicator, and the questions under it can/should be significantly changed, including asking if Parties follow a set of agreed principles for making NDFs.

³⁵ Proposed deletion as proportion will be difficult to calculate unless a lot of detailed information is collected – which will increase the burden on Parties.

³⁶ Should these questions be asked on a species by species basis?

Objective 1.6 Parties cooperate in managing shared wildlife resources.

Indicator 1.6.1 The number of bilateral and multilateral cooperative agreements that specifically provide for co-management of shared species by range states.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral cooperative agreements for co-management of shared species? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please list :
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Indicator 1.6.2 The number of cooperative management plans including recovery plans in place for shared populations of CITES listed species

1.6.2a	Do you have any cooperative management plans, including recovery plans, in place for shared populations of CITES listed species? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, please list the species for which these plans are in place
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Indicator 1.6.3 The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
(D6 Q2)	Please tick boxes to indicate which target group and which activity.					What were the external sources ³⁷ ?	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training		Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

³⁷ Please provide the names of Parties, and any non-Parties, involved.

1.6.3b (D6 Q3)	Have the CITES authorities been the <i>providers</i> of any of the following capacity-building activities to other range States?					
	Please tick boxes to indicate which target group and which activity.					
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.

Indicator 1.7.1³⁸ The number of Parties that have, are covered by, or engaged with:
 – regional supra-national enforcement action plans;
 – regional supra-national enforcement networks;
 – national or sub-national enforcement action plans; and
 – national or sub-national inter-agency enforcement coordination networks.

1.7.1a	Do you have:	Yes	No
	– supra-national enforcement action plans?	<input type="checkbox"/>	<input type="checkbox"/>
	– supra-national enforcement networks?	<input type="checkbox"/>	<input type="checkbox"/>
	– national or sub-national enforcement action plans?	<input type="checkbox"/>	<input type="checkbox"/>
	– national or sub-national interagency enforcement coordination networks?	<input type="checkbox"/>	<input type="checkbox"/>
	If Yes to any of the above, please specify level of engagement and additional details		

Indicator 1.7.2³⁹ ~~The number of Parties with designated national CITES enforcement focal points.~~ The number of Parties that have conducted an analysis of enforcement measures related to illegal wildlife trade.

³⁸ Amendments proposed to make it clearer what the indicator is measuring – regional can be confusing, as it could be countries working together, or regions within a country. Definition of supra-national needed.

³⁹ Proposal for a new indicator as the previous one on whether Parties have a national focal point is now redundant as very nearly all parties do.

1.7.2a	Have you used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit or equivalent tools?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Under consideration	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If yes, please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Specify improvements that could be made.		
	If no, please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you.		

Indicator 1.7.3⁴⁰ The number of Parties that have criminal law and procedures and wildlife forensic capacity in place, and that use specialized investigation techniques, for investigating and penalizing CITES offences.

1.7.3a	Do you have criminal law and procedures in place for investigating and penalising CITES offences?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
1.7.3b	Do you have forensic capacity to apply to the investigation of CITES offences?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If yes, please provide a brief summary of specific species to which forensic capacity applies and provide a brief summary of any wildlife forensic capacity used during this reporting period.			
1.7.3c	Did your authorities participate in or initiate any major wildlife law enforcement operation(s) in the past two years?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If yes, please provide a brief summary, including any lessons learnt which might be helpful for other Parties			
1.7.3d	Do you have:	Yes	No	No Information
	A standard operating procedure among relevant agencies for submitting ECOMESSAGES to INTERPOL?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Financial investigation capacity to combat money-laundering?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions for asset forfeiture ⁴¹ ?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions against corruption?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legislative provisions to facilitate international cooperation? (e.g. legislation through which a formal request for mutual legal assistance can be forwarded to another country)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Specific legislation to address organised crime?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Legal authority to use specialised investigation techniques ⁴² in the investigation of CITES offences?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If yes to any of the above, please explain - for each which applies - how is this used for CITES-related offences? Please provide a brief summary, including any lessons learnt: Which might be helpful for other Parties			

⁴⁰ Revision to indicator proposed to make clearer links with the chain of custody and ICCWC toolkit.

⁴¹ Forfeiture is: The seizure of assets obtained from criminal activities to ensure that criminals don't benefit from the proceeds of their crimes.

⁴² Definition of specialized investigation techniques needed – to include controlled delivery, covert operations, etc.

Indicator 1.7.4⁴³ The number of Parties using risk assessment in order to better target their CITES enforcement effort and criminal intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always	<input type="checkbox"/>
		Sometimes	<input type="checkbox"/>
		Not at all	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.7.4b	Do you use criminal intelligence to combat illegal trade in CITES-listed species?	Always	<input type="checkbox"/>
		Sometimes	<input type="checkbox"/>
		Not at all	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place.

Indicator 1.8.1 The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have resources or training in place to support:	Yes	No
	Permit officers?	<input type="checkbox"/>	<input type="checkbox"/>
	Enforcement officers?	<input type="checkbox"/>	<input type="checkbox"/>
	The making of non-detriment findings?	<input type="checkbox"/>	<input type="checkbox"/>
1.8.1b	Is the CITES Virtual College used as part of your capacity building work?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it forms part of the curriculum of such programmes?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
1.8.1d	What capacity building needs do you have?		
	Please tick boxes to indicate which target group and which activity.		
		Oral or written advice/guidance	Technical assistance
		Financial assistance	Training
		Other (specify)	Details
	Target group		
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>
	Public	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>

43 Changes proposed to focus on key enforcement techniques. Definitions of risk assessment and criminal intelligence needed.

Indicator 1.8.2 The number of training and capacity-building programmes conducted or assisted by the Secretariat.

Note: Information to be provided by Secretariat. Question to Parties to allow match-up with Secretariat information.

1.8.2a	How many training and capacity building programmes have you run in the last two years?	Conducted or Assisted by the Secretariat	Without assistance from Secretariat	
		None	<input type="checkbox"/>	<input type="checkbox"/>
		1	<input type="checkbox"/>	<input type="checkbox"/>
		2-5	<input type="checkbox"/>	<input type="checkbox"/>
		6-10	<input type="checkbox"/>	<input type="checkbox"/>
		11-20	<input type="checkbox"/>	<input type="checkbox"/>
		More than 20	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 1.8.3 The proportion of Parties having received capacity building support from the Secretariat on request.

Note: Information to be provided by Secretariat. Question to Parties to check Secretariat support provided as needed.

1.8.3a	Have you received support from the Secretariat for capacity building in the last two years?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information / unknown	<input type="checkbox"/>

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 *Financial resources are sufficient to ensure operation of the Convention.*

Indicator 2.1.1 The number of Parties meeting their obligations with regard to their assessed contributions to the Trust Fund.

Note: Information to be provided by Secretariat from the financial management of the Convention.

2.1.1a	Did your country pay its assessed contributions to the CITES Trust Fund during this reporting period?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
	If No, have you agreed a payment plan with the Secretariat?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>

Indicator 2.1.2 The percentage of the work programme agreed by the Conference of the Parties that is fully funded.

Note: Information to be provided by Secretariat from the financial management of the Convention.

Objective 2.2 *Sufficient resources are secured at the national/international levels to ensure compliance with and implementation and enforcement of the Convention.*

Indicator 2.2.1 The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a (D1 Q5)	How many full-time equivalent (FTE) staff work in your Management Authority(ies)? (add all Management Authorities together where appropriate)		
2.2.1b (D1 Q7)	<p>Do you have a shortfall of capacity in your Management Authority(ies)?</p> <p>If Yes, is this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills in any of the following subjects <ul style="list-style-type: none"> -Administration -Biology -Economics / Trade -Law / Policy -other skills (specify) - other shortfall (specify): 	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>	
2.2.1c (D2 Q5)	How many full-time equivalent (FTE) staff work in your Scientific Authority(ies) on CITES issues? (add all Scientific Authorities together where appropriate)		
2.2.1d (D2 Q7)	<p>Do you have a shortfall of capacity in your Scientific Authority(ies)?</p> <p>If Yes, is this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills in any of the following subjects <ul style="list-style-type: none"> -Botany -Ecology -Fisheries -Forestry -Welfare -Zoology -other skills (specify) - other shortfall (specify): 	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>	
2.2.1e (D3 Q4)	How many full-time equivalent (FTE) enforcement staff work on CITES issues? (add staff from all enforcement agencies together where appropriate)		
2.2.1f	<p>Do you have a shortfall of capacity in your enforcement authority(ies)?</p> <p>If Yes, is this shortfall a result of:</p> <ul style="list-style-type: none"> - availability of funding? - number of staff? - a shortage of skills in any of the following subjects <ul style="list-style-type: none"> -specialised investigation techniques -specialised forensic techniques -identification of suspicious financial transactions -identification of money laundering 	<p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>	

	-using risk assessment	<input type="checkbox"/>
	-using criminal intelligence	<input type="checkbox"/>
	-other skills (specify)	<input type="checkbox"/>
	- other shortfall (specify):	

Indicator 2.2.2⁴⁴ The number of Parties that have undertaken one or more of the following activities in the past two years:

- increased the budget for activities;
- hiring more staff;
- development of implementation tools;
- improvement of national networks;
- purchase of technical equipment for implementation, monitoring and or enforcement;
- ~~- computerisation;~~

2.2.2a (D6 Q1)	Have any of the following activities been undertaken over the past two years to enhance the effectiveness of CITES implementation at the national level? Tick if applicable			
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>		
	Other (specify):			
2.2.2b (D8 Q1)	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 2.2.3⁴⁵ The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a (D5 Q9)	Does the Management Authority charge fees for permit issuance, registration or related CITES activities? Tick if applicable		
	- Issuance of CITES documents for import, or exports, re-export or introduction from the sea:		<input type="checkbox"/>
	- Shipment clearance for the import, or export, re-export or introduction from the sea of CITES listed species:		<input type="checkbox"/>
	- Licensing or registration of operations that produce CITES species		<input type="checkbox"/>
	- Harvesting of CITES-listed species		<input type="checkbox"/>
	- Use of CITES-listed species		<input type="checkbox"/>

⁴⁴ Minor change proposed to bring computerisation within technical equipment to avoid the question 'computerisation of what?'

⁴⁵ New indicator proposed to meet the financial case studies part of the WG SRR mandate.

	<ul style="list-style-type: none"> - Assignment of quotas for CITES-listed species <input type="checkbox"/> - Other (specify): <input type="checkbox"/> 		
		Year 1	Year 2
	<p>If Yes, please provide the amounts of such fees for each year (converted to US\$)</p> <p>Were charges applied by:</p> <ul style="list-style-type: none"> species/ taxonomic group <input type="checkbox"/> volume or number of specimens <input type="checkbox"/> number of shipments <input type="checkbox"/> CITES Appendix <input type="checkbox"/> permit type <input type="checkbox"/> value of the specimens <input type="checkbox"/> purpose of the transaction <input type="checkbox"/> description of the specimens (e.g. live/dead) <input type="checkbox"/> Other (please specify below) <input type="checkbox"/> 		
2.2.3b (D5 Q11)	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <p style="text-align: right;"> Entirely <input type="checkbox"/> Partly <input type="checkbox"/> Not at all <input type="checkbox"/> Not relevant <input type="checkbox"/> </p> <p>Comments:</p>		
2.2.3c		Yes	No
	Do you raise funds for CITES management through charging user fees?	<input type="checkbox"/>	<input type="checkbox"/>
	Do your fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input type="checkbox"/>
	Do you have case studies on charging or using fees? If yes, please provide details in an annex to this form.	<input type="checkbox"/>	<input type="checkbox"/>
	Do you use innovative financial mechanisms to raise funds for CITES implementation? If yes, please provide details in an appendix.	<input type="checkbox"/>	<input type="checkbox"/>

Objective 2.3 Sufficient resources are secured at the national/international levels to implement capacity-building programmes.

Indicator 2.3.1 The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	<p>How many capacity building activities have you run in the last two years which were mandated by specific Resolutions or Decisions?</p> <p style="text-align: right;"> None <input type="checkbox"/> 1 <input type="checkbox"/> 2-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> 11-20 <input type="checkbox"/> More than 20 <input type="checkbox"/> </p> <p>Please list the Resolutions or Decisions involved</p>
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GOAL 3 CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING GLOBALLY AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 *Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.*

Indicator 3.1.1 The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
3.1.1b	Did use of the CITES guidance on accessing GEF funds help your work?	Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>

Indicator 3.1.2⁴⁶ The number of international projects funded by international financial mechanisms and other related institutions that include CITES-related conservation and sustainable development elements.

Note: More thought needed on how to source this information.

Indicator 3.1.3 The number of countries and institutions that have provided additional funding for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.3a (D7 Q9)	Have you provided technical or financial assistance to another country or countries in relation to CITES?							Yes	<input type="checkbox"/>
								No	<input type="checkbox"/>
							No information	<input type="checkbox"/>	
If Yes, please tick boxes to indicate type of assistance provided		Species Conservation ⁴⁷	Habitat Conservation ⁴⁸	Implementation	Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)	
Country		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

⁴⁶ Query whether indicator 3.1.2 should be deleted as impractical to implement.

⁴⁷ Suggest use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution etc.

⁴⁸ Suggest use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally.

Indicator 3.2.1 The number of Parties that have been involved in CITES awareness raising campaigns to bring about better accessibility to and understanding by the wider public of the Convention requirements.

3.2.1a (D4 Q10)	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable	
	– Press releases/conferences	<input type="checkbox"/>	
	– Newspaper articles, radio/television appearances	<input type="checkbox"/>	
	– Brochures, leaflets	<input type="checkbox"/>	
	– Presentations	<input type="checkbox"/>	
	– Public consultations / meetings	<input type="checkbox"/>	
	– Displays	<input type="checkbox"/>	
	– Information at border crossing points	<input type="checkbox"/>	
	– Telephone hotline	<input type="checkbox"/>	
– Other (specify):	<input type="checkbox"/>		
Please attach copies of any items.			

Indicator 3.2.2⁴⁹ The number of Parties that have undertaken market surveys indicating the public's understanding of the role and purpose of CITES by relevant user groups.

3.2.2a	Have you undertaken market surveys to assess understanding of CITES by	Yes	No	No Information
	Traders (both domestic and international)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Specialist Interest Groups (e.g. harvesters, processors, artisans, circuses, antique dealers, musicians, reptile keepers, gardeners, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Tourists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Others (please specify)			
If yes to any of the above, please provide details of the surveys and results				

Indicator 3.2.3⁵⁰ The number of visits to ~~on~~ the Secretariat's website.

3.2.3a	How regularly do your Authorities consult the CITES website?	Every day	At least once a week	At least once a month	At least once every three months	Less frequently
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group					
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

⁴⁹ Proposed change to indicator to focus it on those for whom CITES is important, rather than the general public.

⁵⁰ Minor wording change proposed to focus on action by Parties.

	Staff of Scientific Authority	<input type="checkbox"/>									
	Staff of enforcement authorities	<input type="checkbox"/>									
3.2.3b	What has been your experience with using the CITES website (e.g. useful aspects, any difficulties encountered)?	Excellent	<input type="checkbox"/>	Good	<input type="checkbox"/>	Average	<input type="checkbox"/>	Poor	<input type="checkbox"/>	No information	<input type="checkbox"/>
	Any further comments on the CITES Website? (e.g. which authorities find which functions/tools most useful, what is missing etc)										

Indicator 3.2.4 The number of Parties with web pages on CITES and its requirements.

3.2.4a (D4 Q9)	Is there a government website with information on CITES and national CITES implementation?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
	If Yes, please give the URL:						

Objective 3.3 Cooperation with relevant international environmental, trade and development organisations is enhanced.

Indicator 3.3.1⁵¹ The number of Parties which have achieved synergies in their implementation of biodiversity-related conventions and other biodiversity conservation goals, objectives and principles of CITES and those of relevant multilateral environmental, trade and development agreements and conventions that are identified and implemented in an integrated manner.

3.3.1a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
	Was the guidance produced by CITES on incorporating CITES into NBSAPs useful?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Did not use	<input type="checkbox"/>
	If No, or Did not use, please explain why						
3.3.1b (D7 Q13)	Have measures been taken to achieve coordination and reduce duplication of activities between the national authorities or focal points for CITES and national focal points for other multilateral environmental agreements (e.g. the biodiversity-related conventions – CBD, CMS, ITPGR, Ramsar, WHC etc.)?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
(D7 Q14)	If Yes, please give a brief description.						

⁵¹ Proposed changes to indicator to provide simplification and focus.

Indicator 3.3.2⁵² The number of **additional** biodiversity conservation **projects**, trade and development goals, **or** scientific and technical programmes that integrate CITES requirements **agreed between environmental and trade agreements and programmes and international financial mechanisms.**

3.3.2a (D7 Q4)	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available
	Agencies for development and trade	<input type="checkbox"/>	
	Provincial, state or territorial authorities	<input type="checkbox"/>	
	Local authorities or communities	<input type="checkbox"/>	
	Indigenous peoples	<input type="checkbox"/>	
	Trade or other private sector associations	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	

Indicator 3.3.3⁵³ The number of **Parties co-operating / collaborating with intergovernmental and non-governmental organisations participating in and/or funding to participate in and/or fund CITES workshops and other training and capacity-building activities.**

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organisations?
	Inter-governmental organisations?	<input type="checkbox"/>	
	Non-governmental organisations?	<input type="checkbox"/>	

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the *relevant Aichi Biodiversity Targets* and the relevant outcomes of the UN Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

The following indicators apply to this objective: 1.4.1, 1.4.2, 1.5.4, 1.6.1, 1.6.2, 2.2.2, 3.3.1, 3.3.2, 3.5.1 and 3.5.2.

Note: More thought on how to measure this objective is needed. Links with the relevant Aichi Targets and global biodiversity indicators may be the best way forward.

Indicator 3.4.1⁵⁴ **Improved** **improving** conservation status of CITES-listed species as shown by **national data tools such as the IUCN Red List Index.**

Note: Information to be extracted from the Red List Index website.

3.4.1a	Does your country's biodiversity data system show that the status of CITES-listed species has improved during this reporting period?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
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Objective 3.5 **Parties and the Secretariat cooperate with other relevant international organisations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited.**

⁵² Proposed changes to simplify the indicator.

⁵³ Rewording of indicator proposed to provide a clearer focus on work by Parties (who are those doing the report).

⁵⁴ Proposed change to focus indicator on national action and information.

Indicator 3.5.1⁵⁵ The number of cooperative actions taken to prevent species from being becoming threatened by unsustainably exploited through international unsustainable trade.

Note: Some information available from Secretariat, but more thought is needed on how to source this information. "Actions" could be taken very broadly. Are the actions specific to those taken under established bilateral or multilateral agreements? More focus in the indicator may be needed.

Indicator 3.5.2 The number of times other relevant international organisations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Number of times per year that international organisations or agreements have been consulted by CITES focal points						Optional comment about which organisations and issues consulted on
		Once	2-5 times	6-20 times	More than 20 times	No consultation	
	Year 1	<input type="checkbox"/>					
	Year 2	<input type="checkbox"/>					

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation	Enclosed	<input type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input type="checkbox"/>
Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes Please provide web link(s)	Enclosed	<input type="checkbox"/>
	Not available	<input type="checkbox"/>
	Not relevant	<input type="checkbox"/>
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? If Yes, please describe the constraint and the type of attention or assistance that is required.	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
Are there examples of good practice you would like to share with other Parties? If so please provide details / links:	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
	No Information	<input type="checkbox"/>
How could this report format be improved?		

Thank you for completing the Report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

⁵⁵ Proposed change to focus indicator on international trade.