

A. General information

Party	CANADA
Period covered in this report: 1 January 2005 to 31 December 2006	
Details of agency preparing this report	CANADIAN WILDLIFE SERVICE, ENVIRONMENT CANADA
Contributing agencies, organizations or individuals	Wildlife Enforcement Directorate, Environment Canada

B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:					
	Title and date:	Status:				
	Brief description of contents:					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input type="checkbox"/> provided previously <input type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:			The complete prohibition of:		
	Yes	No	No information	Yes	No	No information
	Issue					
	Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Taking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Possession	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<p>Additional comments</p> <p>Canada does not implement the exemptions for pre-Convention specimens (Article VII-2), captive-bred specimens and artificially propagated plants (Article VII-4 and 5). These measures were adopted at the time the <i>Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act</i> (WAPPRIITA) was put into place; no changes occurred to measures/regulations under WAPPRIITA during the reporting period. Inter-provincial transport of specimens illegally taken in a Province or Territory is also addressed by Regulations.</p>					
6	<p>What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?</p>	<p>Tick all applicable</p>			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Please provide details if available: A review of Canadian CITES implementing legislation was done in 2005-2006 with respect to the feasibility of implementing exemptions for pre-convention and captive-bred specimens and other administrative regulations. However, past analysis has shown our domestic legislation, WAPPRIITA, to be adequate for all measures.</p>					
7	<p>If no review or assessment has taken place, is one planned for the next reporting period?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>			
<p>Please provide details if available:</p>					
8	<p>Has there been any review of legislation on the following subjects in relation to implementation of the Convention?</p>	<p>Tick all applicable</p>			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
<p>Please provide details if available:</p>					

9	Please provide details of any additional measures taken:
---	--

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details. Some fines given for minor infractions			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <div style="text-align: center;">Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations</div> If possible, please specify per group of species or attach details.	Number In 2005 – 1500 seizures In 2006 -- 4800 seizures (Increase in 2006 due to illegal shipments of Hoodia products)		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. See Annex 1			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. See Annex 1 – Same information as for 7 above.			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			

11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
13	If Yes, please give a brief description: Work with Interpol, Mexico, US, Europe, Asia and Africa		
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
Comments:			
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the		

	CITES Directory. The CITES Management Authority at the Canadian Wildlife Service, Environment Canada, Conservation Service Delivery & Permitting Division, is the lead MA and is identified as such in the CITES Directory.		
5	How many staff work in each MA? The lead MA has a staff of 6; MAs in the Canadian provinces and territories have variable staff depending on levels of decentralization.		
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation: Variable in the provinces & territories; 100% for lead MA Staff at Environment Canada	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	- Administration		<input checked="" type="checkbox"/>
	- Biology		<input checked="" type="checkbox"/>
	- Economics/trade		<input type="checkbox"/>
	- Law/policy		<input checked="" type="checkbox"/>
	- Other (specify)		<input type="checkbox"/>
	- No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.		
10	Please provide details of any additional measures taken:		

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the Management Authority? The lead Scientific and Management Authorities are found within the same Branch in the Canadian Wildlife Service but scientific non-detriment assessments are made independently of the management authority.	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable	

	<ul style="list-style-type: none"> - Government institution <input checked="" type="checkbox"/> - Academic or research institution <input type="checkbox"/> - Permanent committee <input type="checkbox"/> - Pool of individuals with certain expertise <input type="checkbox"/> - Other (specify) <input type="checkbox"/> 																																			
5	<p>How many staff work in each SA on CITES issues?</p> <p>Environment Canada (lead) has 5 Scientific Authority staff; SAs in the provinces, territories and other Federal agencies have variable staff</p>																																			
6	<p>Can you estimate the percentage of time they spend on CITES-related matters</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>If yes, please give estimation: No information <input type="checkbox"/></p> <p>Variable for provinces, territories and other agencies; approximately 75% for the lead SA staff at Environment Canada</p>																																			
7	<p>What are the skills/expertise of staff within the SA(s)? Tick if applicable</p> <ul style="list-style-type: none"> - Botany <input checked="" type="checkbox"/> - Ecology <input checked="" type="checkbox"/> - Fisheries <input checked="" type="checkbox"/> - Forestry <input checked="" type="checkbox"/> - Welfare <input type="checkbox"/> - Zoology <input checked="" type="checkbox"/> - Other (specify) Physical science and environmental assessment <input checked="" type="checkbox"/> - No information <input type="checkbox"/> 																																			
8	<p>Have any research activities been undertaken by the SA(s) in relation to CITES species?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																			
9	<p>If Yes, please give the species name and provide details of the kind of research involved.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">Species name</th> <th style="width: 15%;">Populations</th> <th style="width: 20%;">Distribution</th> <th style="width: 10%;">Off take</th> <th style="width: 10%;">Legal trade</th> <th style="width: 10%;">Illegal trade</th> <th style="width: 10%;">Other (specify)</th> </tr> </thead> <tbody> <tr> <td>Goldenseal <i>Hydrastis canadensis</i></td> <td></td> <td>Province of Ontario</td> <td></td> <td></td> <td></td> <td>Studies on status</td> </tr> <tr> <td>American ginseng <i>Panax quinquefolius</i></td> <td></td> <td>Provinces of Ontario and Quebec</td> <td></td> <td></td> <td></td> <td>Study on Market Trends</td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>etc.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p style="text-align: right;">No information <input type="checkbox"/></p>	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	Goldenseal <i>Hydrastis canadensis</i>		Province of Ontario				Studies on status	American ginseng <i>Panax quinquefolius</i>		Provinces of Ontario and Quebec				Study on Market Trends	3							etc.						
Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)																														
Goldenseal <i>Hydrastis canadensis</i>		Province of Ontario				Studies on status																														
American ginseng <i>Panax quinquefolius</i>		Provinces of Ontario and Quebec				Study on Market Trends																														
3																																				
etc.																																				
1	<p>Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?</p> <p>Yes <input type="checkbox"/></p>																																			

		No <input checked="" type="checkbox"/>
		No information <input type="checkbox"/>
1	Please provide details of any additional measures taken:	
1		

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes <input checked="" type="checkbox"/>
		No <input type="checkbox"/>
		Under consideration <input type="checkbox"/>
		No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: Wildlife Enforcement Directorate, Environment Canada	
5	Please provide details of any additional measures taken:	

D4 Communication, information management and exchange

1	To what extent is CITES information computerized? Tick if applicable						
	– Monitoring and reporting of data on legal trade					<input checked="" type="checkbox"/>	
	– Monitoring and reporting of data on illegal trade (See comment under Other)					<input type="checkbox"/>	
	– Permit issuance					<input checked="" type="checkbox"/>	
	– Not at all					<input type="checkbox"/>	
	– Other (specify): Data on investigations is computerized; however few on specimen detentions and seizures are.					<input checked="" type="checkbox"/>	
2	Do the following authorities have access to the Internet? Tick if applicable						
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Is there an electronic information system providing information on CITES species?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	No information	<input type="checkbox"/>
4	If Yes, does it provide information on:	Tick if applicable					
	- Legislation (national, regional or international)?		<input checked="" type="checkbox"/>				
	- Conservation status (national, regional, international)?		<input checked="" type="checkbox"/>				
	- Other (please specify)?		<input type="checkbox"/>				
5	Is it available through the Internet:	Yes	X	No	<input type="checkbox"/>	Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>				
	Please provide URL: The Canadian CITES control list including links to information on the Canadian status of Canadian CITES-listed species is found at www.cites.ec.gc.ca						
6	Do the authorities indicated have access to the following publications?	Tick if applicable					
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2005 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2005 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

7	If not, what problems have been encountered to access this information?
8	<p>Have enforcement authorities reported to the Management Authority on: Tick if applicable</p> <ul style="list-style-type: none"> – Mortality in transport? <input type="checkbox"/> – Seizures and confiscations? On occasion <input checked="" type="checkbox"/> – Discrepancies in number of items in permits and number of items actually traded? <input type="checkbox"/> <p>Comments: In Canada, original permits presented to Customs are forwarded to the Lead MA in Environment Canada. Actual imports or exports should be indicated on the permits returned from Customs</p>
9	<p>Is there a government website with information on CITES and its requirements? Yes <input checked="" type="checkbox"/></p> <p style="text-align: right;">No <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If Yes, please give the URL: www.cites.ec.gc.ca</p>
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? Tick if applicable</p> <hr/> <ul style="list-style-type: none"> – Press releases/conferences <input type="checkbox"/> – Newspaper articles, radio/television appearances <input type="checkbox"/> – Brochures, leaflets <input checked="" type="checkbox"/> – Presentations <input checked="" type="checkbox"/> – Displays (at Airports) <input checked="" type="checkbox"/> – Information at border crossing points <input type="checkbox"/> – Telephone hotline <input checked="" type="checkbox"/> – Other (specify) <input type="checkbox"/> <hr/> <p>Please attach copies of any items.</p> <p>Copies of pamphlets produced are still unchanged from what they were in 2003-2004.</p>
11	Please provide details of any additional measures taken:

D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?		Yes	<input checked="" type="checkbox"/>		
			No	<input type="checkbox"/>		
			Not applicable	<input type="checkbox"/>		
			No information	<input type="checkbox"/>		
If no, please provide details of any:						
Changes in permit format:						
Changes in designation or signatures of relevant officials:						
2	To date, has your country developed written permit procedures for any of the following?		Tick if applicable			
			Yes	No	No information	
	Permit issuance/acceptance		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Registration of traders		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Registration of producers		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1 (2005)	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	0	7921	1021	287	Out of a total of 9229 permits issued, 202 permits authorizing multiple shipments resulted in ~18,000 additional shipments.
	How many applications were denied because of serious omissions or misinformation?	0		80		
	Year 2 (2006)	Import or introduction from the sea	Export	Re-export	Other	Comments
How many documents were issued?	0	6894	411	333	Out of a total of 7638 permits issued, 194 permits authorizing multiple shipments resulted in ~15,000 additional shipments.	
How many applications were denied because of serious omissions or misinformation?	0		8			
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?		Yes	<input type="checkbox"/>		
			No	<input type="checkbox"/>		

		No information	<input checked="" type="checkbox"/>
5	If Yes, please give the reasons for this.		
6	Please give the reasons for rejection of CITES documents from other countries.	Tick if applicable	
	Reason	Yes	No
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments: Jurisdictions with responsibilities for wildlife management may use these tools in their management practices and these are reflected in the issuance of permits under WAPPRIITA.		
8	How many times has the Scientific Authority been requested to provide opinions? Scientific Authority is routinely consulted in determinations of Non-detriment Findings; consultations annually are too numerous to count.		
9	Has the MA charged fees for permit issuance, registration or related CITES activities?	Tick if applicable	
	There are no permit fees for CITES permits in Canada. Provinces and Territories may have fees for permits to harvest CITES species under their management schemes; these are often applicable to harvest of any species irrespective of whether or not it is CITES-listed.		
	- Issuance of CITES documents:	<input type="checkbox"/>	
	- Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>	
	- Harvesting of CITES-listed species :	<input type="checkbox"/>	
	- Use of CITES-listed species:	<input type="checkbox"/>	
	- Assignment of quotas for CITES-listed species:	<input type="checkbox"/>	
	- Importing of CITES-listed species:	<input type="checkbox"/>	
	- Other (specify):	<input type="checkbox"/>	
10	If Yes, please provide the amounts of such fees.		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable	
	- Entirely:	<input type="checkbox"/>	
	- Partly:	<input type="checkbox"/>	
	- Not at all:	<input type="checkbox"/>	
	- Not relevant:	<input checked="" type="checkbox"/>	
	Comments:		
12	Please provide details of any additional measures taken:		

--	--

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities <input checked="" type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools <input checked="" type="checkbox"/>	Computerization <input checked="" type="checkbox"/>
	- Other (specify) <input type="checkbox"/>	
2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?	
	Please tick boxes to indicate which target group and which activity.	
		Oral or written advice/guidance
		Technical assistance
		Financial assistance
		Training
		Other (specify)
	Target group	
	Staff of Management Authority <input checked="" type="checkbox"/>	What were the external sources?
	Staff of Scientific Authority <input type="checkbox"/>	interpretation advice routinely sought from CITES Secretariat, CITES Web site
	Staff of enforcement authorities <input type="checkbox"/>	
	Traders <input type="checkbox"/>	
	NGOs <input type="checkbox"/>	
	Public <input type="checkbox"/>	
	Other (specify) <input type="checkbox"/>	

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advice and training provided to extended network of MAs/SAs from Environment Canada
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken:						

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Frequency varies depending on issues as they arise
	Consultations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input checked="" type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?						Tick if applicable	
	Scientific Authority						<input type="checkbox"/>	
	Customs						<input checked="" type="checkbox"/>	
	Police						<input type="checkbox"/>	
	Other border authorities (specify) Canada Border Services Agency						<input checked="" type="checkbox"/>	
	Other government agencies : Most provinces and territories						<input checked="" type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
	NGOs						<input type="checkbox"/>	
Other (specify)						<input type="checkbox"/>		

6	Have Government staff participated in any regional activities related to CITES?		Tick if applicable
	Workshops		<input type="checkbox"/>
	Meetings		<input checked="" type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? Mexico, a large number of CITES Identification Guides offered		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description. Consultation and coordination occur between Federal staff involved in MEA implementation to ensure consistency in Canadian approach, particularly with respect to CBD		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty? While not pointing to one resolution specifically, generally interpretation of intent of resolutions can become difficult particularly as new resolutions are adopted without consideration of past decisions/resolutions – leading to inconsistencies between resolutions and Parties' implementation.			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item	Enclosed	<input type="checkbox"/>
B4	Copy of full text of CITES-relevant legislation	Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input checked="" type="checkbox"/>
	Comments: NOTE, shortly Canada's report on implementation of our domestic legislation, WAPPRIITA, for 2005 and 2006 will be available on the CITES in Canada website at www.cites.ec.gc.ca		

ANNEX 1

IN 2005

Environment Canada carried out 405 investigations into poaching or trafficking incidents involving international or interprovincial movement of wildlife, under the provisions of the applicable federal, provincial/territorial, or foreign legislation. Most of these investigations concluded with the confiscation and forfeiture of goods and issuance of a ticket.

Many significant cases in 2005, including some that are precedents, were prosecuted or completed successfully in 2005. Examples follow:

Illegal Interprovincial Transport

On March 14, 2005, a resident of the city of Lévis, Quebec, pleaded guilty in the Court of Quebec, Criminal and Penal Division to 45 charges relating to the purchase, sale, and possession of black bear gall bladders for the purpose of illegal interprovincial trade. He was sentenced to pay \$47 456 in fines and court costs, representing one of the highest fines ever imposed in Canada for possession of bear parts.

Following interventions carried out under Operation America, the individual was charged with 25 counts under section 8(b) of WAPPRIITA. He was found guilty of possessing black bear gall bladders for trafficking purposes between February 2001 and November 2002. He was also found guilty, under the provincial *Act Respecting the Conservation and Development of Wildlife*, of 20 charges relating to the purchase or sale of black bear gall bladders.

Illegal Import of Turtles and Tortoises

On October 25, 2005, an individual was sentenced to pay three fines of \$500 each on two counts of importing turtles and tortoises without permits under WAPPRIITA and one count under the federal *Customs Act* in Surrey Provincial Court. In addition, he was ordered to pay \$6500 to the Environmental Damages Fund (up to \$2000 going towards the Vancouver Aquarium Marine Science Centre for the care of the seized animals, with the rest going towards public education on the trade in protected species). In a plea agreement he pled guilty to two counts under WAPPRIITA and one count under the *Customs Act*. The forfeited animals have been placed in a zoo certified by the Canadian Association of Zoos and Aquariums.

Illegal Import of Orchids

On June 28, 2005, an individual pled guilty in the Ontario Court of Justice, Provincial Division in Mississauga, Ontario to one count under WAPPRIITA, section 6(2). On January 18, 2005, the person unlawfully imported 51 live orchids and three live Euphorbia plants into Canada from the Philippines without a CITES permit. The person received a \$1000 fine, plus a \$125 victim surcharge. The undeclared plants, which were found by a Canada Border Services Agency inspector in the subject's luggage at Pearson International Airport, were transferred to the Canadian Food Inspection Agency for disposal.

Illegal Importation of Asian Medicines

On October 14, 2005, a company pled guilty in the Ontario Court of Justice, Provincial Division in Mississauga, Ontario on charges of illegally importing traditional Asian medicines said to contain derivatives made from CITES listed plants. On June 2, 2005, the company imported 6600 packages of pills containing Gastrodia orchids and Saiga antelope from China without the required CITES export permit. The company was fined a total of \$1500 and the medicines, valued at approximately \$3700, were forfeited to the Crown.

IN 2006

Environment Canada carried out 350 investigations into poaching or trafficking incidents involving international or interprovincial movement of wildlife, under the provisions of the applicable federal, provincial/territorial, or foreign legislation. Most of these investigations concluded with the forfeiture and confiscation of goods and the issuance of a ticket.

Following are some examples of the many significant cases in 2006, including some that are precedents that were prosecuted or completed successfully.

Illegal Interprovincial Transport

R. v. four individuals. The special investigation units of the Saskatchewan Ministry of Environment and Manitoba Conservation conducted an extensive investigation into the illegal trade of trophy-class big-game antlers by targets identified on both sides of the Manitoba–Saskatchewan border and into the United States. The following occurred after a two-year undercover investigation (2004–2005):

- **The first individual** was convicted of 22 counts under Saskatchewan’s *Wildlife Act, 1998* and seven counts under WAPPRIITA. The person pleaded guilty to all 29 counts against him. He was fined \$34 000 for the convictions under the Saskatchewan legislation and \$7000 for the convictions under WAPPRIITA. He was sentenced to two years in a federal penitentiary and received a five-year hunting suspension.
- **The second individual** was convicted of three counts under Saskatchewan’s *Wildlife Act, 1998*, six counts under Manitoba’s *Wildlife Act* and *Wild Animal Parts Regulation* and three counts under WAPPRIITA. The person appeared in court in both Saskatchewan and Manitoba and was fined \$5000 for the convictions under the Saskatchewan legislation, \$20 125 for the convictions under the Manitoba legislation, and \$22 125 for the convictions under WAPPRIITA. His sentences included a five-year hunting suspension from Saskatchewan, a two-year revocation of his wildlife dealer permit in Manitoba, and a WAPPRIITA court order that prohibited him from obtaining export, import, or interprovincial transportation permits for any animals or animal parts for two years.
- **The third individual** was convicted of one count under Saskatchewan’s *Wildlife Act, 1998*, four counts under Manitoba’s *Wildlife Act*, and two counts under WAPPRIITA. The person appeared in court in both Saskatchewan and Manitoba and was fined \$3000 for the convictions under the Saskatchewan legislation, \$6900 for the convictions under the Manitoba legislation, and \$11 500 for the convictions under WAPPRIITA. He received a five-year hunting suspension in Saskatchewan, and a two-year revocation of his wildlife dealer permit in Manitoba, as well as a WAPPRIITA court order that prohibited him from obtaining export, import, or interprovincial transportation permits for any animals or animal parts for two years.
- **The fourth individual** was convicted of two counts under Saskatchewan’s *Wildlife Act, 1998*, and appeared in court in Saskatchewan, where he was ordered to pay \$4000 in fines.

The total fines for this case were \$113 650.

Illegal Hunting

In 2006, following a joint investigation by staff at Alberta Sustainable Resource Development and Environment Canada headquarters and Quebec Region, five Quebec residents were convicted of hunting illegally in Alberta and Saskatchewan; three were also found guilty of WAPPRIITA offences for illegally transporting unlawfully harvested wildlife between provinces. The file generated significant media attention in both Quebec and Alberta.

Illegal Import of a Tortoise

In 1999, wildlife enforcement officers seized an Egyptian tortoise (one of the most endangered tortoises in the world) that was being brought into Canada in contravention of CITES. The tortoise was purchased by a teenaged boy in a pet store in Israel with what the boy thought was the appropriate paperwork. However, the paperwork he received only satisfied the requirements of the Israeli agriculture ministry on export of the animal; he did not have the required CITES permits. He reported the tortoise to the Canada Border Services Agency on import and was very cooperative during the investigation. Due to all circumstances, it was decided by the officer, his manager, and the Department of Justice that charges would not be appropriate in this case.

The Halifax media covered the seizure thoroughly when it occurred. Eventually, the enforcement officers found a suitable home for the tortoise, named "Anthony," and donated it to Oaklawn Farm Zoo, a small zoo in the Annapolis Valley of Nova Scotia. The zoo obtained a mate for him, named "Cleopatra." They successfully bred, and in 2006 three eggs hatched – a Canadian first. The Egyptian tortoise breeding program at Oaklawn Farm Zoo is the only breeding program of its kind in Canada.

Illegal Import of Rugs

A Toronto-based company and one of its co-owners were fined \$2250 in the Ontario Court of Justice (Provincial Division) after being found guilty on November 28, 2006, on charges of illegally importing from Ethiopia rugs made from monkey skins.

An investigation conducted by Environment Canada's Wildlife Enforcement Division established that the accused unlawfully imported seven rugs in October 2004 made from skins of the black-and-

white colobus monkey. These rugs were declared on customs documents as plastic rugs, and the accused later testified that he believed the rugs were made from goat skins.

The black-and-white colobus monkey is abundant in Ethiopia, but the trade in rugs made from this species is illegal there. Nevertheless, these types of rugs, used by Ethiopians as decorations, good luck symbols, and for religious ceremonies, continue to be sold locally. The rugs in this case, made from approximately 100 colobus monkey skins, had an estimated commercial value of between \$16 000 and \$18 000. This monkey is a threatened species listed under Appendix II of CITES. It is also protected under WAPPRIITA and its regulations. The accused did not obtain the Ethiopian CITES export permit required to legally import the monkey skin rugs into Canada.

The accused and the company were jointly charged under WAPPRIITA. The accused was ordered to pay a fine of \$1000 plus a mandatory victim surcharge of \$125. The company was assessed identical penalties. The illegally imported rugs were forfeited to the Crown.

Illegal Import of Sturgeon Meat

November 14, 2006, a company was convicted of one count under section 6(2) of WAPPRIITA in the Ontario Court of Justice, Criminal Division in Brampton, Ontario following the entry of a guilty plea accompanied by an Agreed Statement of Facts. The charge related to the unlawful import into Canada of sturgeon caviar from the Republic of Turkey in April 2005. A Republic of Turkey CITES re-export permit which accompanied the import did not accurately describe the sturgeon eggs present in the import. The latter was established through extensive use of DNA evidence. The corporation was fined \$3000 and ordered to forfeit all caviar seized by Wildlife Enforcement Directorate during the course of this investigation – valued at \$305 000. Similar charges against the company's president were withdrawn by the Crown following conviction.

Other Illegal Activities and Importation under WAPPRIITA

The weight-loss industry put significant pressure on CITES enforcement resources in 2006. A sudden influx of illegal shipments of hoodia gordonii, an Appendix II plant from southern Africa used as an appetite suppressant, began in May 2006 in Toronto and Vancouver and then spread to Montréal and Calgary. In all, nearly 3000 shipments were intercepted and detained by Environment Canada and Canada Border Services Agency in 2006. The companies selling the threatened species placed radio advertisements in major metropolitan markets across the country extolling the alleged virtues of the plant and enticing customers to call toll-free numbers

to order the weight-loss pills. Located outside Canada (most in the United States), the companies did not include with the packages the required CITES re-export permits, showing that the plants were obtained legally. A media release, issued by Environment Canada in August 2006 to inform the public and promote compliance, did little to stem the influx of the illegal product. Subsequent media interest happened during and after the Christmas season. In addition to the impact the illegal trade has had on the hoodia species, the result of the flood of the illegal product has been a backlog in inspections of up to one year in some locations.

In another case, on October 17, 2006, an individual was convicted of one count under subsection 6(2) of WAPPRITA following a guilty plea and a Joint Submission on Conditional Sentence. The person's unlawful activities focused on the possession, transportation, import, and export of numerous artifacts containing or wholly consisting of CITES Appendix I and Appendix II animal parts. The person made extensive use of eBay, a popular Internet auction site, to buy and sell endangered species throughout the world. His unlawful activities became the subject of a joint investigation undertaken by Environment Canada and the United States Fish and Wildlife Service. The investigation culminated with the person's arrest in the City of New York by special agents of the United States Fish and Wildlife Service. The person was originally charged in Canada with 44 counts under WAPPRITA and faced similar charges in the United States. The guilty plea in Canada resulted in a 12-month conditional jail sentence, four months of which was to be served under house arrest followed by an additional four months to be served under curfew; forfeiture of all artifacts seized as a result of a search warrant executed by Environment Canada; 200 hours of community service; immediate reporting to Environment Canada of all transactions that the accused might enter into relating to the import, export, possession, transportation, offer for sale, sale, or purchase of any endangered species of flora or fauna, or parts or derivatives thereof; and the maintenance of legible records of all transactions relating to endangered species, in addition to making available to the Wildlife Enforcement Directorate for inspection any computers used in relation to such transactions.