

PART 1 - CITES QUESTIONS

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13th meeting of the Conference of the Parties to CITES, October 2004.

* Document as discussed and agreed at COM 45 held on 14 November 2008.

A. General information

Party	UK
Period covered in this report: 1 January 2011 to 31 December 2012	1 January 2011 – 31 December 2012
Details of agency preparing this report	Department for Environment Food and Rural Affairs (Defra)
Contributing agencies, organizations or individuals	Animal Health and Veterinary Laboratories Agency (AHVLA), UK Border Force (UKBF), UK scientific authorities (Royal Botanic Gardens Kew, Joint Nature Conservation Committee (JNCC)), UK National Wildlife Crime Unit (NWCU)

B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.</p> <p>The UK's Overseas Territories and Crown Dependencies made good progress towards putting in place CITES-compliant legislation. During the reporting period, the legislation of the British Indian Ocean Territory (BIOT) in 2011 and the Isle of Man in 2012 gained Category 1 status. The UK continues to work with the CITES Secretariat towards achieving compliance under the National Legislation Project for territories with Category 2 and 3 legislation.</p>	<p>Yes (fully) <input type="checkbox"/></p> <p>Yes (partly) <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information/unknown <input type="checkbox"/></p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p>Title and date: A review of the Control of Trade in Endangered Species (Enforcement and Ports of Entry and Exit) Regulations was ongoing in 2011/2012 Status: In progress</p> <p>Title and date:</p> <p>British Indian Ocean Territory (BIOT): Trade in Endangered Species (Control) Ordinance No 4 of 2007</p> <p>Isle of Man: (i) Endangered Species Act 2010 (Appointed Day Order) 2011 (ii) Endangered Species Act 2010 (iii) Endangered Species (General) Regulations 2011 (iv) Endangered Species Order 2011</p> <p>Status: enacted</p>	
3	<p>Is enacted legislation available in one of the working languages of the Convention? See Annex 1 for details of UK legislation</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
4	<p>If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.</p>	<p>Legislation attached <input checked="" type="checkbox"/></p> <p>provided previously <input type="checkbox"/></p> <p>not available, will send later <input type="checkbox"/></p>

5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable					
	The conditions for:			The complete prohibition of:		
Issue	Yes	No	No information	Yes	No	No information
Trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Taking	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Possession	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Transport	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional comments	<p><u>Rhino horn – UK Stricter measures</u></p> <p>Following the introduction of stricter measures taken by the UK on rhino horns in 2010, worked specimens of rhino horn had to meet a strict criterion before an export/re-export permit would be permitted. The UK tightened things further in March 2012 by removing the ‘high artistic value’ derogation that was introduced in 2010 as part of those stricter measures.</p> <p><u>Other legislation:</u></p> <p>Wildlife and Countryside Act (WCA) 1981: makes it an offence to intentionally kill, injure, take from the wild, possess, or have control of any species listed in schedule 5 to the act Basking shark, Bottle nosed dolphins, common dolphins and harbour porpoises are included in schedule 5. http://www.legislation.gov.uk/title/wildlife%20and%20countryside%20act</p> <p>Natural Environment and Rural Communities Act 2006 (NERC) makes it an offence to sell, offer or expose for sale, in a persons’ possession or transport for the purpose of sale, certain live non-native species which are banned by Order http://www.legislation.gov.uk/ukpga/2006/16/contents</p> <p>The Conservation of Habitats and Species Regulations 2010 makes it an offence to transport, offer to sell or exchange any (wild) live or dead cetacean – R41 (3) http://www.legislation.gov.uk/uksi/2010/490/made</p>					

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?				Tick all applicable	
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Clarity of legal obligations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Control over CITES trade	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of offences	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coverage of law for all types of penalties	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Implementing regulations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Coherence within legislation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>						
Please provide details if available:						
7	If no review or assessment has taken place, is one planned for the next reporting period?				Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>
				No information	<input type="checkbox"/>	
A review of current EU stricter measures was funded by the UK and undertaken by eftec in 2011. A summary of the report's outcomes is at Annex 2						
Please provide details if available						
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?				Tick all applicable	
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Please provide details if available:						
9	Please provide details of any additional measures taken: N/A					

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<p>If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex.</p> <p>UK Border Force (UKBF) levied financial penalties on individuals for the restoration of seized goods in excess of 29 times during the two year period. We are unable to provide specific details of these cases as these are confidential matters between UKBF and the individual, disclosure would be illegal.</p>			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<p>If information available:</p> <p><input checked="" type="checkbox"/> Significant seizures/confiscations</p> <p><input checked="" type="checkbox"/> Total seizures/confiscations</p> <p>If possible, please specify per group of species or attach details on annex.</p> <p>See Annex 3 for details</p>	<p>Number</p> <p>January- December 2011: 423 seizures</p> <p>January- December 2012: 667 seizures</p>		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex. See Annex 4			
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export	<input type="checkbox"/>		
	– Public zoos or botanical gardens	<input checked="" type="checkbox"/>		
	– Designated rescue centres	<input checked="" type="checkbox"/>		
	– Approved, private facilities	<input checked="" type="checkbox"/>		
	– Euthanasia	<input checked="" type="checkbox"/>		
	– Other (specify)	<input type="checkbox"/>		
	Comments: UKBF have euthanized Invasive Alien Species (Red ear terrapins)			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>	
		No	<input checked="" type="checkbox"/>	
		Not applicable	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	
	Comments: The UK tends not to use eco-messaging but passes on information using the 5x5x5 system. The eco-message format does not comply with current intelligence handling requirements in the UK and it's use should be reviewed and updated as necessary			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input checked="" type="checkbox"/>	
		No	<input type="checkbox"/>	
		No information	<input type="checkbox"/>	

13	<p>If Yes, please give a brief description:</p> <p>UK police have regularly exchanged intelligence in compliance with agreed international protocols. Technical support has been supplied in the form of funding DNA analytical work in support of an international investigation by the Czech Republic relating to the illegal trade in endangered parrot species. Operational support has been provided in support of Customs/Police investigations in Finland and Sweden relating to illegal trade in eggs of CITES bird species. Further support has been provided as part of Interpol Project Predator and the linked Operations Pre I/II/II and IV. This involved intelligence training and operational support to the Nepalese Police. In addition UK policing has been involved in joint operations in Belgium, Spain, New Zealand and the USA.</p> <p>UKBF has exchanged information on seizures through the EU-Twix network. Border Force has also worked with Hong Kong and Chinese Customs authorities on ivory trafficking and the US to address the issue of exotic cat imports.</p>												
14	<table border="0"> <tr> <td data-bbox="293 577 1104 630">Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?</td> <td data-bbox="1104 577 1331 630">Yes</td> <td data-bbox="1331 577 1396 630"><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1104 630 1331 672">No</td> <td data-bbox="1331 630 1396 672"><input type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1104 672 1331 703">No information</td> <td data-bbox="1331 672 1396 703"><input type="checkbox"/></td> </tr> </table>	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input checked="" type="checkbox"/>		No	<input type="checkbox"/>		No information	<input type="checkbox"/>			
Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input checked="" type="checkbox"/>											
	No	<input type="checkbox"/>											
	No information	<input type="checkbox"/>											
15	<p>If Yes, please describe: Any suspected crime can be reported in the UK through the Crimestoppers scheme and which may offer a financial incentive on conviction</p>												
16	<table border="0"> <tr> <td data-bbox="293 777 1104 829">Has there been any review or assessment of CITES-related enforcement?</td> <td data-bbox="1104 777 1331 829">Yes</td> <td data-bbox="1331 777 1396 829"><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1104 829 1331 871">No</td> <td data-bbox="1331 829 1396 871"><input type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1104 871 1331 913">Not applicable</td> <td data-bbox="1331 871 1396 913"><input type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1104 913 1331 945">No information</td> <td data-bbox="1331 913 1396 945"><input type="checkbox"/></td> </tr> </table> <p>Comments: NWCUC prepares a two-yearly Strategic Assessment which assesses and describes the current threats posed by criminals involved in all types of wildlife crime. Once wildlife crime priorities have been agreed annual operation plans are drawn up to tackle each priority. The illegal trade in CITES species was identified as a UK wildlife priority in 2011 and 2012.</p> <p>Click for info</p>	Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>		No	<input type="checkbox"/>		Not applicable	<input type="checkbox"/>		No information	<input type="checkbox"/>
Has there been any review or assessment of CITES-related enforcement?	Yes	<input checked="" type="checkbox"/>											
	No	<input type="checkbox"/>											
	Not applicable	<input type="checkbox"/>											
	No information	<input type="checkbox"/>											
17	<p>Please provide details of any additional measures taken: The UK NWCUC has identified the illegal trade in CITES species as an enforcement priority for the UK. A UK CITES Priority Delivery Group (CPDG) has been established and is chaired by UKBF. The CPDG continued to work throughout 2011-2012 on the issue.</p>												

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. Michael Sigsworth replaced Trevor Salmon as Head of the CITES UK MA (September 2012). Contact details are: Michael Sigsworth Defra Zone 1/15 Temple Quay House 2 The Square Temple Quay Bristol BS1 6EB Alternative address. Defra Ergon house Horseferry road London SW1P 2AL Tel: + 44 (0)20 7238 4450 e-mail: michael.sigsworth@defra.gsi.gov.uk	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Two Government bodies make up the UK CITES MA, Defra that deals with policy issues and AHVLA undertakes licensing activities. Defra acts as the lead MA.	
5	How many staff works in each MA? Defra – 5 AHVLA – 26.5	
6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved. Ivory action plan verification mission – 2011 Rhino technical mission – 2011 Support for MIKE (Asia) and the African elephant and rhino IUCN Specialist Groups
10	Please provide details of any additional measures taken

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	What is the structure of the SA(s) in your country?	Tick if applicable
	– Government institution – Joint Nature Conservation Committee (JNCC), UK SA for fauna	<input checked="" type="checkbox"/>
	– Academic or research institution – Royal Botanic Gardens Kew (RBG Kew), UK SA for flora	<input checked="" type="checkbox"/>
	– Permanent committee	<input type="checkbox"/>
	– Pool of individuals with certain expertise	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? JNCC – 3.75 (FTE) Kew 4 staff	
6	Can you estimate the percentage of time they spend on CITES related matters?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
	JNCC – 100% of 3.75 FTE RBG Kew - 3 @100%, 1 @50% If yes, please give estimation	
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable
	– Botany	<input checked="" type="checkbox"/>
	– Ecology	<input checked="" type="checkbox"/>
	– Fisheries	<input checked="" type="checkbox"/>
	– Forestry	<input checked="" type="checkbox"/>
	– Welfare	<input checked="" type="checkbox"/>
	– Zoology	<input checked="" type="checkbox"/>
	– Other (specify)	<input checked="" type="checkbox"/>
	– No information	<input type="checkbox"/>
	JNCC – sustainable use, capacity building and production systems RBG Kew – capacity building, artificial propagation, plant trade issues, sustainable use, taxonomy and nomenclature expertise, horticulture, wood anatomy, DNA techniques.	

8	Have any research activities been undertaken by the SA(s) in relation to CITES species?						Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.						
Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
Madagascan succulents in trade				X	X		
2							
3							
etc.							
						No information <input type="checkbox"/>	
<p>Fish and multi-lateral environmental agreements. JNCC commissioned work from TRAFFIC to develop a method to identify those species at greatest potential risk from over-exploitation and for which the application of multi-lateral environmental agreements such as CITES or CMS, as complementary measures to fisheries management, might make a tangible difference to their conservation and sustainable use. Full report and review of peer review workshop: http://jncc.defra.gov.uk/page-6120</p> <p>A follow up piece of work sought to identify the intrinsic vulnerability of harvested sharks and was made available to the 26th Animals Committee: http://www.cites.org/common/com/ac/26/E26-09i.pdf. A final report will be published in due course</p> <p>Marking of juvenile tortoises. JNCC commissioned a study to determine the suitability of NonatecTM* microchip transponders as a permanent marker for juvenile tortoises, and AlphaDotsTM* for use as temporary markers See report here: http://jncc.defra.gov.uk/page-6098</p> <p>A review of the trade in live wild birds into the EU from 1994-2003B. This desk study was undertaken by JNCC with support from UNEP_WCMC. See report here: http://jncc.defra.gov.uk/page-6097</p> <p>Falcon captive breeding in GB and implications for wild populations. This desk study was undertaken by JNCC, SNH & AHVLA staff. Publish paper is available here: http://www.int-res.com/abstracts/esr/v14/n3/p243-257/</p>							
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

11	Please provide details of any additional measures taken:
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D3 Enforcement Authorities

1	To date has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).	
3	Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)? The Police are responsible for enforcement within UK borders and the work of the police is coordinated by the UK National Wildlife Crime Unit (NWCU). NWCU is a specialist police led unit tasked with tackling all types of wildlife crime including CITES offences (see NWCU website). UK Border Force (UKBF), an agency of the Home Office, is responsible for enforcement at the borders. The AHVLA CITES compliance team includes a panel of Wildlife Inspectors (WI's) who have specialised inspection powers who undertake targeted compliance checks of customers/stakeholders involved commercially with CITES species to support law enforcement agencies and ensure that the appropriate legislation is being followed. WI's conducted or assisted UKBF in 19 inspections of imported CITES specimens during this reporting period.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Under consideration <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement: The lead agency for the police is NWCU UKBF has a team of dedicated CITES officers based at Heathrow Airport who act as a national focal point for all CITES operational and import/export issues.	
5	Please provide details of any additional measures taken: NWCU employed an Internet Intelligence Officer role in late 2012 as part of an 18 month project, funded by Defra, to identify and make proposals to tackle illegal trade in endangered species over the internet The Metropolitan Police have run Operation Charm for a number of years in partnership with a number of NGO's, information on Operation Charm is here: http://www.operationcharm.org/	

D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?	Tick if applicable
	– Monitoring and reporting of data on legal trade	<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade	<input checked="" type="checkbox"/>
	– Permit issuance	<input checked="" type="checkbox"/>
	– Not at all	<input type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>

2	Do the following authorities have access to the Internet?					Tick if applicable	
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	Do you have an electronic information system providing information on CITES species?					Yes	<input checked="" type="checkbox"/>
					No	<input type="checkbox"/>	
					No information	<input type="checkbox"/>	
4	If Yes, does it provide information on:					Tick if applicable	
	– Legislation (national, regional or international)?					<input checked="" type="checkbox"/>	
	– Conservation status (national, regional, international)?					<input checked="" type="checkbox"/>	
	– Other (please specify)?					<input type="checkbox"/>	
5	Is it available through the Internet:					Yes	<input checked="" type="checkbox"/>
						No	<input type="checkbox"/>
						Not applicable	<input type="checkbox"/>
						No information	<input type="checkbox"/>
	Please provide URL: Information on CITES legislation can be found here: http://www.defra.gov.uk/ahvla-en/imports-exports/cites/legislation/						
	The AHVLA website has information on CITES species and links into the various databases with information on CITES species at: http://www.defra.gov.uk/ahvla-en/imports-exports/cites/species/						
	The UK SA's have information on CITES species at: http://jncc.defra.gov.uk/page-1367						
	http://www.kew.org/conservation/cites-ind.html						
6	Do the following authorities have access to the following publications?					Tick if applicable	
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				

7	If not, what problems have been encountered to access to the mentioned information?		
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> – Mortality in transport? <input type="checkbox"/> – Seizures and confiscations? <input checked="" type="checkbox"/> – Discrepancy in number of items in permit and number of items actually traded? <input checked="" type="checkbox"/> <p>Comments: Information on significant seizures and prosecutions are reported to the UK MA by the enforcement authorities on a regular basis (as part of an EU reporting requirement).</p> <p>UKBF has notified the MA about discrepancies in the number of items on the permits compared with the number of items actually traded. This is where an application is made for X number of specimens and less are either imported or exported than originally applied for.</p>	Tick if applicable	
9	<p>Is there a government website with information on CITES and its requirements?</p> <p>If Yes, please give the URL: https://www.gov.uk/cites-controls-import-and-export-of-protected-species and http://www.defra.gov.uk/ahvla-en/imports-exports/cites/</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>	
10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?</p> <ul style="list-style-type: none"> – Press releases/conferences <input checked="" type="checkbox"/> CITES was discussed at PAW Annual Open Seminar and Wildlife Enforcement Conferences in 2011 and 2012 – Newspaper articles, radio/television appearances <input checked="" type="checkbox"/> BBC's 'Inside Out Program on illegal trade in rhino horn BBC Radio 4 – Costing the Earth program Media event with WWF and Dragon's Den for multiple TV and press events. Events also included working with the media facility at Heathrow to highlight the seizure of illegal ivory. Press release highlighting illegal ivory found at Stanstead airport for export to Hong Kong. – Brochures, leaflets <input checked="" type="checkbox"/> An exhibition of CITES goods is on permanent show at the Customs Museum, Liverpool – Presentations <input type="checkbox"/> JNCC have given presentations at the following <ul style="list-style-type: none"> • Luxury leather training goods workshop • Animal transport and Zoo legislation conference • Rhino Mayday • National Wildlife Crime Officers Conference <p>See Annex 5 for details of Kew's activities</p> <p>UKBF have displays at: <input type="checkbox"/></p> 	Tick if applicable	

	<ul style="list-style-type: none"> • Port of Felixstowe • Norwich Airport <p>Other activities</p> <ul style="list-style-type: none"> • PAW Roadshow – contain CITES exhibits and is used by the police (and others) to highlight wildlife crime <p>– Information at border crossing points <input checked="" type="checkbox"/></p> <p>General information including CITES restrictions is included in the UKBF passenger information leaflet and is available at border controls and in passenger terminals</p> <p>– Telephone hotline – Customs Hotline - 0800 595 000 <input type="checkbox"/></p> <p>– Other (specify) <input type="checkbox"/></p> <p>Please attach copies of any items as Annex.</p>
11	Please provide details of any additional measures taken:

D5 Permitting and registration procedures

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If no, please provide details of any: Alison Clarke - Head Of UK CITES Management Authority (Licensing), AHVLA</p> <p>Changes in permit format:</p> <p>Changes in designation or signatures of relevant officials:</p>																																				
2	<p>To date, has your country developed written permit procedures for any of the following? Tick if applicable</p> <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
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3	<p>Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).</p> <table border="1"> <thead> <tr> <th>Year 1</th> <th>Import or introduction from the sea</th> <th>Export</th> <th>Re-export</th> <th>Other</th> <th>Comments</th> </tr> </thead> <tbody> <tr> <td>How many documents were issued?</td> <td>36,254</td> <td>2,128</td> <td>10,138</td> <td></td> <td></td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td>178</td> <td>2</td> <td>113</td> <td></td> <td></td> </tr> <tr> <td>Year 2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>How many documents were issued?</td> <td>20,012</td> <td>2,372</td> <td>16,556</td> <td></td> <td></td> </tr> <tr> <td>How many applications were denied because of severe omissions or mis-information?</td> <td>130</td> <td>5</td> <td>109</td> <td></td> <td></td> </tr> </tbody> </table>	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments	How many documents were issued?	36,254	2,128	10,138			How many applications were denied because of severe omissions or mis-information?	178	2	113			Year 2						How many documents were issued?	20,012	2,372	16,556			How many applications were denied because of severe omissions or mis-information?	130	5	109		
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4	<p>Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																																				

5	If Yes, please give the reasons for this. Errors identified by the CITES MA or applicant after issue		
6	Please give the reasons for rejection of CITES documents from other countries.		Tick if applicable
	Reason	Yes	No
	Technical violations	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Suspected fraud	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Insufficient basis for finding of non-detriment	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
	Comments		
8	<p>How many times has the Scientific Authority been requested to provide opinions?</p> <p>JNCC provided advice on c.25,000 licence applications during the two year reporting period. These figures do not include re-referrals but does include advice provided in relation to EU Article 10 certificates. Our current computer system is unable to filter out the Article 10 requests. We estimate that a figure >20,000 would be indicative of the extent of consultation with JNCC.</p> <p>Kew has provided advice on approximately 3,000 referrals during the reporting period.</p>		
9	Has the Management Authority charged fees for permit issuance, registration or related CITES activities?		Tick if applicable
	- Issuance of CITES documents:		<input checked="" type="checkbox"/>
	- Licensing or registration of operations that produce CITES species:		<input checked="" type="checkbox"/>
	- Harvesting of CITES-listed species :		<input type="checkbox"/>
	- Use of CITES-listed species:		<input type="checkbox"/>
	- Assignment of quotas for CITES-listed species:		<input type="checkbox"/>
	- Importing of CITES-listed species:		<input checked="" type="checkbox"/>
	- Other (specify):		<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. – please see Annex 6 for details a guidance notes on fees		
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?		Tick if applicable
	- Entirely:		<input checked="" type="checkbox"/>
	- Partly:		<input type="checkbox"/>
	- Not at all:		<input type="checkbox"/>
	- Not relevant:		<input type="checkbox"/>
	Comments:		
12	Please provide details of any additional measures taken: Fees can be waived where there is a conservation benefit attached to the application. AHVLA - Guidance note 11 on CITES Fees (and waivers) is included in Annex 6		

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input checked="" type="checkbox"/>

	Development of implementation tools	<input checked="" type="checkbox"/>	Computerisation	<input type="checkbox"/>			
	– Other (specify)			<input type="checkbox"/>			
2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	The Pew Charitable Trust, The Shark Trust - provision of supporting technical documentation e.g. shark species overviews, Pew Shark Fin Identification Guide,
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	JNCC/RBG Kew , both contributed to the CITES MSc course in Baeza, Universidad de Andalucía in 2011
	NGOs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	See Annex 5 for Kew's activities
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Advice provided to the UK overseas territories by JNCC and Kew on request See Annex 5for Kew's activities

3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	See Annex 5 for Kew's activities
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4	Please provide details of any additional measures taken						

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <ul style="list-style-type: none"> A CITES Officers Group (COG) made up of Government Officials, UK Scientific Authorities, Police and Customs meets three times a year The Partnership for Action Against Wildlife Crime (PAW) is a loose organisation of Government Departments, Police and conservation NGOs which oversees raising the awareness of wildlife crime issues, including CITES, in the UK The CITES Joint Liaison group (JLG) comprises representatives from Government, conservation NGOs, and traders of CITES specimens. JLG meets three times a year. A tasking and coordination group (TCG) includes the UK statutory enforcement agencies and sets the UK's biennial wildlife crime priorities and monitors progress against those. JNCC organises an annual meeting of the <i>Wildlife Crime: Conservation Advisory Group</i>. The group comprises statutory nature conservation bodies for the UK, enforcement authorities, other statutory bodies and NGOs. It provides advice and recommendations for the TCG (above) on the priorities for enforcement and intelligence gathering, from a conservation perspective 			

3	If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	As required
4	At the national level have there been any efforts to collaborate with:					Tick if applicable		Details if available
	Agencies for development and trade					<input checked="" type="checkbox"/>		International - UK Department for International Development (DfID)(CITES Livelihoods issues and international biodiversity funding) Provincial - UK collaborates with a number of national organisations through the PAW working groups. The PAW working groups membership includes regional representatives from around the UK
	Provincial, state or territorial authorities					<input checked="" type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		Territorial - UKMA attends regular meetings of the Overseas Territories biodiversity group
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input checked="" type="checkbox"/>		
	NGOs					<input checked="" type="checkbox"/>		
Other (specify)					<input type="checkbox"/>			

5	<p>To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?</p> <p>SA We have a service level agreements with RBG Kew and JNCC</p> <p>Customs An MOU exists between NWCU/ AHVLA/ CUSTOMS</p> <p>Police as above</p> <p>Other border authorities (specify)</p> <p>Other government agencies</p> <p>Private sector bodies</p> <p>NGOs</p> <p>Other (specify)</p> <p>CITES Secretariat – Defra http://www.cites.org/common/disc/sec/CITES-UK.pdf</p> <p>CITES Secretariat – RBG Kew http://www.cites.org/common/disc/sec/CITES-KEW.pdf</p>	Tick if applicable
		<input checked="" type="checkbox"/>
		<input checked="" type="checkbox"/>
		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input checked="" type="checkbox"/>
6	<p>Has your country participated in any regional activities related to CITES?</p> <p>Workshops</p> <p>UK was the European Regional member of the Standing Committee (until March 2013) and attended SC meetings in 2011 and 2012. Representatives from the UK Scientific Authorities also hold positions on the scientific committees: Vin Fleming (JNCC) is a European regional representative to the CITES Animals Committee and Noel McGough (RBG Kew) is nomenclature specialist to the CITES Plants Committee. The UK SAs attended all regular meetings of these Committees during the reporting period.</p> <p>Other (specify)</p> <p>UK attended regular meetings of the various EU Committees inc Management Committee, the Enforcement Working Group and Scientific Review Group in 2011-2012.</p> <p>RBG Kew attended the European Regional CITES meeting , Georgia 2011</p>	Tick if applicable
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input checked="" type="checkbox"/>
7	<p>Has your country encouraged any non-Party to accede to the Convention?</p> <p>Yes</p> <p>No</p> <p>No information</p>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?	
9	<p>Has your country provided technical or financial assistance to another country in relation to CITES?</p>	<p>Yes</p> <p>No</p> <input checked="" type="checkbox"/> <input type="checkbox"/>

		No information	<input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided? <i>JNCC/SAs responded to numerous requests for information and / or support from other Parties especially those within the European Union during the reporting period. See Annex 5 for Kew activities</i>		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. <i>Through membership of the Global Tiger Initiative (GTI), we have encouraged GTI members to work cooperatively with CITES on tigers issues to avoid any unnecessary duplication of effort</i> <i>UKMA team also has policy responsibility for the other biodiversity MEAs.</i>		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Development of implementation tools <i>Require development of implementation tools and identification tests to enhance compliance and implementation of certain pant taxon listings e.g. agarwood and timber species</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation – <i>UK currently (2012) developing a online licensing system which should be operational in 2014</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify) <i>New permit and application form formats are prescribed in EU Regulation 792/2012, work started in Aug 2012 to update the UK forms and will continue into 2013.</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
3	If Yes, which one(s) and what is the main difficulty? <i>Registration of captive breeding operations</i> <i>We think that the Registration procedure is unnecessarily complicated (particularly for smaller breeding operations) and overly bureaucratic (a view shared by the Secretariat at CoP13) which has resulted in relatively few applications being submitted (despite large numbers of commercial breeding operations in existence). Two key issues limiting the wider use of the registration procedure are likely to be the length of the process (which can take many months or even years if there is an objection) and the difficulty in providing adequate proof of legal acquisition of founder stock (particularly when stock was acquired pre-CITES listing or accession).</i>			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes No	<input checked="" type="checkbox"/> <input type="checkbox"/>	

	No information	<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required. Consideration has been given on how CITES implementation can be improved in UK Overseas Territories. E.g. through the provision of further training, advice and support for implementation.	
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	If Yes, please give a brief description. We look forward to the conclusion of the evaluation of Significant Trade Review and hope to see changes being introduced that will make it a simpler quicker process whilst being proportional and transparent. It should also help build the capacity of Scientific Authorities to carry out their NDFs.	
8	Please provide details of any additional measures taken:	

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes,	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
	Comments		

Annexes – UK CITES Biennial report 2011-2012

- Annex 1 – UK legislation
- Annex 2 – eftec summary, EU stricter measures study
- Annex 3 – UKBF seizure information
- Annex 4 – UK, Significant prosecutions
- Annex 5 – RBG Kew activities (capacity building etc)
- Annex 6 – UK fees information

UK Legislation

The Control of Trade in Endangered Species (Enforcement) Regulations creates offences in relation to Regulation 338/97 and allows CITES to be enforced within the UK.

- [Control of Trade in Endangered Species \(COTES\)-1997](#)
- [Control of Trade in Endangered Species \(COTES\)- 2005 Amendment](#)
- [Control of Trade in Endangered Species \(COTES\)- 2007 Amendment](#)
- [The Control of Trade in Endangered Species \(Enforcement\) \(Amendment\) Regulations – 2009 amendment](#)

UK overseas territories

Title and date: BIOT: Trade in Endangered Species (Control) Ordinance No 4 of 2007 (attached)

UK Crown Dependencies

Isle of Man:

- (i) [Endangered Species Act 2010 \(Appointed Day Order\) 2011](#)
- (ii) [Endangered Species Act 2010](#)
- (iii) [Endangered Species \(General\) Regulations 2011](#)
- (iv) [Endangered Species Order 2011](#)

British Indian Ocean Territory (BIOT)



Trade In Endangered
Species (Control) Ord

UK study into the costs and benefits of the stricter obligations imposed by the EU's Wildlife Trade Regulations and possible alternatives

Background

Some UK stakeholders argue that the financial costs of complying with the stricter EU CITES obligations, in particular the requirement for import permits, outweigh the conservation benefits that are derived. They suggest that the measures place an unnecessary and disproportionate burden on business and place them at a competitive disadvantage to other global traders. They believe that alternative conservation measures could have equivalent or greater conservation benefit for the species affected.

To try to understand whether or not this claim is valid and worthy of further exploration the UK commissioned a scoping study to undertake an initial analysis of the costs and benefits of the EU's stricter approach and identify whether suitable alternative approaches were available.

Costs and benefits of EU stricter measures

The costs¹ of implementing the EU's stricter measures were estimated at:

Estimated Annual Costs of Stricter Measures		
Area of Cost	UK Cost, £	EU Cost, €
Regulatory Authorities	998,000	17,884,000
Traders	301,000	5,394,000
Total	1,299,000	23,278,000

The key benefits of the stricter measures were identified as:

- Providing an additional incentive for those that export to the EU to implement CITES properly.
- Benefiting conservation by acting as an early warning system for conservation problems relating to trade in wildlife, and stimulating follow-up actions to such problems.

Options for consideration

The study found that whilst there did not appear to be any short-term cost-effective alternatives to the EU's stricter measures, modifications to the regulatory framework could improve its cost-effectiveness:

¹ The study focussed on UK costs and undertook a simple extrapolation to obtain EU-wide costs. A full assessment of variations between Member States was not undertaken so these figures should be treated with caution.

- **Adapting implementation to better suit the needs of commercial wildlife trade.** Maintaining up-to-date lists of species in Annexes and trade suspensions under consideration, in a user-friendly form on the internet; publishing the methods and findings of the SRG (noting any political sensitivities); and, adjusting the timing of bringing trade suspensions into force to minimise the costs to trade occurring at the time.
- **Using import quotas.** Consider import quotas on trade to the EU, rather than suspensions. Quotas could be accompanied by the same monitoring, range country engagement and funding support that follow suspensions, whilst reducing the potentially negative impacts on local livelihoods that complete suspensions bring.

Longer-term alternatives to the current stricter measures were also suggested which may together or individually compliment or replace some or all of them and that would reduce the costs arising from them in the long-term:

- **Certification schemes.** To support third party certification schemes for sustainably produced wildlife specimens which meet the EU's import requirements and would replace the need for the additional EU requirement for Non-Detriment Findings when import permits are applied for.
- **Targeted capacity building.** To directly target those range states and trade sectors which clearly demonstrate weaknesses in wildlife trade management with the aim of building administrative, technical, management, scientific and enforcement capacity, and to improve CITES implementation.
- **Ex-ante positive screening of trade.** To exempt countries or country/trade sector combinations from certain requirements of the EU wildlife trade regulations where it can be demonstrated they have a strong record of sound CITES implementation.

Conclusions and recommendations

The study has identified some possible areas for improvement to the EU's current regulatory framework and some possible alternatives to using the current stricter measures. The UK considers these worthy of further consideration by the EU CITES Management Committee.

The UK requests that the Management Committee:

- i. **Consider the key findings of the study, in particular:**
 - Possible modifications to the regulatory framework
 - Possible longer term alternatives
- ii. **Consider whether a more comprehensive EU-wide study should be commissioned to identify whether there are alternative cost-effective approaches in EU Member State's delivery of their CITES obligations.**

UKBF seizure data

2011 seizures

Description	Quantity	Units	Country of Origin
American Ginseng (<i>Panax quinquefolius</i> (II))	305	Grammes	Hong Kong
Tortoise meat	140	Grammes	China
1 box of Xi Huang Wan containing musk (<i>Moschus</i> spp. (II)) & 1 box of Fufang Huangliansu Pian containing (<i>Saussurea costus</i> (I))	2	Units	China
American Ginseng (<i>Panax quinquefolius</i> (II))	130	Grammes	China
Caviar	1	Kilogrammes	Kazakhstan
P57 Tablets containing Hoodia spp. (II)	15000	Units	Hong Kong
Alligator Watch Straps (<i>CROCODYLIA</i> spp. (II))	5	Units	Switzerland
1 box of Muxiang Shunqi Wan containing (<i>Saussurea costus</i> (I))	1	Units	China
P57 Tablets containing Hoodia spp. (II)	10500	Units	Hong Kong
Cheetah (<i>Acinonyx jubatus</i> (I))	1	Units	South Africa
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	150	Grammes	United Arab Emirates
Coral SCLERACTINIA spp. (II)	0.4	Kilogrammes	Jamaica
Coral SCLERACTINIA spp. (II)	917	Grammes	India
Traditional medicines containing (<i>Panthera tigris</i> (I)) (<i>Moschus</i> spp. (II)) & (<i>Panthera pardus</i> (I))	24	Units	China
Caviar	226	Grammes	Russian Federation
Tablets containing Hoodia spp. (II)	60	Units	China
Traditional Medicine containing musk deer (<i>Moschus</i> spp. (II))	1	Units	China
American Ginseng (<i>Panax quinquefolius</i> (II))	64	Grammes	Hong Kong
Seahorses (<i>Hippocampus</i> spp. (II))	45	Units	China
Traditional medicine containing tiger bone (<i>Panthera tigris</i> (I))	1	Units	China
Traditional medicine containing <i>Prunus Africana</i> (II)	200	Grammes	China
Red Eared Terrapins (<i>Trachemys scripta elegans</i>)	25	Units	China
Bao Ji Wan containing <i>Saussurea costus</i> (I))	1	Units	China
Crafted Ivory	10	Units	Zambia
Hippo Foot (<i>Hippopotamus amphibius</i> (II))	1	Units	Zambia
10 Crocodile skin products, 1 Elephant Belt, 1 Hippo Belt & 1 Ostrich Belt (non cites but seized as packed with)	13	Units	Zimbabwe
Caviar	226	Grammes	Azerbaijan
Traditional Medicine containing musk deer (<i>Moschus</i> spp. (II))	3	Units	Hong Kong
Tortoise (Species unknown)	1	Units	Morocco
Traditional medicine containing <i>Saiga tatarica</i> (II)	24	Units	China
American Ginseng (<i>Panax quinquefolius</i> (II))	151	Grammes	Hong Kong
Tablets containing Hoodia spp. (II)	4860	Units	China
Traditional Medicine containing <i>Saussurea costus</i> (I))	3	Units	Hong Kong
Spur Thighed Tortoise (<i>Testudo graeca</i> (II))	2	Units	Morocco
Sanjiu Weitai Keli containing <i>Saussurea costus</i> (I))	100	Grammes	China
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	5160	Grammes	United Arab Emirates
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	41200	Grammes	United Arab Emirates
Traditional medicine containing <i>Saiga tatarica</i> (I))	180	Units	China
Traditional medicine containing <i>Prunus Africana</i> (II)	225	Grammes	China
Traditional medicine containing <i>Saiga tatarica</i> (II)	66	Units	China
Po Chai Pills containing (<i>Saussurea costus</i> (I))	4	Units	Singapore
American Ginseng (<i>Panax quinquefolius</i> (II))	240	Grammes	China
Senegal Chameleons (<i>Chamaeleo Senegalensis</i>) (II)	28	Units	Ghana
1 Coffee Pot and 1 Tea Pot with Ivory Inlay	2	Units	United States of America
Traditional medicine containing <i>Saiga tatarica</i> (II)	78	Units	China

4 python skin handbags (Pythonidae spp. (II), 1 crocodile skin belt & 1 crocodile skin handbag (CROCODYLIA spp. (II))	6	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	0.58	Kilogrammes	India
Ivory Tusks Loxodonta africana (II)	2	Units	Cameroon
Sanjiu Weitai Keli containing Saussurea costus (I))	120	Grammes	China
Tubs of sturgeon cartilage (huso huso)	4	Units	China
American Ginseng (Panax quinquefolius (II))	344	Grammes	Hong Kong
Traditional Medicine containing Saussurea costus (I))	24	Units	China
Various products of Ivory	31	Units	Unknown
Sea Turtle Shell	1	Units	Unknown
Products containing Agar wood (Aquilaria spp. (II))	160	Grammes	Yemen
American Ginseng (Panax quinquefolius (II))	98	Grammes	China
Cream containing Moschus Mosciferus (II)	2880	Grammes	United Arab Emirates
Cactus Powder CACTACEAE spp. (II)	5000	Grammes	South Korea
Description	Quantity	Units	Country of Origin
Po Chai Pills containing (Saussurea costus (I))	900	Units	China
12 x 15ml Oudh Almethali: 12 x14ml Amber Oud, 12 x 50ml Oudh Al Abiyad: 6 x 40ml Dhan Al Oudh Al Safwa: 6 x 20ml Attar Al Oudh: 6 x 30ml Mukhallat Dhan El Oudh	1608	Millilitres	United Arab Emirates
Traditional medicine containing Cistanche deserticola (II)	200	Grammes	Singapore
Agar Wood Chips (Aquilaria spp. (II))	200	Grammes	Saudi Arabia
Products containing Agar wood (Aquilaria spp. (II))	10	Units	Saudi Arabia
Traditional medicines containing gastrodia (ORCHIDACEAE spp. (II) & Moschus spp. (II))	146	Grammes	Hong Kong
American Ginseng (Panax quinquefolius (II))	151	Grammes	China
Products containing Agar wood (Aquilaria spp. (II))	275	Grammes	Sudan
Bak Foong Pills containing Gastrodia (ORCHIDACEAE spp. (II))	200	Grammes	Malaysia
Stuffed crocodile (African Dwarf crocodile)(CROCODYLIA spp. (II))	2	Units	Congo
Products containing Agar wood (Aquilaria spp. (II))	2880	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	2430	Millilitres	United Arab Emirates
Alligator Skull (CROCODYLIA spp. (II))	1	Units	United States of America
2 boxes of Go Ying pills & 1 box of Bat po Keng Powder, containing gastrodia (ORCHIDACEAE spp. (II) & Moschus spp. (II))	3	Units	China
Calanthe reflexa plants	50	Units	Japan
Ivory caps from piano keys	100	Units	Australia
1 box of traditional medicine containing containing gastrodia (ORCHIDACEAE spp. (II) and 1 box containing (Saussurea costus (I))	2	Units	Malaysia
6 boxes of traditional medicine containing containing gastrodia (ORCHIDACEAE spp. (II) and 1 box containing (Saussurea costus (I))	7	Units	Malaysia
Boa Constrictor	1	Units	Gurnsey
Traditional Medicine containing Saussurea costus (I))	72	Units	China
Traditional Medicine containing Saussurea costus (I))	1600	Units	China
Snake skin Bags & Purses made with (Pythonidae spp. (II))	28	Units	Singapore
North American black bear Hide (Ursus americanus) (I)	1	Units	Canada
Po Chai Pills containing (Saussurea costus (I))	1	Units	Indonesia
Products containing Agar wood (Aquilaria spp. (II))	16	Units	Saudi Arabia
Burmese Python Skin handbags and wallets (Pythonidae spp. (II))	6	Units	Thailand
Pair of Reticulated Python Skin shoes (Pythonidae spp. (II))	1	Units	Portugal
Plasters containing tiger bone (Panthera tigris (I))	10	Units	China
2 pistols with Elephant Ivory Butts, & 1 Walrus Tusk Priming flask	3	Units	Canada
Sanjiu Weitai Keli containing Saussurea costus (I))	25	Units	China
Traditional medicine containing hawksbill sea turtle Eretmochelys imbricata (I)	1.3	Kilogrammes	China
Antique Seals containing Ivory	9	Units	Monaco
Antique Seals containing Tortoise shell	1	Units	Monaco

Varanus Niloticus skin wallets (II)	20	Units	United Kingdom
Traditional medicine containing Prunus Africana (II)	280	Grammes	China
Caviar	1000	Grammes	Lebanon
American Ginseng (Panax quinquefolius (II)	17	Grammes	Hong Kong
Plasters containing Seahorse (Hippocampus spp. (II)	1	Units	China
Agar Wood Chips (Aquilaria spp. (II)	2.5	Kilogrammes	India
Ivory Statues	4	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II)	4	Units	Unknown
Snake skin Mobile phone covers (Pythonidae spp. (II)	2	Units	Unknown
Snake Skin handbags (Pythonidae spp. (II)	3	Units	Unknown
Stuffed crocodile (CROCODYLIA spp. (II)	1	Units	Congo
Ottoman conatining Hartmanns Zebra skin	1	Units	South Africa
Products containing Agar wood (Aquilaria spp. (II)	6.75	Kilogrammes	United Arab Emirates
Sunglasses incorporating Alligator Skin (CROCODYLIA spp. (II)	300	Units	Japan
Plasters containing tiger bone (Panthera tigris (I)	20	Units	China
Products containing Agar wood (Aquilaria spp. (II)	923	Units	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II)	720	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II)	1	Kilogrammes	United Arab Emirates
Tablets containing Hoodia spp. (II)	10500	Units	Hong Kong
P57 Tablets containing Hoodia spp. (II)	3000	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II)	1065	Grammes	Sudan
Description	Quantity	Units	Country of Origin
Tablets containing Hoodia spp. (II)	720	Units	China
Bo Ying Compound containing Gastrodia ORCHIDACEAE spp. (II)	72	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II)	1065	Grammes	United Arab Emirates
3 x So Hup Yuen & 5 Zhui Feng Su He Wan pills containing Agar wood (Aquilaria spp. (II)	8	Units	Malaysia
American Ginseng (Panax quinquefolius (II)	225	Grammes	Hong Kong
Ivory Figures & Netsukes	5293	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II)	2.3	Kilogrammes	Sudan
Traditional medicines containing Moschus spp. (II)	0.6	Grammes	China
Zing Du containing Python molurus bivittatus (Pythonidae spp. (II)	540	Units	Hong Kong
Traditional Medicine containing Agar Wood Resin Granules (Aquilaria Sinensis) (II)	1000	Grammes	China
Snake skin Bag made with (Pythonidae spp. (II)	1	Units	United Kingdom
3 tins of BoYing Bo Ying Compound containing Gastrodia ORCHIDACEAE spp. (II) and 1 tin of YinChiao tablets containing Saiga tatarica (II)	4	Units	Hong Kong
Zinnerman Plus powder containing Saussurea costus (I) & Gastrodia ORCHIDACEAE spp. (II)	1	Units	Singapore
Products containing Agar wood (Aquilaria spp. (II)	2.5	Kilogrammes	Unknown
Tonic containing American Ginseng (Panax quinquefolius (II)	216	Grammes	Singapore
Tiger Tissue samples (Panthera tigris (I)	1	Units	Qatar
Aloe Ferox (Aloe spp. (II)	21	Kilogrammes	Ghana
Products containing Agar wood (Aquilaria spp. (II)	43.7	Kilogrammes	United Arab Emirates
Tortoise shell (Kinixys spp Testudinidae spp. (II)	1	Units	Unknown
Nile Crocodile Skin Handbag (CROCODYLIA spp. (II)	1	Units	Singapore
Coral SCLERACTINIA spp. (II)	0.5	Kilogrammes	Keyna
Alligator Skin Watch Straps (CROCODYLIA spp. (II)	1	Units	United States of America
Po Chai Pills containing (Saussurea costus (I)	15	Units	Hong Kong
2 North American black bear Skins & 1 Skull (Ursus americanus) (I)	3	Units	Canada
2 Crocodile skin bags & 1 swatch sample CROCODYLIA spp. (II)	3	Units	United Kingdom
Products containing Agar wood (Aquilaria spp. (II)	6.34	Kilogrammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II)	12	Units	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II)	72	Grammes	United Arab Emirates
Handbag with Alligator skin CROCODYLIA spp. (II)	1	Units	
"Pounds Away" containing Hoodia spp. (II)	9	Units	United States of America

Products containing Agar wood (Aquilaria spp. (II))	172	Millilitres	United Arab Emirates
Traditional medicine containing Saiga tatarica (II)	1	Kilogrammes	China
Tradition medicine containing Moschus spp. (II)	2	Units	China
Pendant containing Tiger Claw (Panthera tigris (I))	1	Units	Vietnam
Live Bitters containing Aloe Ferox (II)	118	Kilogrammes	Ghana
An Gong Niu Huang Wan containing Moscus spp(II)	2	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	123	Units	United Arab Emirates
Red Eared Terrapins (Trachemys scripta elegans)	1	Units	Saudi Arabia
Soup/Tonic mix containing Cibotium barometz (II) & American Ginseng (Panax quinquefolius (II))	268	Grammes	Australia
Products containing Agar wood (Aquilaria spp. (II))	200	Grammes	United Arab Emirates
Tongren Niu Huang Quigxin Wan containing Moscus spp (II) & Saiga tatarica (II)	1	Units	China
American Ginseng (Panax quinquefolius (II))	8	Grammes	Malaysia
American Ginseng (Panax quinquefolius (II))	130	Grammes	Malaysia
Live Hard Coral (Echinophyllia spp)	1	Units	Tonga
American Ginseng (Panax quinquefolius (II))	372	Grammes	Hong Kong
American Ginseng (Panax quinquefolius (II))	174	Grammes	China
Traditional Medicine containing Saussurea costus (I)	3	Units	China
Crocodile Paté CROCODYLIA spp. (II)	110	Grammes	South Africa
American Ginseng (Panax quinquefolius (II))	500	Grammes	China
Traditional Medicine containing Saussurea costus (I))	1	Units	China
Traditional medicine containing Saiga tatarica (II)	1	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	1	Kilogrammes	India
Tablets containing Hoodia spp. (II)	50280	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	6	Kilogrammes	Sudan
Seahorses (Hippocampus spp. (II))	59	Units	
Description	Quantity	Units	Country of Origin
Products containing Agar wood (Aquilaria spp. (II))	873	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II))	3.48	Kilogrammes	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	200	Grammes	China
3 pairs of snake skin shoes & 1 snake skin purse, reticulated python (Pythonidae spp. (II))	4	Units	Sudan
Products containing Agar wood (Aquilaria spp. (II))	1.7	Kilogrammes	Sudan
Capsules of Nopal Cactus	19440	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	2	Units	Kuwait
Dead Monitor Lizard Varanus spp. (II)	1	Units	Algeria
Pair of shoes made from Python Sebae (II)	1	Units	Sudan
Products containing Agar wood (Aquilaria spp. (II))	75	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	18	Units	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	25	Grammes	Hong Kong
Pair of shoes made from Python molurus molurus (I)	1	Units	Sudan
Alligator Skin Watch Straps (CROCODYLIA spp. (II))	1	Units	Unknown
Box with Ivory	1	Units	China
Products containing Agar wood (Aquilaria spp. (II))	2786	Units	Saudi Arabia
Products containing Agar wood (Aquilaria spp. (II))	100	Grammes	United Arab Emirates
Alligator Head (CROCODYLIA spp. (II))	1	Units	United States of America
Feathers from the following: 2 great Grey Owl, 13 Bald Eagle, 1 Burrowing Owl, 8 Parrot, 13 Golden Eagle, 1 long eared owl, 10 Buteo species, 4 Northern Saw-Whet Owl, 1 Pheasant & tail of the North American River Otter	54	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	11050	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	100	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	1.1	Kilogrammes	United Arab Emirates
Plasters containing Moscus spp(II)	10	Units	China
Traditional Medicine containing (Aquilaria spp. (II) & Saussurea costus (I))	2	Units	Malaysia
Products containing Agar wood (Aquilaria spp. (II))	4	Kilogrammes	Saudi Arabia
Serval Cats (II)	4	Units	United States of America

Crocodile skin belts (CROCODYLIA spp. (II))	1	Units	Unknown
Teck Aun Chi Kit (containing Saussurea costus (I))	20	Units	Malaysia
Alligator Skin Watch Straps (CROCODYLIA spp. (II))	1	Units	Switzerland
Products containing Agar wood (Aquilaria spp. (II))	760	Grammes	United Arab Emirates
Traditional Medicine containing Bear Bile Jewellery with Ivory	10	Units	Vietnam
	3	Units	United States of America
Lion Claw Pendant (Panthera Leo)	1	Units	United States of America
Traditional Medicine containing Saussurea costus (I))	50	Units	Malaysia
Products containing Agar wood (Aquilaria spp. (II))	700	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	85	Millilitres	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	1.5	Kilogrammes	United Arab Emirates
Ya Hom powder containing Saussurea costus (I))	4	Units	Thailand
Products containing Agar wood (Aquilaria spp. (II))	96	Grammes	United Arab Emirates
Crushed Haliotis midae (Annex D)	243500	Grammes	South Africa
Buttons made with Haliotis midae (Annex D)	3373	Units	South Africa
American Alligator Skin Watch Strap (Alligator Mississippiensis) (CROCODYLIA spp. (II))	1	Units	Iceland
Products containing Agar wood (Aquilaria spp. (II))	1880	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	900	Grammes	United Arab Emirates
Pair of Python skin Boots (Pythonidae spp. (II))	1	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	1.44	Kilogrammes	United Arab Emirates
Watch strap (CROCODYLIA spp. (II))	1	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	12	Units	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	875	Grammes	China
American Ginseng (Panax quinquefolius (II))	309	Grammes	Hong Kong
Ling Zhi Chuang Yao Wan Plus containing Gastrodia ORCHIDACEAE spp. (II))	2	Units	Malaysia
Caimen skin swatches CROCODYLIA spp. (II))	11	Units	Unknown

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Description	Quantity	Units	Country of Origin
American Ginseng (Panax quinquefolius (II))	305	Grammes	Hong Kong
Tortoise meat	140	Grammes	China
1 box of Xi Huang Wan containing musk (Moschus spp. (II)) & 1 box of Fufang Huangliansu Pian containing (Saussurea costus (I))	2	Units	China
American Ginseng (Panax quinquefolius (II))	130	Grammes	China
Caviar	1	Kilogrammes	Kazakhstan
P57 Tablets containing Hoodia spp. (II))	15000	Units	Hong Kong
Alligator Watch Straps (CROCODYLIA spp. (II))	5	Units	Switzerland
1 box of Muxiang Shunqi Wan containing (Saussurea costus (I))	1	Units	China
P57 Tablets containing Hoodia spp. (II))	10500	Units	Hong Kong
Cheetah (Acinonyx jubatus (I))	1	Units	South Africa
Products containing Agar wood (Aquilaria spp. (II))	150	Grammes	United Arab Emirates
Coral SCLERACTINIA spp. (II))	0.4	Kilogrammes	Jamaica
Coral SCLERACTINIA spp. (II))	917	Grammes	India
Traditional medicines containing (Panthera tigris (I) (Moschus spp. (II)) & (Panthera pardus (I))	24	Units	China
Caviar	226	Grammes	Russian Federation
Tablets containing Hoodia spp. (II))	60	Units	China
Traditional Medicine containing musk deer (Moschus spp. (II))	1	Units	China
American Ginseng (Panax quinquefolius (II))	64	Grammes	Hong Kong
Seahorses (Hippocampus spp. (II))	45	Units	China
Traditional medicine containing tiger bone (Panthera tigris (I))	1	Units	China
Traditional medicine containing Prunus Africana (II))	200	Grammes	China
Red Eared Terrapins (Trachemys scripta elegans)	25	Units	China
Bao Ji Wan containing Saussurea costus (I))	1	Units	China

Crafted Ivory	10	Units	Zambia
Hippo Foot (<i>Hippopotamus amphibius</i> (II))	1	Units	Zambia
10 Crocodile skin products, 1 Elephant Belt, 1 Hippo Belt & 1 Ostrich Belt (non cites but seized as packed with)	13	Units	Zimbabwe
Caviar	226	Grammes	Azerbaijan
Traditional Medicine containing musk deer (<i>Moschus</i> spp. (II))	3	Units	Hong Kong
Tortoise (Species unknown)	1	Units	Morocco
Traditional medicine containing Saiga tatarica (II)	24	Units	China
American Ginseng (<i>Panax quinquefolius</i> (II))	151	Grammes	Hong Kong
Tablets containing Hoodia spp. (II)	4860	Units	China
Traditional Medicine containing Saussurea costus (I))	3	Units	Hong Kong
Spur Thighed Tortoise (<i>Testudo graeca</i> (II))	2	Units	Morocco
Sanjiu Weitai Keli containing Saussurea costus (I))	100	Grammes	China
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	5160	Grammes	United Arab Emirates
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	41200	Grammes	United Arab Emirates
Traditional medicine containing Saiga tatarica (II)	180	Units	China
Traditional medicine containing Prunus Africana (II)	225	Grammes	China
Traditional medicine containing Saiga tatarica (II)	66	Units	China
Po Chai Pills containing (<i>Saussurea costus</i> (I))	4	Units	Singapore
American Ginseng (<i>Panax quinquefolius</i> (II))	240	Grammes	China
Senegal Chameleons (<i>Chamaeleo Senegalensis</i>) (II)	28	Units	Ghana
1 Coffee Pot and 1 Tea Pot with Ivory Inlay	2	Units	United States of America
Traditional medicine containing Saiga tatarica (II)	78	Units	China
4 python skin handbags (<i>Pythonidae</i> spp. (II)), 1 crocodile skin belt & 1 crocodile skin handbag (<i>CROCODYLIA</i> spp. (II))	6	Units	United States of America
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	0.58	Kilogrammes	India
Ivory Tusks <i>Loxodonta africana</i> (II)	2	Units	Cameroon
Sanjiu Weitai Keli containing Saussurea costus (I))	120	Grammes	China
Tubs of sturgeon cartilage (<i>huso huso</i>)	4	Units	China
American Ginseng (<i>Panax quinquefolius</i> (II))	344	Grammes	Hong Kong
Traditional Medicine containing Saussurea costus (I))	24	Units	China
Various products of Ivory	31	Units	Unknown
Sea Turtle Shell	1	Units	Unknown
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	160	Grammes	Yemen
American Ginseng (<i>Panax quinquefolius</i> (II))	98	Grammes	China
Cream containing <i>Moschus Mosciferus</i> (II)	2880	Grammes	United Arab Emirates
Cactus Powder <i>CACTACEAE</i> spp. (II)	5000	Grammes	South Korea
Description	Quantity	Units	Country of Origin
Po Chai Pills containing (<i>Saussurea costus</i> (I))	900	Units	China
12 x 15ml Oudh Almethali: 12 x 14ml Amber Oud, 12 x 50ml Oudh Al Abyad: 6 x 40ml Dhan Al Oudh Al Safwa: 6 x 20ml Attar Al Oudh: 6 x 30ml Mukhallat Dhan El Oudh	1608	Millilitres	United Arab Emirates
Traditional medicine containing <i>Cistanche deserticola</i> (II)	200	Grammes	Singapore
Agar Wood Chips (<i>Aquilaria</i> spp. (II))	200	Grammes	Saudi Arabia
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	10	Units	Saudi Arabia
Traditional medicines containing gastrodia (<i>ORCHIDACEAE</i> spp. (II)) & <i>Moschus</i> spp. (II)	146	Grammes	Hong Kong
American Ginseng (<i>Panax quinquefolius</i> (II))	151	Grammes	China
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	275	Grammes	Sudan
Bak Foong Pills containing Gastrodia (<i>ORCHIDACEAE</i> spp. (III))	200	Grammes	Malaysia
Stuffed crocodile (African Dwarf crocodile)(CROCODYLIA spp. (II))	2	Units	Congo
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	2880	Grammes	United Arab Emirates
Products containing Agar wood (<i>Aquilaria</i> spp. (II))	2430	Millilitres	United Arab Emirates
Alligator Skull (<i>CROCODYLIA</i> spp. (II))	1	Units	United States of America
2 boxes of Go Ying pills & 1 box of Bat po Keng Powder, containing gastrodia (<i>ORCHIDACEAE</i> spp. (II)) & <i>Moschus</i> spp. (II)	3	Units	China
<i>Calanthe reflexa</i> plants	50	Units	Japan
Ivory caps from piano keys	100	Units	Australia

1 box of traditional medicine containing containing gastrodia (ORCHIDACEAE spp. (II) and 1 box containing (Saussurea costus (I))	2	Units	Malaysia
6 boxes of traditional medicine containing containing gastrodia (ORCHIDACEAE spp. (II) and 1 box containing (Saussurea costus (I))	7	Units	Malaysia
Boa Constrictor	1	Units	Gurnsey
Traditional Medicine containing Saussurea costus (I))	72	Units	China
Traditional Medicine containing Saussurea costus (I))	1600	Units	China
Snake skin Bags & Purses made with (Pythonidae spp. (II)	28	Units	Singapore
North American black bear Hide (Ursus americanus) (I)	1	Units	Canada
Po Chai Pills containing (Saussurea costus (I))	1	Units	Indonesia
Products containing Agar wood (Aquilaria spp. (II)	16	Units	Saudi Arabia
Burmese Python Skin handbags and wallets (Pythonidae spp. (II)	6	Units	Thailand
Pair of Reticulated Python Skin shoes (Pythonidae spp. (II)	1	Units	Portugal
Plasters containing tiger bone (Panthera tigris (I)	10	Units	China
2 pistols with Elephant Ivory Butts, & 1 Walrus Tusk Priming flask	3	Units	Canada
Sanju Weitai Keli containing Saussurea costus (I))	25	Units	China
Traditional medicine containing hawksbill sea turtle Eretmochelys imbricata (I)	1.3	Kilogrammes	China
Antique Seals containing Ivory	9	Units	Monaco
Antique Seals containing Tortoise shell	1	Units	Monaco
Varanus Niloticus skin wallets (II)	20	Units	United Kingdom
Traditional medicine containing Prunus Africana (II)	280	Grammes	China
Caviar	1000	Grammes	Lebanon
American Ginseng (Panax quinquefolius (II)	17	Grammes	Hong Kong
Plasters containing Seahorse (Hippocampus spp. (II)	1	Units	China
Agar Wood Chips (Aquilaria spp. (II)	2.5	Kilogrammes	India
Ivory Statues	4	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II)	4	Units	Unknown
Snake skin Mobile phone covers (Pythonidae spp. (II)	2	Units	Unknown
Snake Skin handbags (Pythonidae spp. (II)	3	Units	Unknown
Stuffed crocodile (CROCODYLIA spp. (II)	1	Units	Congo
Ottoman conatining Hartmanns Zebra skin	1	Units	South Africa
Products containing Agar wood (Aquilaria spp. (II)	6.75	Kilogrammes	United Arab Emirates
Sunglasses incorporating Alligator Skin (CROCODYLIA spp. (II)	300	Units	Japan
Plasters containing tiger bone (Panthera tigris (I)	20	Units	China
Products containing Agar wood (Aquilaria spp. (II)	923	Units	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II)	720	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II)	1	Kilogrammes	United Arab Emirates
Tablets containing Hoodia spp. (II)	10500	Units	Hong Kong
P57 Tablets containing Hoodia spp. (II)	3000	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II)	1065	Grammes	Sudan
Description	Quantity	Units	Country of Origin
Tablets containing Hoodia spp. (II)	720	Units	China
Bo Ying Compound containing Gastrodia ORCHIDACEAE spp. (II)	72	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II)	1065	Grammes	United Arab Emirates
3 x So Hup Yuen & 5 Zhui Feng Su He Wan pills containing Agar wood (Aquilaria spp. (II)	8	Units	Malaysia
American Ginseng (Panax quinquefolius (II)	225	Grammes	Hong Kong
Ivory Figures & Netsukes	5293	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II)	2.3	Kilogrammes	Sudan
Traditional medicines containing Moschus spp. (II)	0.6	Grammes	China
Zing Du containing Python molurus bivittatus (Pythonidae spp. (II)	540	Units	Hong Kong
Traditional Medicine containing Agar Wood Resin Granules (Aquilaria Sinensis) (II)	1000	Grammes	China

Snake skin Bag made with (Pythonidae spp. (II))	1	Units	United Kingdom
3 tins of BoYing Bo Ying Compound containing Gastrodia ORCHIDACEAE spp. (II) and 1 tin of YinChiao tablets containing Saiga tatarica (II)	4	Units	Hong Kong
Zimmerman Plus powder containing Saussurea costus (I) & Gastrodia ORCHIDACEAE spp. (II)	1	Units	Singapore
Products containing Agar wood (Aquilaria spp. (II))	2.5	Kilogrammes	Unknown
Tonic containing American Ginseng (Panax quinquefolius (II))	216	Grammes	Singapore
Tiger Tissue samples (Panthera tigris (I))	1	Units	Qatar
Aloe Ferox (Aloe spp. (II))	21	Kilogrammes	Ghana
Products containing Agar wood (Aquilaria spp. (II))	43.7	Kilogrammes	United Arab Emirates
Tortoise shell (Kinixys spp Testudinidae spp. (II))	1	Units	Unknown
Nile Crocodile Skin Handbag (CROCODYLIA spp. (II))	1	Units	Singapore
Coral SCLERACTINIA spp. (II)	0.5	Kilogrammes	Keyna
Alligator Skin Watch Straps (CROCODYLIA spp. (II))	1	Units	United States of America
Po Chai Pills containing (Saussurea costus (I))	15	Units	Hong Kong
2 North American black bear Skins & 1 Skull (Ursus americanus) (I)	3	Units	Canada
2 Crocodile skin bags & 1 swatch sample CROCODYLIA spp. (II)	3	Units	United Kingdom
Products containing Agar wood (Aquilaria spp. (II))	6.34	Kilogrammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	12	Units	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	72	Grammes	United Arab Emirates
Handbag with Alligator skin CROCODYLIA spp. (II)	1	Units	
"Pounds Away" containing Hoodia spp. (II)	9	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	172	Millilitres	United Arab Emirates
Traditional medicine containing Saiga tatarica (II)	1	Kilogrammes	China
Tradition medicine containing Moschus spp. (II)	2	Units	China
Pendant containing Tiger Claw (Panthera tigris (I))	1	Units	Vietnam
Live Bitters containing Aloe Ferox (II)	118	Kilogrammes	Ghana
An Gong Niu Huang Wan containing Moschus spp(II)	2	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	123	Units	United Arab Emirates
Red Eared Terrapins (Trachemys scripta elegans)	1	Units	Saudi Arabia
Soup/Tonic mix containing Cibotium barometz (II) & American Ginseng (Panax quinquefolius (II))	268	Grammes	Australia
Products containing Agar wood (Aquilaria spp. (II))	200	Grammes	United Arab Emirates
Tongren Niu Huang Quigxin Wan containing Moschus spp (II) & Saiga tatarica (II)	1	Units	China
American Ginseng (Panax quinquefolius (II))	8	Grammes	Malaysia
American Ginseng (Panax quinquefolius (II))	130	Grammes	Malaysia
Live Hard Coral (Echinophyllia spp)	1	Units	Tonga
American Ginseng (Panax quinquefolius (II))	372	Grammes	Hong Kong
American Ginseng (Panax quinquefolius (II))	174	Grammes	China
Traditional Medicine containing Saussurea costus (I))	3	Units	China
Crocodile Paté CROCODYLIA spp. (II)	110	Grammes	South Africa
American Ginseng (Panax quinquefolius (II))	500	Grammes	China
Traditional Medicine containing Saussurea costus (I))	1	Units	China
Traditional medicine containing Saiga tatarica (II)	1	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	1	Kilogrammes	India
Tablets containing Hoodia spp. (II)	50280	Units	Hong Kong
Products containing Agar wood (Aquilaria spp. (II))	6	Kilogrammes	Sudan
Seahorses (Hippocampus spp. (II))	59	Units	
Description	Quantity	Units	Country of Origin
Products containing Agar wood (Aquilaria spp. (II))	873	Grammes	Unknown
Products containing Agar wood (Aquilaria spp. (II))	3.48	Kilogrammes	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	200	Grammes	China
3 pairs of snake skin shoes & 1 snake skin purse, reticulated python (Pythonidae spp. (II))	4	Units	Sudan
Products containing Agar wood (Aquilaria spp. (II))	1.7	Kilogrammes	Sudan
Capsules of Nopal Cactus	19440	Units	United States of America

Products containing Agar wood (Aquilaria spp. (II))	2	Units	Kuwait
Dead Monitor Lizard Varanus spp. (II)	1	Units	Algeria
Pair of shoes made from Python Sebae (II)	1	Units	Sudan
Products containing Agar wood (Aquilaria spp. (II))	75	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	18	Units	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	25	Grammes	Hong Kong
Pair of shoes made from Python molurus molurus (I)	1	Units	Sudan
Alligator Skin Watch Straps (CROCODYLIA spp. (II))	1	Units	Unknown
Box with Ivory	1	Units	China
Products containing Agar wood (Aquilaria spp. (II))	2786	Units	Saudi Arabia
Products containing Agar wood (Aquilaria spp. (II))	100	Grammes	United Arab Emirates
Alligator Head (CROCODYLIA spp. (II))	1	Units	United States of America
Feathers from the following: 2 great Grey Owl, 13 Bald Eagle, 1 Burrowing Owl, 8 Parrot, 13 Golden Eagle, 1 long eared owl, 10 Buteo species, 4 Northern Saw-Whet Owl, 1 Pheasant & tail of the North American River Otter	54	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	11050	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	100	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	1.1	Kilogrammes	United Arab Emirates
Plasters containing Moscus spp(II)	10	Units	China
Traditional Medicine containing (Aquilaria spp. (II) & Saussurea costus (I))	2	Units	Malaysia
Products containing Agar wood (Aquilaria spp. (II))	4	Kilogrammes	Saudi Arabia
Serval Cats (II)	4	Units	United States of America
Crocodile skin belts (CROCODYLIA spp. (II))	1	Units	Unknown
Teck Aun Chi Kit (containing Saussurea costus (I))	20	Units	Malaysia
Alligator Skin Watch Straps (CROCODYLIA spp. (II))	1	Units	Switzerland
Products containing Agar wood (Aquilaria spp. (II))	760	Grammes	United Arab Emirates
Traditional Medicine containing Bear Bile	10	Units	Vietnam
Jewellery with Ivory	3	Units	United States of America
Lion Claw Pendant (Panthera Leo)	1	Units	United States of America
Traditional Medicine containing Saussurea costus (I))	50	Units	Malaysia
Products containing Agar wood (Aquilaria spp. (II))	700	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	85	Millilitres	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	1.5	Kilogrammes	United Arab Emirates
Ya Hom powder containing Saussurea costus (I))	4	Units	Thailand
Products containing Agar wood (Aquilaria spp. (II))	96	Grammes	United Arab Emirates
Crushed Haliotis midae (Annex D)	243500	Grammes	South Africa
Buttons made with Haliotis midae (Annex D)	3373	Units	South Africa
American Alligator Skin Watch Strap (Alligator Mississippiensis) (CROCODYLIA spp. (II))	1	Units	Iceland
Products containing Agar wood (Aquilaria spp. (II))	1880	Grammes	United Arab Emirates
Products containing Agar wood (Aquilaria spp. (II))	900	Grammes	United Arab Emirates
Pair of Python skin Boots (Pythonidae spp. (II))	1	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	1.44	Kilogrammes	United Arab Emirates
Watch strap (CROCODYLIA spp. (II))	1	Units	United States of America
Products containing Agar wood (Aquilaria spp. (II))	12	Units	United Arab Emirates
American Ginseng (Panax quinquefolius (II))	875	Grammes	China
American Ginseng (Panax quinquefolius (II))	309	Grammes	Hong Kong
Ling Zhi Chuang Yao Wan Plus containing Gastrodia ORCHIDACEAE spp. (II)	2	Units	Malaysia
Caimen skin swatches CROCODYLIA spp. (II)	11	Units	Unknown

UK - Significant prosecutions 2011 – 2012

- In January 2011, a male was found guilty on two charges of purchasing Annex A species (goshawk) without relevant certification at Tynedale Magistrates (England). He received 2 x £250 fines, £150 court costs and £15 victim surcharge.
- In March 2011, a male was arrested and cautioned² for the illegal trade in a number of Annex A birds including; goshawk, barn owl, tawny owl and buzzard. All birds were seized.
- In May 2011, a male was convicted of attempting to sell an Annex A species (ring tailed lemurs) without the relevant certification at Perth Sheriff Court (Scotland). He received a £500 after trying to sell the two lemurs through an internet ad website.
- September 2012. Result of prosecution case involving sale of Elephant ivory artefacts on E-bay and subsequent export. Defendant sentenced to 80 Hours Community Service, 10 week curfew order and £500 Costs
- July 2012. Result of prosecution case involving an attempt theft of a rhino head from Norwich Castle Museum. Defendant was sentenced to two and half years imprisonment
- July 2012. Prosecution related to making false statements to obtain Article 10s and the prohibited display of Annex A species of owls

² A caution equates to a detected crime and a formal disposal and is recorded on the person's record on the Police National Computer

Additional RBG Kew information

D4 Communication, information management and exchange

Leaflets, posters, training tools:

- Forensic capabilities – leaflet for Scottish Wildlife Crime Conference (2009)

Presentations and attendance:

- UK Police Wildlife Crime Officers conference – pharmaceutical, cosmetic and herbal products (2011)
- Oxford University MSc Conservation course – lecture presented on CITES and plant trade issues (2011)
- NDF/Significant Trade WWF meeting, Mexico (2011)
- European Regional CITES meeting, Georgia (2011) – presentations on plant annotations, work of UK Scientific Authority
- CITES modules included in the following Higher Education courses for UK and overseas students (ran once a year for 2011 and 2012):
 - Tropical plant identification course
 - Exeter MSc Biodiversity & Conservation
 - South Bank Forensics Course
 - MSc Conservation Science (Imperial)
 - Complementary Therapies
 - Kent Ethnobotany
 - DICE, Kent University

D6 Capacity building

Capacity Building for UK and international enforcement agencies and officers (2011-2012):

Kew provided CITES & plant trade training modules for the following courses -

1. CITES Course (CITES & Plants) – UK Police and UK Border Force staff (March/Oct 2011 & March 2012)
2. EU TWIX Timber training workshop, France – CITES authorities, Police, customs and inspectorate participants (2011)
3. Court training workshop – PAW-ran workshop for NGOs, UK Police, UK Border Force, Animal Health Inspectorate, CITES authorities (2011)
4. Essex/Kent Police – CITES & Plants training course (2012)
5. Welsh Police National Conference - CITES & Plants training course (2011)

Capacity Building involving other CITES Parties

- CITES Project - Sustainability of Georgian Geophytes – ongoing
- CITES training for Georgian CITES authorities, police, enforcement agencies (2011)



Annex to Guidance on Fees (GN11)

1. Definition of Applications

a. Import and Export permits (issued under Articles 4 and 5 of Regulation EC338/97) £59

A fee of £59 is required for each application to import or export a single specimen or any number of specimens as long as they:

- are of the same species (in the case of animals other than corals) or genus (in the case of plants and corals), and
- have the same source code and purpose code, and
- are to be transported between the same importer and exporter.

b. Re-export permit (issued under Article 5 of Regulation EC338/97) £47

A fee of £47 is required for each application to re-export a single specimen or any number of specimens as long as they:

- are of the same species (in the case of animals other than corals) or genus (in the case of plants and corals), and
- have the same source code and purpose code, and
- are to be transported between the same importer and exporter.

c. EC Certificate for commercial use (issued under Article 8 of Regulation EC338/97) £25

A fee of £25 is required for each application to commercially use a single specimen or any number of specimens as long as they:

- are of the same species (in the case of animals other than corals) or genus (in the case of plants and corals), and are either-
- included together on a single previously issued commercial use certificate, or
- were imported into a member state on a single import permit, or
- have been bred from the same parents in the same breeding season .

d. EC movement Certificate (Issued under Article 9 of Regulation EC338/97) £70

A fee of £70 is required for each application to move a single specimen or any number of specimens as long as:

- the specimens are of the same species (in the case of animals other than corals) or genus (in the case of plants and corals), and
- the application is to move all the specimens
 - (i) from the same location indicated in a single import permit or other permit or certificate previously issued under the Council Regulation for the same specimens, and
 - (ii) to the same destination.

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Additional guidance notes for Fees applicable for CITES applications

Application for:	Charge
Import/Export Permits - allow people to import or export CITES specimens into or out of the EU. Personal Ownership Certificates - allow owners of CITES specimens multiple re-exports and re-imports of it for a maximum period of 3 years providing there is no commercial motive. Travelling Exhibition Certificates - allow travelling zoos, circuses, or other travelling exhibitions of captive bred or artificially propagated CITES specimens to travel and be exhibited throughout the EU. It also allows them to be exported and re-imported back to the EU for a period of 3 years.	£59
Re-exports Permits - allow a CITES listed specimen previously imported into the EC to be exported again out of the EC. Certificates of origin - act as proof of legal importation	£47
Certificates under Article 8 (Article 10 certificate) - allow the commercial use of specimens.	£25
Certificates under Article 9 - lift any movement restrictions imposed by an import permit or an Article 8 Certificate	£70
Certificates under Article 60 - allow derogations to scientific institutions exempting them from the various prohibitions on the sale of CITES specimens Registration of CITES breeders- enables breeders to benefit from streamlined licensing procedures.	£177

Methods of payment

All payments should be made in £ sterling. Please do not send cash. Fees are payable on application. Applications without a fee will not be processed unless they meet the derogation set out under the "conservation waiver".

Fees can be paid by:

- Cheque - Cheques must be made payable to AHVLA.
- Postal order – Postal Orders must be made payable to AHVLA. Counterfoils should be retained for your own records.
- Credit card - To pay by card (all major credit and debit cards accepted except American Express), contact our Central Finance Department on 01904 455 395 or ssd.financeAR@defra.gsi.gov.uk.
- BACS (Bankers' Automated Clearing Services) - For further details on paying by BACS, please contact Finance, Wildlife and Zoo Inspectors Team on 0117 372 8148 or email wildlife.licensing@ahvla.gsi.gov.uk

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