Annex

Questionnaire on ETIS data collection

**1. INFORMATION ON AGENCY COMPLETING QUESTIONNAIRE**

*Click to check the appropriate box.*

|  |  |
| --- | --- |
| **1a) Country** |  |
| **1b) Name of Completing Agency** |  |
| **1c) Is it the responsibility of this agency to report to TRAFFIC?** | [ ]  Yes[ ]  No |

**2. INFORMATION ON INDIVIDUAL COMPLETING QUESTIONNAIRE**

*Click to check the appropriate box.*

|  |  |
| --- | --- |
| **2a) Name**  |  |
| **2b) Job Title**  |  |
| **2c) Office address**  |  |
| **2d) Email**  |  |
| **2e) How long have you been in this position?**  | [ ]  Less than 1 year[ ]  Between 1 and 5 years[ ]  Between 5 and 10 years[ ]  Over 10 years |
| **2f) Is it your responsibility to report seizures to TRAFFIC?**  | [ ]  Yes[ ]  No |
| **2g) If it’s not your responsibility to report seizure data to TRAFFIC, please indicate who in the agency is responsible to report seizure data to TRAFFIC?** |  |

**3. INFORMATION ON GUIDELINES FOR REPORTING OF SEIZURES OF ILLEGAL IVORY**

*Please provide information on whether your country has* ***written guidelines*** *for reporting seizures and stockpiles of illegal ivory.*

*Click to check the appropriate box.*

|  |  |  |
| --- | --- | --- |
|  | **3.1) Reporting on seizures of illegal ivory to the CITES Secretariat or directly to TRAFFIC for inclusion in ETIS database** | **3.2) Reporting on stockpiles of illegal ivory to the CITES Secretariat** |
| **a) Are there written guidelines?** | [ ]  Yes[ ]  No[ ]  Don’t know | [ ]  Yes[ ]  No[ ]  Don’t know |
| **b) How long have these guidelines been in place?** | [ ]  Not applicable[ ]  Less than 1 year[ ]  Between 1 and 5 years[ ]  Between 5 and 10 years[ ]  Over 10 years[ ]  Don’t know | [ ]  Not applicable[ ]  Less than 1 year[ ]  Between 1 and 5 years[ ]  Between 5 and 10 years[ ]  Over 10 years[ ]  Don’t know |
| **c) Please provide the title of the document(s).** |  |  |

**4. AUTHORITIES MANDATED TO MAKE SEIZURES OF ILLEGAL IVORY**

*Seizures of illegal ivory may take place in many different places, including:*

* *Protected areas or other parts of elephant range*
* *Storage or ivory processing facilities*
* *Shops, markets, retail outlets*
* *Internal transport and road blocks*
* *Ports of entry and exit – road, air and sea*

|  |
| --- |
| Which agencies are mandated to undertake seizures of illegal ivory in your country? *Please list them. The CITES Management Authority (MA) is kindly requested to forward the questionnaire to the agencies listed with a request to complete the table below, and to submit their responses to the MA for onward forwarding to TRAFFIC.* |
| **AGENCY NAME** |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

*For your agency, please complete the rest of the questionnaire.*

*Click to check the appropriate box.*

| **Question** | **Answer** | **Comments** |
| --- | --- | --- |
| **4a) What is the name of the agency?** |   |  |
| **4b) In what jurisdiction or locations is this agency mandated to make seizures of illegal ivory?** | [ ]  Nationwide[ ]  Sub-national jurisdiction, e.g. state, province, local[ ]  Restricted to specific location, (e.g. port of entry/exit, shops, protected areas, etc.) |  |
| **4c) Which law or regulation provides the mandate for this agency to make seizures of illegal ivory.** |  |  |
| **4d) Do staff in this agency receive training addressing reporting of ivory seizures to CITES and TRAFFIC?**  | [ ]  Yes[ ]  No [ ]  Don’t know |  |
| **4e) Does this agency submit records directly to TRAFFIC?** | [ ]  Yes*If YES, please skip to Question 5 below*[ ]  No*If NO, please fill out the rest of this question.* [ ]  Don’t know |  |
| **4f) How likely is this agency to submit information on an ivory seizure to the agency mandated to report it to TRAFFIC (usually the CITES Management Authority) in your country?**  | [ ]  Very likely[ ]  Somewhat likely[ ]  Not at all likely |  |
| **4g) How does the agency report ivory seizures to the CITES Management Authority?**  | *Tick all that apply* [ ]  Telephone call[ ]  Paper records[ ]  Standardized form[ ]  Email[ ]  Spreadsheet[ ]  Entry into national online database[ ]  Other  |  |
| **4h) How often does the agency submit seizure data to the CITES Management Authority?**  | [ ]  In response to a request from the CITES MA[ ]  Every time a seizure occurs[ ]  Every week[ ]  Every month[ ]  Every three months[ ]  Every year[ ]  Other |  |

**5. GENERAL INFORMATION ON IVORY SEIZURES**

*Please read each statement in the following table.*

*Mark whether it is true or false for your country in the period 2011-2020.*

*Provide any comments in the third column.*

*Click to check the appropriate box.*

| **Statement** | **True / False** | **Comments** |
| --- | --- | --- |
| **5a) My country has been a country of origin for raw ivory or the source of worked ivory in illegal trades seized in other countries.** | [ ]  True[ ]  False |  |
| **5b) My country has been a transit country for illegal ivory.**  | [ ]  True[ ]  False |  |
| **5c) My country has been a destination for illegal shipments of raw or worked ivory.** | [ ]  True[ ]  False |  |
| **5d) How often does your country make illegal ivory seizures.** | [ ]  Daily[ ]  Weekly[ ]  Monthly[ ]  Annually[ ]  None of the above |  |

**6. AUTHORITIES MANDATED TO REPORT SEIZURES TO TRAFFIC FOR INCLUSION IN THE ETIS DATABASE**

*In some countries, the CITES Management Authority is the only agency mandated to report seizure cases to TRAFFIC. In other countries, multiple agencies from a single Party are able to report seizure cases directly to TRAFFIC.*

|  |
| --- |
| Which agencies are mandated to report seizures of illegal ivory to TRAFFIC for inclusion in the ETIS database? *Please list them. The CITES Management Authority (MA) is kindly requested to forward the questionnaire to the agencies listed with a request to complete the table below, and to submit their responses to the MA for onward forwarding to TRAFFIC.* |
| **AGENCY NAME** | **CONTACT EMAIL ADDRESS** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

*For your agency, please complete the rest of the questionnaire.*

| **Question** | **Answer** | **Comments** |
| --- | --- | --- |
| **6a) Name of agency:** |  |  |
| **6b) Is reporting to TRAFFIC specifically mentioned in regulations, guidelines or standard operating procedures for this agency?** | [ ]  Yes[ ]  No[ ]  Don’t know | If Yes, please give details here |
| **6c) Do staff in this agency receive training on ivory seizure reporting?** | [ ]  Yes[ ]  No[ ]  Don’t know |  |
| **6d) Do ivory seizure records need to be translated before submission to TRAFFIC?**  | [ ]  Yes[ ]  No[ ]  Don’t know |  |
| **6e) How does this agency submit records to TRAFFIC? Does your country use the ETIS data forms or another type of form?** | [ ]  ETIS data form for individual seizures[ ]  ETIS spreadsheet for multiple seizures[ ]  Output from own database system [ ]  Other means (explain in comments) |  |
| **6f) When does this agency submit records to TRAFFIC?** | [ ]  Within 90 days of the seizure being made[ ]  Every month (compilation of previous month’s seizures)[ ]  Every three months (compilation of previous three month’s seizures)[ ]  Every year (compilation of previous year’s seizures)[ ]  In response to a Notification from the CITES Secretariat[ ]  In response to a request from TRAFFIC[ ]  Other (explain in comments) |  |
| **6g) If the reporting agency is not the CITES Management Authority, is there a formal mechanism so the Management Authority is informed of reports to TRAFFIC?**  | [ ]  Yes[ ]  No[ ]  Don’t know[ ]  Agency is the MA | If Yes, please give details here |
| **6h) Is ivory seizure data included in the CITES Annual Illegal Trade Reports compiled by the Management Authority?**  | [ ]  Yes[ ]  No[ ]  Don’t know |  |

**7. ETIS ONLINE**

*ETIS is in the process of converting to an online data collection system. Data providers will be able to register with ETIS Online and, after approval by the CITES Secretariat, to enter records directly into the database. This could be one record at a time or by submitting a whole series of records in an Excel spreadsheet. Management Authorities will also be able to look at records that their country has submitted and some information about records submitted by others that implicate their country.*

*Please read each statement in the following table.*

*Mark the relevant answer for your country.*

*Provide any comments in the third column.*

*Click to check the appropriate box.*

| **Question** | **Answer** | **Comments** |
| --- | --- | --- |
| **7a) Is your country likely to use the online system to enter data records?** | [ ]  Yes[ ]  No[ ]  Don’t know |  |
| **7b) Will your country find it useful to look at records that your country has submitted?** | [ ]  Yes[ ]  No[ ]  Don’t know |  |
| **7c) Will your country find it useful to look at records submitted by others in which your country is implicated?** | [ ]  Yes[ ]  No[ ]  Don’t know |  |
| **Please provide any other comments** |  |

**8. TYPES OF SEIZURES REPORTED TO TRAFFIC**

*Please read each statement in the following table and mark the appropriate column for the situation in your country.*

*Provide any comments in the last column.*

*Click to check the appropriate box.*

| **Statement** | **Always** | **Often** | **Sometimes** | **Rarely** | **Never** | **Comments** |
| --- | --- | --- | --- | --- | --- | --- |
| **8a) Seizures of raw ivory are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8b) Seizures of worked ivory are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8c) Seizures of illegal ivory that result in confiscation, but no fine or other penalty, are reported to TRAFFIC.**  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8d) Seizures that result in confiscation of illegal ivory and a fine are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8e) Seizures of illegal ivory that result in a criminal investigation are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8f) Seizures of illegal ivory made by protected area managers in the field are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8g) Abandoned shipments of illegal ivory are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8h) Seizures of illegal ivory of 100kg or more are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8i) Seizures of illegal ivory of 10kg or more are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8j) Seizures of illegal ivory of 1kg or more are reported to TRAFFIC.**  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8k) Seizures of illegal ivory for which an accurate weight cannot be provided are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8l) Seizures of illegal ivory for which only very limited information is available are reported to TRAFFIC.** | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| **8m) Seizures of illegal ivory that result from illegal domestic trade are reported to TRAFFIC.**  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |

**9. REPORTING INFORMATION ON LARGE SCALE SEIZURES**

*Click to check the appropriate box.*

| **Question** | **Answer** | **Comments** |
| --- | --- | --- |
| **9a) Has your country ever made a seizure that involved 500 kg or more of illegal ivory?** | [ ]  Yes[ ]  No[ ]  Don’t know  | If Yes, how many times has this happened?  |
| **9b) Do you submit information of the** **origin or age of seized ivory specimens arising from forensic analysis to TRAFFIC?**  | [ ]  Yes[ ]  No[ ]  Don’t know  | If Yes, how many times has this happened?  |
| **9c) What type of ivory seizures have been subjected to forensic testing?**  | [ ]  All seizures[ ]  Seizures of 500 kg or more[ ]  Other [ ]  Don’t know  |  |

**10. NON-GOVERNMENTAL BODIES**

*In some countries, government agencies partner with non-governmental bodies to co-manage protected areas, or to support law enforcement activities concerning wildlife trafficking.*

***Are any non-government bodies (e.g. NGOs, private companies, etc.) mandated to undertake any of the functions listed below?***

|  |  |  |
| --- | --- | --- |
| **10a) Make seizures of illegal ivory.** | [ ]  Yes[ ]  No[ ]  Don’t know  | If Yes, please include them in your list of agencies in Question 4. |
| **10b) Report seizures of illegal ivory directly to TRAFFIC.** | [ ]  Yes[ ]  No, reports go through a government agency. [ ]  Don’t know  | If Yes, please include them in your list of agencies in Question 6. |

**11. COMPLETENESS OF ETIS DATA FOR YOUR COUNTRY**

*Please provide answers to the question below for all years from 2016 to 2019.*

*Click to check the appropriate box.*

| **Question** | **2016** | **2017** | **2018** | **2019** |
| --- | --- | --- | --- | --- |
| **11a) In your opinion, what percentage of seizures of ivory made in your country between 2016 and 2019 have been reported to TRAFFIC?**  | [ ]  0%[ ]  1-33%[ ]  34-66%[ ]  67-99%[ ]  100% | [ ]  0%[ ]  1-33%[ ]  34-66%[ ]  67-99%[ ]  100% | [ ]  0%[ ]  1-33%[ ]  34-66%[ ]  67-99%[ ]  100% | [ ]  0%[ ]  1-33%[ ]  34-66%[ ]  67-99%[ ]  100% |
| **Comments** |  |  |  |  |

**12. PURPOSE OF REPORTING SEIZURES TO TRAFFIC**

*Resolution Conf. 10.10 (Rev. CoP18) outlines the objective and mandate of ETIS. However, there are many different perceptions amongst Parties regarding the purpose of reporting to TRAFFIC.*

*Read each of the following statements and mark whether you consider they are True or False. Please feel free to provide any comments.*

*Click to check the appropriate box.*

| **Statement** | **True** | **False** | **Comments** |
| --- | --- | --- | --- |
| **12a) Reporting seizures to TRAFFIC helps to build a global picture of the illegal trade in ivory.** | [ ]  | [ ]  |  |
| **12b) Reporting seizures to TRAFFIC when little is known about the shipment is not useful.** | [ ]  | [ ]  |  |
| **12c) Reporting to TRAFFIC demonstrates my country’s law enforcement success.** | [ ]  | [ ]  |  |
| **12d) Reporting to TRAFFIC demonstrates my country’s commitment to combatting the illegal trade in wildlife.** | [ ]  | [ ]  |  |
| **12e) Reporting to TRAFFIC results in my country being penalized for effective enforcement work and successes.** | [ ]  | [ ]  |  |
| **12f) Please provide any further information on why your country may not report all seizures.**  |  |