

A. General information

Party	Ukraine
Period covered in this report:	1 January 2007 to 31 December 2008
Details of agency preparing this report	Ministry of Environmental Protection of Ukraine
Contributing agencies, organizations or individuals	State Committee for Fisheries of Ukraine, Southern Scientific Research Institute of Marine Fisheries and Oceanography (YugNIRO) – CITES Scientific Authority of Ukraine on sturgeon fish species and products made thereof

B. Legislative and regulatory measures

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) <input checked="" type="checkbox"/> Yes (partly) <input type="checkbox"/> No <input type="checkbox"/> No information/unknown <input type="checkbox"/>				
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:					
	Title and date: Decree of the Cabinet of Ministers "On endorsement of Procedure for issuance of permits for export and import of wild fauna and flora specimens, certificates for travelling exhibitions, re-export and introduction from the sea of those specimens, which are subject of regulation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora", 25 July 2007 No 953	Status: enacted				
	Brief description of contents: Lay down the rules for granting CITES permits/certificates					
3	Is enacted legislation available in one of the working languages of the Convention?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>				
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached <input checked="" type="checkbox"/> provided previously <input checked="" type="checkbox"/> not available, will send later <input type="checkbox"/>				
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:					
Issue	Yes	No	No information	The complete prohibition of:		
	Yes	No	No information	Yes	No	No information
Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	Commercial Fishery of sturgeons in Ukraine is prohibited					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?	Tick all applicable			
	Item	Adequate	Partially Inadequate	Inadequate	No information
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Please provide details if available:					
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes	<input type="checkbox"/>		
		No	<input type="checkbox"/>		
		No information	<input checked="" type="checkbox"/>		
Please provide details if available:					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable			
	Subject	Yes	No	No information	
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Please provide details if available:					
9	Please provide details of any additional measures taken:				

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken? <i>(заполнение этой позиции не входит в компетенцию ЮЗНИРО)</i>			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details. There were cases of seizure and confiscations of CITES specimens			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details.	Number 2000 tortoises		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex. There were court decisions on confiscation of CITES specimens, e.g. in case of steppe tortoises			
10	How were the confiscated specimens usually disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input type="checkbox"/>
	– Approved, private facilities			<input type="checkbox"/>
	– Euthanasia			<input checked="" type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments:			
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
13	If Yes, please give a brief description: There has been exchange of information between the CITES Management Authority of Ukraine and Management Authorities of other countries (Japan, Spain, France etc.) to check the validity of the CITES permits/certificates which cover specimens transported between relevant countries			
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>

	the arrest and conviction of offenders?	No information	<input type="checkbox"/>
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Although there is no formal designation of the lead MA, Ministry of Environmental Protection of Ukraine used to fulfill this function, it is not identified in the CITES Directory		
5	How many staff work in each MA? Ministry of Environmental Protection of Ukraine – 2 staff-members, State Committee for Fishery – 1. All the staff-members involved devote to the CITES matters only part of their working time sharing them with other duties and responsibilities		
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable	
	– Administration		<input checked="" type="checkbox"/>
	– Biology		<input checked="" type="checkbox"/>
	– Economics/trade		<input type="checkbox"/>
	– Law/policy		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken:

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Is the designated Scientific Authority independent from the Management Authority?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable
	– Government institution	<input type="checkbox"/>
	– Academic or research institution	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? In YugNIRO on the given item – 6, no information for other SA(s)	
6	Can you estimate the percentage of time they spend on CITES-related matters If yes, please give estimation In YugNIRO - 40 %, no information for other SA	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input checked="" type="checkbox"/>
	– Forestry	<input type="checkbox"/>
	– Welfare	<input type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	<i>Acipenser gueldenstaedtii</i>	the Azov Sea the Black Sea	According to the results of the register surveys	Yes	No	No	No
	<i>Acipenser stellatus</i>	the Azov Sea the Black Sea	According to the results of the register surveys	Yes	No	No	No
	<i>Huso huso</i>	the Azov Sea the Black Sea	No	No	No	No	No
[Please continue on separate sheet, as necessary.]					No information	<input type="checkbox"/>	
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken:						

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email). will be sent additionally		
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken:		

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?		Tick if applicable				
	– Monitoring and reporting of data on legal trade		<input checked="" type="checkbox"/>				
	– Monitoring and reporting of data on illegal trade		<input type="checkbox"/>				
	– Permit issuance		<input checked="" type="checkbox"/>				
	– Not at all		<input type="checkbox"/>				
– Other (specify)		<input type="checkbox"/>					
2	Do the following authorities have access to the Internet?		Tick if applicable				
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Is there an electronic information system providing information on CITES species?		Yes	<input type="checkbox"/>			
			No	<input checked="" type="checkbox"/>			
			No information	<input type="checkbox"/>			
4	If Yes, does it provide information on:		Tick if applicable				
	– Legislation (national, regional or international)?		<input type="checkbox"/>				
	– Conservation status (national, regional, international)?		<input type="checkbox"/>				
	– Other (please specify)?		<input type="checkbox"/>				
5	Is it available through the Internet:		Yes	<input type="checkbox"/>			
			No	<input type="checkbox"/>			
			Not applicable	<input type="checkbox"/>			
			No information	<input type="checkbox"/>			
Please provide URL:							
6	Do the authorities indicated have access to the following publications?		Tick if applicable				
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
7	If not, what problems have been encountered to access this information?			
8	Have enforcement authorities reported to the Management Authority on:	Tick if applicable		
	– Mortality in transport?			<input type="checkbox"/>
	– Seizures and confiscations?			<input type="checkbox"/>
	– Discrepancies in number of items in permits and number of items actually traded?			<input type="checkbox"/>
	Comments:			
9	Is there a government website with information on CITES and its requirements?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	If Yes, please give the URL: www.rada.gov.ua ; www.menr.gov.ua			
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?	Tick if applicable		
	– Press releases/conferences			<input checked="" type="checkbox"/>
	– Newspaper articles, radio/television appearances			<input checked="" type="checkbox"/>
	– Brochures, leaflets			<input type="checkbox"/>
	– Presentations			<input checked="" type="checkbox"/>
	– Displays			<input type="checkbox"/>
	– Information at border crossing points			<input type="checkbox"/>
	– Telephone hotline			<input checked="" type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Please attach copies of any items.			
11	Please provide details of any additional measures taken: There have been information prepared on the CITES requirements in three languages (English, Ukrainian, Russian) and put on web-sites of international airports			

D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat? If no, please provide details of any:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	Changes in permit format:		
	Changes in designation or signatures of relevant officials		
2	To date, has your country developed written permit procedures for any of the following?	Tick if applicable	
		Yes	No
	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			No information
			<input type="checkbox"/>

	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	2007	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	–	344	61	4	
	How many applications were denied because of serious omissions or misinformation?	–	–	–	–	
	2008					
	How many documents were issued?	–	211	68	4	
	How many applications were denied because of serious omissions or misinformation?	–	–	–	–	
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?				Yes	<input type="checkbox"/>
					No	<input checked="" type="checkbox"/>
					No information	<input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason		Yes	No	No information	
	Technical violations		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Suspected fraud		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
					No information	<input type="checkbox"/>
	Comments					
8	How many times has the Scientific Authority been requested to provide opinions? YugNIRO - 0					

9	Has the MA charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	<input type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input type="checkbox"/>
	– Partly:	<input type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input checked="" type="checkbox"/>
	Comments:	
12	Please provide details of any additional measures taken:	

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?	Tick if applicable
	Increased budget for activities <input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff <input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools <input type="checkbox"/>	Computerization <input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.					What were the external sources?	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training		Other (specify)
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.					Details	
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training		Other (specify)
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken:						

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet?		

3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input type="checkbox"/>		
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?					Tick if applicable		
	Scientific Authority						<input checked="" type="checkbox"/>	
	Customs						<input type="checkbox"/>	
	Police						<input type="checkbox"/>	
	Other border authorities (specify)						<input type="checkbox"/>	
	Other government agencies						<input type="checkbox"/>	
	Private sector bodies						<input type="checkbox"/>	
NGOs						<input type="checkbox"/>		
Other (specify)						<input type="checkbox"/>		
6	Have Government staff participated in any regional activities related to CITES?					Tick if applicable		
	Workshops						<input checked="" type="checkbox"/>	
	Meetings						<input checked="" type="checkbox"/>	
Other (specify)						<input type="checkbox"/>		
7	Has there been any effort to encourage any non-Party to accede to the Convention?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	
8	If Yes, which one(s) and in what way?							
9	Has technical or financial assistance been provided to another country in relation to CITES?					Yes	<input type="checkbox"/>	
						No	<input checked="" type="checkbox"/>	
						No information	<input type="checkbox"/>	

10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty? Insufficient financial support for carrying out of production identification by genetic methods.			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
5	If Yes, please describe the constraint and the type of attention or assistance that is required. Lack of CITES-related reference information for enforcement agencies (identification manuals, handbook)			
6	Have any measures, procedures or mechanisms been identified within the Convention that would benefit from review and/or simplification?	Yes	<input type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input checked="" type="checkbox"/>	
7	If Yes, please give a brief description.			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format. Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4(10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

CABINET OF MINISTERS OF UKRAINE

DECREE

No. 953 of July 25, 2007

The city of Kyiv

On approval of the procedure for issuing permits for import and export of the specimens of wild fauna and flora, certificates for travelling exhibitions, re-export and introduction from the sea of the above mentioned specimens covered by the Convention on International Trade in Endangered Species of Wild Fauna and Flora

The Cabinet of Ministers hereby enacts:

To approve the procedure for issuing permits for import and export of specimens of wild fauna and flora, certificates for travelling exhibitions, re-export and introduction from the sea of the above mentioned specimens covered by the Convention on International Trade in Endangered Species of Wild Fauna and Flora (enclosed herein).

Prime Minister of Ukraine

V. YANUKOVYCH

Ind. 33

APPROVED

By the Decree of the Cabinet of Ministers of Ukraine

No. 953 of July 25, 2007

PROCEDURE

for issuing permits

for import and export of specimens of wild fauna and flora, certificates for travelling exhibitions, re-export and introduction from the sea of the above mentioned specimens covered by the Convention on International Trade in Endangered Species of Wild Fauna and Flora

1. The present Procedure determines the process for issuing permits for import and export of specimens of wild fauna and flora, certificates for travelling exhibitions, re-export and introduction from the sea of the above mentioned specimens covered by the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as Specimens).

2. In this procedure the terms “specie”, “specimen”, “trade” “re-export” and “introduction form the sea” have the meaning assigned by the Article 1 of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as Convention).

The terms “import of the specimens into Ukraine” and “export of the specimens from Ukraine” shall respectively mean “entry of the specimens at the territory of Ukraine (except the case of transit through the territory of Ukraine of the specimens being under customs control)” and “transportation of the specimens out of its territory”.

3. Permits for import and export of the specimens as well as certificates for travelling exhibitions, re-export and introduction from the sea of the mentioned specimens shall be issued by the Management Authority of Ukraine, which is the Ministry of Environment Protection of Ukraine – regarding all specimens except sturgeons and their products, and State Committee of Fisheries and Fishing Industry of Ukraine – regarding sturgeon specimens and their products.

4. Permit is issued for import or export of the specimens included to the Appendix I of the Convention, as well as for export of the specimens of species included to the Appendices II and III of Convention.

Certificate is issued for re-export or introduction from the sea of the specimens being the subjects regulated under the Convention.

Certificate for travelling exhibition is issued for repeated transportation through the customs boundary of Ukraine of the specimens which form the part of a circus, travelling exhibition and the subject regulated under the Convention.

Permit for import or export of the specimens as well as certificate for re-export or introduction from the sea of the specimens shall be issued separately for each specimen or batch of the specimens.

Certificate for travelling exhibition shall be issued separately for each living specimen which form the part of a circus, travelling exhibition and the subject regulated under the Convention.

5. Duration of the permit for import or export of the specimens (hereinafter referred to as Permit), certificate for re-export, introduction from the sea of the specimens and/or travelling exhibition certificate (hereinafter referred to as Certificate) is calculated beginning from the day of issue thereof and is:

for the specimens which form the part of a circus and/or travelling exhibition - 12 months (both for single import or export and for re-export of the specimens) or three years (for the specimens registered in the Management Authority of Ukraine according to the point 16 of this Procedure);

for the sturgeon specimens and their products – not longer than till the end of the current year;

for export and/or re-export of the other specimens – not longer than 6 months, and for import – not longer than 12 months.

6. Permit or certificate shall include:

the title of the Convention (995_129);

name of the issuing Management Authority of Ukraine;

purpose of import or export of the specimen (for permit);

purpose of re-export or introduction from the sea of the specimen (for certificate);

information on location of the importer and/or exporter in Ukrainian and English, or French, or Spanish languages (in the case of individual person the permanent place of residence shall be specified);

name of the genus and species of the animal or plant which the specimen belongs to, in Latin language (in the case when Latin name has any synonyms, the name of the specie included to the Appendices of the Convention should be specified along with the name in Ukrainian language);

number of the Appendix to the Convention (I, II and III), which the species of the animal or plant is included in;

description of the specimens (living animals with specification to the extent possible of sex, age, number of the marking (when applicable), and/or plants, skin, stuffed animals, carcasses, skulls, horns, fangs, sturgeon caviar, seeds, roots, tubers, bulbs, bulbotubers, rootstocks and other parts as well as the products manufactured from the animals or plants) with specification of their quantity or weight;

duration of the permit or certificate;

place and date of issue;

other information according to the Convention.

Permit or certificate shall be signed by the authorized official person of the issuing Management Authority of Ukraine and sealed.

The form of permit or certificate and the application form for obtaining thereof shall be approved by the Ministry of Environmental Protection of Ukraine as agreed with the State Committee of Fisheries and Fishing Industry.

7. In order to obtain permit or certificate the applicant shall submit to the Management authority of Ukraine an appropriate application that includes:

full name, permanent place of residence, telephone or fax number of the applicant – for individual persons;

full name, location, telephone or fax number of the applicant – for the legal entity;

full name, permanent place of residence, telephone or fax number of the exporter and/or importer of the specimen in Ukrainian and English, or French, or Spanish languages – for the individual person;

full name, location, telephone or fax number of the exporter and/or importer of the specimen in Ukrainian and English, or French, or Spanish languages – for the legal entity;

purpose of export, import, re-export or introduction from the sea of the specimen (commercial application, scientific research, tour of the circus, replenishment of zoos', botanic gardens' museums' or individuals' collections, hunter's trophies etc.);

name of the genus and species of the animal or plant which the specimen belongs to, in Latin language (in the case when Latin name has any synonyms, the name of the species included to the Appendices of the Convention should be specified along with the name in Ukrainian language);

description of the specimens (living animals with specification to the extent possible of sex, age, number of the marking (when applicable), and/or plants, skin, stuffed animals, carcasses, skulls, horns, fangs, sturgeon caviar, seeds, roots, tubers, bulbs, bulbotubers, rootstocks, other parts as well as the products manufactured from the animals or plants) with specification of their quantity or weight;

origin of the specimen (taking out of the natural environment, birth in captivity, breeding or farming in vitro, import from the other country, introduction from the sea etc.);

way of specimen's acquisition in the ownership, disposal and exploitation;

term of specimen's import, export, re-export or introduction from the sea.

The documents certifying origin of the specimen and legality of its acquisition in the ownership, disposal and exploitation should be enclosed to the application. Depending on the way of specimen's acquisition in the ownership, disposal and exploitation the following documents shall be submitted:

Extract from the State Register of the Breeding Animals or from the Registration Log of artificial propagation of the animals and plants with specification of the date and place of breeding, brood numbers and names of the animals (if any) and in the case when the specimen is included to the Appendix I of Convention, – information on their parental species until the second generation;

Duly certified copies of the civil law treaties and/or other legal instruments being the basis for specimen's acquisition in the ownership, disposal and exploitation;

Duly certified copy of permit for specimen's taking out of the natural environment or copy of the certificate for introduction of the specimen from the sea;

Copy of permit issued by the Management authority of the country where the specimen is exported or re-exported to, if it belongs to the specie included to the Appendix I of Convention;

Other documents certifying origin of the specimen and legality of its acquisition in the ownership, disposal and exploitation.

8. In the case of export of the living specimens of special value (as a rule species included to the Appendix I of Convention) or species sensitive to the transporting conditions and change of the housing conditions, the Management Authority of Ukraine could additionally require from the applicant to submit the documents certifying consent of the importer to import such specimen and meeting of appropriate requirements for their housing.

9. In the case of potential danger for survival of the species in a result of its specimens' international trade, a permit or certificate is issued upon availability of positive conclusion issued by the appropriate Scientific Authority of Ukraine regarding adherence to the Convention (hereinafter referred to as Scientific Authority). The conclusion is prepared after receipt of the application from the Management authority of Ukraine or from the applicant.

The conclusion of the Scientific Authority should include:

Assessment of the level of danger for survival of the appropriate species resulted by the scheduled export or import of the specimen;

Assessment of the importer's or exporter's capability to provide appropriate conditions for housing of the living specimen in Ukraine (especially of those that belong to species included to the Appendix I of Convention);

Proposal regarding advisability of limitation for import or export of the living specimen;

Other information necessary for taking a decision on issuance of permit or certificate.

10. Management Authority of Ukraine within 30 days considers the documents submitted by the applicant and issues to him/her an appropriate permit or certificate or sends a justified denial.

For preparation of the conclusion by the Scientific authority regarding potential danger for survival of the specie in a result of its specimens' international trade the term of consideration shall be prolonged for 30 days.

With the purpose to minimize possible negative effect for living specimens the Management Authority of Ukraine takes steps to reduce the term necessary for consideration of the documents submitted by the applicant for issuance of permit or certificate.

11. When the applicant submits the documents in contravention of this Procedure, his/her application regarding issuance of a permit or certificate is left without consideration, whereof he/she is informed within a week beginning from the day of appropriate application's registration.

12. To obtain a certificate for re-export of the specimen the applicant submits the application according to the requirements stipulated in the point 7 of this Procedure enclosing a copy of a permit for export of the specimen into Ukraine or a copy of a certificate for re-export issued by the Management authority of the country from where the specimen is exported into Ukraine.

In the case of re-export of the specimen exported into Ukraine from a state not a Party of the Convention, the applicant shall also enclose the copy of a permit for its export, issued by a competent authority of this state.

13. For export or re-export of the specimen belonging to the species included to the Appendices I, II and III of the Convention, the Management Authority of Ukraine issues to the applicant an original and two copies of the appropriate permit for export or the certificate for re-export.

14. For import or export of the specimen belonging to the specie included to the Appendix I of the Convention, the Management Authority of Ukraine issues to the applicant an original of

the appropriate permit which shall be submitted to the Management authority of the country from where the specimen is exported into Ukraine, and a copy of the mentioned permit.

15. Unexpended permit or certificate shall be returned by the applicant to the issuing Management Authority of Ukraine within 30 days beginning from the date of its expiration with explanation of the reasons for failure to expend thereof.

When necessary a new permit or certificate shall be issued to the applicant instead of the returned unexpended permit or certificate on the basis of an appropriate application.

When the applicant after return of the unexpended expired permit or certificate submits an application regarding issuance to him of the same permit or certificate for the new term, he/she should enclose to the mentioned application a document certifying consent of the importer for receipt of the specimen covered by the appropriate permit or certificate for export or re-export.

16. A travelling exhibition certificate is issued in the case of export, import and/or re-export of the specimen which form the part of a circus or travelling exhibition, envisaging repeated transportation thorough the customs boundary of Ukraine.

Application regarding issuance of the travelling exhibition certificate shall be submitted to the Management authority of Ukraine after registration of the specimens which form the part of a circus, travelling exhibition or form the subject regulated by the Convention (995_129).

The specimen being registered shall meet the following requirements:

It should be acquired in the ownership, disposal and exploitation according to the requirements of the legislation;

It should be acquired in the ownership, disposal and exploitation before July 1, 1975 or before the date of inclusion to the Appendices of the Convention of the species to which this specimen belongs;

It should be born in captivity or bred *in vitro*.

Application for issuance of the travelling exhibition certificate with enclosed documents shall be submitted according to the point 7 of this Procedure.

Management Authority of Ukraine considers the application for issuance of the travelling exhibition certificate with enclosed documents according to the requirements set out in the points 8-11 of this Procedure.

A copy of the travelling exhibition certificate which is kept by the Management authority of Ukraine is executed along with issuance thereof to the applicant.

In the case of lost or damage of the travelling exhibition certificate the Management Authority of Ukraine issues to the applicant a duplicate of the lost or damaged certificate according to the appropriate application.

After being expended the travelling exhibition certificate shall be returned to the issuing Management authority of Ukraine.

17. Management Authority of Ukraine registers issued permits and certificates according to the provisions of the point 6, Article 8 of the Convention.

18. Information on issued permits and certificates is transmitted to the State Customs Service according to the procedure set out by the Ministry of Environment Protection as agreed with the State Committee of Fisheries and Fishing Industry and the State Customs Service.